

## 4.4 Bases and Dimension of a Vector Space

span: we have vectors to fill up a vector space

for example,  $\vec{i} = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$  and  $\vec{j} = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$  span  $\mathbb{R}^2$

because every vector in  $\mathbb{R}^2$  is a linear combo of  $\vec{i}$  and  $\vec{j}$

$$\begin{bmatrix} 5 \\ 7 \end{bmatrix} = 5\vec{i} + 7\vec{j}$$

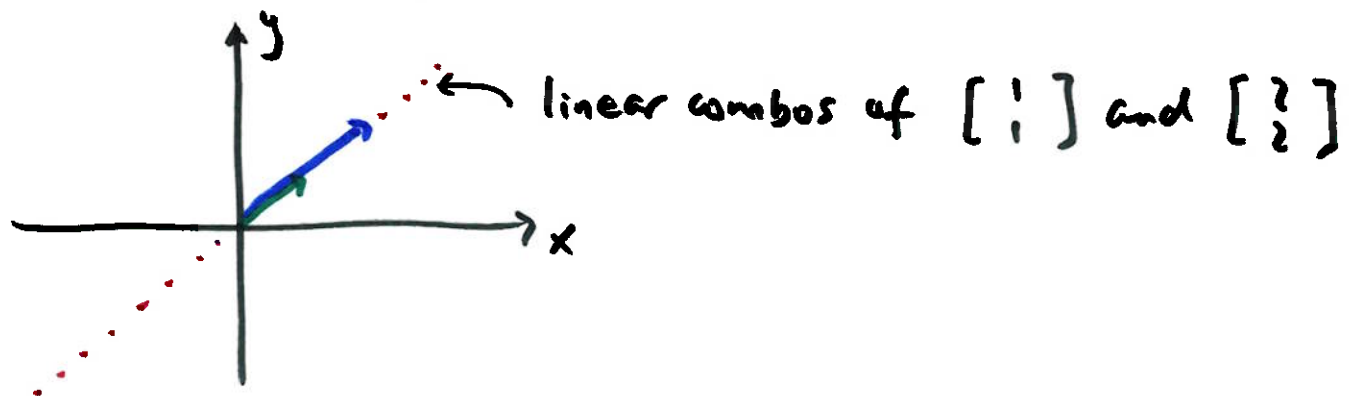
$\mathbb{R}^2$

$\mathbb{R}^2$  is also spanned by  $\begin{bmatrix} 1 \\ 0 \end{bmatrix}$ ,  $\begin{bmatrix} 0 \\ 1 \end{bmatrix}$ ,  $\begin{bmatrix} 1 \\ 2 \end{bmatrix}$  (more than needed)

but  $\mathbb{R}^2$  is NOT spanned by just  $\begin{bmatrix} 1 \\ 0 \end{bmatrix}$  (can't cover y-axis)

$\mathbb{R}^2$  is NOT spanned by  $\begin{bmatrix} 1 \\ 1 \end{bmatrix}$  and  $\begin{bmatrix} 2 \\ 2 \end{bmatrix}$

minimum  
two vectors  
but may not  
be enough



Similarly,  $\mathbb{R}^3$  is spanned by  $\vec{i} = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$ ,  $\vec{j} = \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}$ ,  $\vec{k} = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$

$\mathbb{R}^3$   
minimum  
three

$\mathbb{R}^3$  is also spanned by  $\begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$ ,  $\begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}$ ,  $\begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$ ,  $\begin{bmatrix} 1 \\ 2 \\ 3 \end{bmatrix}$

but NOT spanned by  $\begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$ ,  $\begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}$  (missing  $z$ )

and NOT spanned by  $\begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$ ,  $\begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}$ ,  $\begin{bmatrix} 1 \\ 2 \\ 0 \end{bmatrix}$  (missing  $z$ )

so, we see that for  $\mathbb{R}^n$ , we need at least  $n$  vectors

and exactly  $n$  if they are linearly independent

and more than  $n$  if they are not linearly independent

the  $n$  linearly independent vectors are called basis vectors or bases

$\vec{i}, \vec{j}, \vec{k}$  are the standard basis vectors for  $\mathbb{R}^n$

but given  $\mathbb{R}^n$ , the basis vectors are NOT unique

for example, any 3 linearly independent vectors span  $\mathbb{R}^3$

and can serve as bases for  $\mathbb{R}^3$

$\mathbb{R}^2$  example:  $\vec{i} = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$  and  $\vec{j} = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$  are one set of basis vectors

so are  $\vec{u} = \begin{bmatrix} 2 \\ 0 \end{bmatrix}$  and  $\vec{v} = \begin{bmatrix} 1 \\ 5 \end{bmatrix}$  (two linearly independent vectors)

we can express any  $\mathbb{R}^2$  using any basis

$$\begin{bmatrix} 10 \\ -7 \end{bmatrix} = 10\vec{i} - 7\vec{j} = \frac{57}{10}\vec{u} - \frac{7}{5}\vec{v}$$

vectors in  $\mathbb{R}^n$  are NOT uniquely expressed, but are unique within a chosen basis vector set

the dimension of a vector space is the number of vectors in the basis set

$\mathbb{R}^2$ : needs two basis vectors  $\rightarrow$  two-dimensional vector space

$\mathbb{R}^3$ : " three " "  $\rightarrow$  three-dimensional " "

in  $\mathbb{R}^n$ , the word dimension has the same meaning as our everyday language but not always the case

example Find the dimension and  $\mathcal{B}$  a basis for the subspace of  $\mathbb{R}^3$  given by the plane  $3x+2y+z=0$

$3x+2y+z=0$  is a subspace that contains

vectors  $\begin{bmatrix} x \\ y \\ z \end{bmatrix}$  such that  $3x+2y+z=0$

$$\begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} x \\ y \\ -3x-2y \end{bmatrix} = x \begin{bmatrix} 1 \\ 0 \\ -3 \end{bmatrix} + y \begin{bmatrix} 0 \\ 1 \\ -2 \end{bmatrix}$$

$$= \begin{bmatrix} x \\ -\frac{3}{2}x - \frac{1}{2}z \\ z \end{bmatrix} = x \begin{bmatrix} 1 \\ -\frac{3}{2} \\ 0 \end{bmatrix} + z \begin{bmatrix} 0 \\ -\frac{1}{2} \\ 1 \end{bmatrix}$$

$$= \begin{bmatrix} -\frac{2}{3}y - \frac{1}{3}z \\ y \\ z \end{bmatrix} = y \begin{bmatrix} -\frac{2}{3} \\ 1 \\ 0 \end{bmatrix} + z \begin{bmatrix} -\frac{1}{3} \\ 0 \\ 1 \end{bmatrix}$$

so, basis of this subspace are

$$\left\{ \begin{bmatrix} 1 \\ 0 \\ -3 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ -2 \end{bmatrix} \right\} \text{ or } \left\{ \begin{bmatrix} 1 \\ -3/2 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ -1/2 \\ 1 \end{bmatrix} \right\}$$
$$\text{or } \left\{ \begin{bmatrix} -2/3 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} -1/3 \\ 0 \\ 1 \end{bmatrix} \right\}$$

or any scalar multiple of the above

so, the dimension of this subspace is two (two vectors in basis)

example Solution space of  $x_1 - 2x_2 - 5x_3 = 0$   
 $2x_1 - 3x_2 - 13x_3 = 0$

solution space: contains ALL solutions  $\vec{x} = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}$

solve:  $\begin{bmatrix} 1 & -2 & -5 & 0 \\ 2 & -3 & -13 & 0 \end{bmatrix}$

$$\rightarrow \begin{array}{c} x_1 \quad x_2 \quad x_3 \\ \left[ \begin{array}{cccc} \boxed{1} & -2 & -5 & 0 \\ 0 & \boxed{1} & -3 & 0 \end{array} \right] \end{array}$$

column 3 has no pivot, so let  $x_3 = r$  (free)

$$\text{row 2: } x_2 - 3x_3 = 0$$

$$x_2 = 3x_3 = 3r$$

$$\text{row 1: } x_1 - 2x_2 - 5x_3 = 0$$

$$x_1 = 2x_2 + 5x_3$$

$$= 6r + 5r = 11r$$

$$\text{so, } \vec{x} = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} = \begin{bmatrix} 11r \\ 3r \\ r \end{bmatrix} = r \begin{bmatrix} 11 \\ 3 \\ 1 \end{bmatrix}$$

solution space has basis  $\begin{bmatrix} 11 \\ 3 \\ 1 \end{bmatrix}$  and is one-dimensional

choosing  $x_1$  or  $x_2$  to be free will give us  
alternative bases

linear independence: sum to  $\vec{0}$  only if all coefficients are zero

span: enough vectors to fill up or cover a vector space  
(may be more than needed)

basis: exactly enough (no more and no less than needed)  
to cover the vector space (must be linearly indep)

dimension: # of vectors in the basis set  
(NOT always # of components of the vectors)