

Prop 5.37 Suppose  $S \subseteq M$  embedded and  $p \in S$ .

Then

$$T_p S = \{v \in T_p M \mid vF = 0 \dots \forall F \in C^\infty(M) \text{ s.t. } F|_S \equiv 0\}.$$

Proof  $\subseteq$ : easy.  $\supseteq$ : Use slice coordinates (and a bump function <sup>supported</sup> on the domain)  $\square$

Ex 5.40: If  $S = \Phi^{-1}(c) \subseteq M$  a level set of constant rank map  $\Phi: M \rightarrow N$ , then  $T_p S = \ker d\Phi_p$ .

## Lecture 5.3

Reading: First half of Ch. 6

Practice: n/a Homeworks 6-2

Today we prove

Thm 6.15 (Easy Whitney Embedding)

Every smooth  $n$ -manifold admits a (proper) smooth embedding in  $\mathbb{R}^{2n+1}$ .

In fact, more is true, but the proof is harder:

Thm (Strong Whitney Embedding)

Every <sup>smooth</sup>  $n$ -manifold (properly) <sup>smoothly</sup> embeds in  $\mathbb{R}^{2n}$ .

This harder result's proof employs the "Whitney  
trick," which promotes an immersion in  $\mathbb{R}^{2n}$   
to an embedding. This trick was ~~used~~ employed  
later by Smale to prove the h-cobordism  
theorem, which implies ~~the~~ certain cases of  
generalized Poincaré conjecture in  $d \geq 5$  eventually.

On HW6, you'll prove

Thm 6.18 (Easy Whitney Immersion Theorem)  
Every smooth  $n$ -manifold smoothly immerses  
into  $\mathbb{R}^{2n}$ .

Strong immersion:  $\mathbb{R}^{2n-1}$

Note: Even strong versions of theorems not  
sharp for all  $n$ . E.g. 3-manifolds always  
embed in  $\mathbb{R}^5$ , ~~4-manifolds do~~ However they  
are sharp in infinitely many dimensions, e.g.

$\mathbb{R}P^2$  cannot embed in  $\mathbb{R}^d$  for  $d < 2 \cdot 2^n = 2^{n+1}$ .

For sake of time, in class today we will only  
prove the weak/easy embedding theorem for  
compact manifolds. Compactness makes life  
easier for the following reason:

Proposition If  $M$  is compact and  $F: M \rightarrow N$  is an injective smooth immersion, then  $F$  is a smooth embedding.

Proof If  $M$  is compact, then  $F(M)$  is compact (b/c  $N$  Hausdorff) then  $F$  is closed. (Exercise in point-set topology... need to use that  $N$  is Hausdorff.) Thus  $F$  is a topological embedding that is smooth, meaning  $F$  is a smooth embedding.  $\square$

This <sup>compactness</sup> is a helpful simplifying assumption but we still need a big tool.

## Sard's theorem

Def  $A \subseteq \mathbb{R}^n$  has zero measure if for any  $\epsilon > 0$ ,  $A$  can be covered by a <sup>(countable)</sup> union of open balls, the sum of whose volumes is less than  $\epsilon$ .

Def If  $M^n$  a smooth manifold, then  $A \subseteq M$  has measure zero in  $M$  if for every smooth chart  $(U, \varphi)$  on  $M$ ,  $\varphi(A \cap U)$  has zero measure in  $\mathbb{R}^n$ .

Note: If  $A$  has measure zero, then  $M - A$  is everywhere dense. Even better:  $M - A$  has "full measure".

Note that pre-images of measure zero sets under smooth maps need not be measure zero. However, images of measure zero sets are measure zero. (Thm 6.9)

Theorem 6.10 (Sard's Theorem)

If  $F: M \rightarrow N$  smooth, then the set of critical values has zero measure.

Proof of Every Whitney Embedding

When  $M$  compact, theorem will follow from following two lemmas (and the proposition above).

Lemma 1 If  $M$  is a compact smooth manifold, then there is some  $N > 0$  such that  $M$  injectively immerses (hence, embeds) into  $\mathbb{R}^N$ .

Lemma 2 Suppose  $M \subseteq \mathbb{R}^N$  is a smoothly immersed  $n$ -dimensional submanifold. For any  $v \in \mathbb{R}^N - \mathbb{R}^{N-1}$ , let  $\tau_v: \mathbb{R}^N \rightarrow \mathbb{R}^{N-1}$  be the (non-orthogonal!) projection with kernel  $\mathbb{R}v$ . If  $N > 2n + 1$ , then there is a dense set of  $v \in \mathbb{R}^N - \mathbb{R}^{N-1}$  for which  $\tau_v|_M$  is an injective immersion into  $\mathbb{R}^{N-1}$ .

As we will see, only Lemma 2 needs Sard's theorem.

## Proof of Lemma 1:

Since  $M^n$  is compact, we can find a finite cover by regular coordinate balls  $B_1, \dots, B_m$ .  
That is, for each  $B_i$ , exists  $B'_i \supseteq \bar{B}_i$  and  $\psi_i: B'_i \rightarrow \psi(B'_i) \subseteq \mathbb{R}^n$  that takes  $\bar{B}_i$  to  $\bar{B}_n$ . For each  $i$ , let  $\rho_i: M \rightarrow \mathbb{R}$  be a bump function for  $\bar{B}_i$  supported in  $B'_i$ . Now define

$$F: M \rightarrow \mathbb{R}^{nm+nm}$$

$$p \mapsto (\rho_1(p) \psi_1(p), \dots, \rho_m(p) \psi_m(p), \rho_1(p), \dots, \rho_m(p)).$$

We claim  $F$  is an injective <sup>smooth</sup> immersion.

Injective: if  $p, q \in B_i$  for same  $i$ , then

$$F(p) \neq F(q) \text{ b/c } \rho_i(p) \psi_i(p) = \psi_i(p) \neq \psi_i(q) = \rho_i(q) \psi_i(q).$$

if  $p \in B_i, q \notin B_i$  for some  $i$ , then  $F(p) \neq F(q)$

$$\text{b/c } \rho_i(p) = 1 \neq \rho_i(q).$$

Smooth: clear.

Immersion: for any  $p \in M$ ,  $\exists i$  with  $p \in B_i$ . Then

$$dF_p \text{ has a "slab" that looks like } d(\rho_i(p) \psi_i(p))|_p = d\psi_i|_p, \text{ which is injective, hence so is } dF_p. \square$$

Proof of Lemma 2: Note:

$\pi_v|_M$  injective  $\iff p \neq q$  is not parallel to  $v$  for all ~~all~~  $p \neq q \in M$ .

$\pi_v|_M$  smooth immersion  $\iff T_p M \subseteq T_p \mathbb{R}^N = \mathbb{R}^N$  contains no vectors parallel to  $v$ .

Define

$$\Delta_M = \{ (p, p) \mid p \in M \} \subseteq M \times M \quad (\Delta_M = \Gamma_{Id_M})$$

$$M_0 = \{ (p, 0) \mid p \in M, 0 \in T_p M \} \subseteq TM \quad \text{"zero section of } TM\text{"}$$

Consider smooth maps

$$K: M \times M - \Delta_M \rightarrow \mathbb{R}P^{N-1}$$

$$(p, q) \mapsto [p - q]$$

$$\tau: TM - M_0 \rightarrow \mathbb{R}P^{N-1}$$

$$(p, w) \mapsto [w].$$

Remember:  $M \subseteq \mathbb{R}^N$ , so it makes sense to write  $[p - q]$  and to consider  $w \in T_p M$  as a vector in  $T_p \mathbb{R}^N = \mathbb{R}^N$ .

$v$  is injective smooth immersion iff  $v \notin \text{Im } K$  and  $v \notin \text{Im } \tau$ .

$$\dim(M \times M - \Delta_M) = \dim(TM - M_0) = 2n < N-1 = \dim \mathbb{R}P^{N-1}$$

$\implies \text{Im } K \cup \text{Im } \tau$  is measure zero in  $\mathbb{R}P^{N-1}$ !

$\implies \exists$  dense set of  $[v] \in \mathbb{R}P^{N-1}$  where  $\pi_v|_M$  is an injective immersion.  $\square$