

Lecture #29

Last time: Schur-Weyl duality

$$\begin{array}{c} GL(V) \curvearrowright V^{\otimes N} \curvearrowleft S_N \\ \text{gl}(V) \end{array}$$

← double centralizing images  
 $A = \text{Im}(U(\text{gl}(V)) \rightarrow \text{End}(V^{\otimes N})) = \text{span of } \text{Im}(GL(V) \rightarrow GL(V^{\otimes N}))$   
 $B = \text{Im}(\mathbb{C}[S_N] \rightarrow \text{End}(V^{\otimes N}))$

1)  $V^{\otimes N} \simeq \bigoplus_{|\lambda|=N} L_\lambda \otimes U_\lambda$   
 $\lambda$ -Young diagram of length  $\leq \dim V$   
 isomorphism of  $A \otimes B$ -modules

and we get bijection

2)  $\left\{ \begin{array}{l} \text{irreducible} \\ \text{polynomial } GL(V)\text{-representations} \end{array} \right\}_{L_\lambda} \xleftrightarrow{1:1} \left\{ \text{irreducible } B\text{-reps.} \right\}_{U_\lambda}$

3)  $\nexists \underbrace{\dim V}_{=: n} \geq N \Rightarrow B = \mathbb{C}[S_N] \Rightarrow \left\{ U_\lambda \right\}_{|\lambda|=N} = \text{all irreducible } S_N\text{-modules}$

Let's see what the above theorem implies on the level of characters.

Recall (from Lecture 27): Character of  $L_\lambda$ , viewed as usual character of the group  $GL(V) \simeq GL_n(\mathbb{C})$  module  $L_\lambda$  is given by Schur polynomial

$$S_\lambda(x_1, \dots, x_n) = \frac{\det(x_i^{\lambda_j + N - j})}{\det(x_i^{N - j})}$$

$x_1, \dots, x_n$  - eigenvalues of  $g \in GL_n(\mathbb{C})$

Pick a diagonal element  $x = \begin{pmatrix} x_1 & & 0 \\ & x_2 & \\ 0 & & x_n \end{pmatrix} \in GL_n(\mathbb{C})$ . Also pick any permutation  $\sigma \in S_N$ , and let it have  $m_k$  cycles of length  $k$ . We shall now compute the trace of  $x \otimes \sigma$ -action on  $V^{\otimes N}$  in two different ways:

(i) just from def-n:  $\text{tr}_{V^{\otimes N}}(x \otimes \sigma) = \prod_k (x_1^k + \dots + x_n^k)^{m_k}$  ← explain!

(ii) from Schur-Weyl:  $\text{tr}_{V^{\otimes N}}(x \otimes \sigma) = \sum_\lambda S_\lambda(x_1, \dots, x_n) \cdot \chi_{U_\lambda}(\sigma)$

$\Rightarrow \sum_\lambda \chi_{U_\lambda}(\sigma) \cdot \det(x_i^{\lambda_j + N - j}) = \det(x_i^{N - j}) \cdot \prod_k (x_1^k + \dots + x_n^k)^{m_k} = \prod_{i,j} (x_i - x_j)^k \prod_k (x_1^k + \dots + x_n^k)^{m_k}$

Note that if we order monomials lexicographically by first comparing power of  $x_1$ , then  $x_2$ , etc, then the max monomial of  $\det(x_i^{\lambda_j + N - j})$  is  $x_1^{\lambda_1 + N - 1} \dots x_n^{\lambda_n}$ .

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The above implies the well-known Frobenius character formula:

Theorem 1: The character value  $\chi_{\lambda}(\sigma)$  equals the coefficient of  $x_1^{\lambda_1 + N-1} \dots x_N^{\lambda_N}$  in

(Frobenius character formula)

$$\prod_{1 \leq i < j \leq n} (x_i - x_j) \cdot \prod_{k \geq 1} (x_1^k + \dots + x_n^k)^{m_k}$$

• Another beautiful application of the Schur-Weyl duality is the following result. Let  $V, W$  be two finite-dimensional  $\mathbb{C}$  vector spaces.

Theorem 2 (Howe duality): The symmetric power  $S^n(V \otimes W)$  decomposes as

$$S^n(V \otimes W) \simeq \bigoplus_{\substack{\lambda\text{-Young diagram} \\ \text{of size } |\lambda|=n}} S^\lambda V \otimes S^\lambda W$$

as  $GL(V) \times GL(W)$ -modules, where  $S^\lambda V = \text{Hom}_{\mathbb{C}[S_n]}(\mathcal{U}_\lambda, V^{\otimes n}) = \begin{cases} \mathbb{C} & \text{if length}(\lambda) \leq \dim V \\ 0 & \text{otherwise} \end{cases}$

► By definition:  $S^n(V \otimes W) = [(V \otimes W)^{\otimes n}]^{S_n} \simeq [V^{\otimes n} \otimes W^{\otimes n}]^{S_n}$   
↑ here,  $S_n$  diagonally acts on  $V^{\otimes n}, W^{\otimes n}$

Schur-Weyl  $\Rightarrow \left. \begin{aligned} V^{\otimes n} &= \bigoplus_{|\lambda|=n} S^\lambda V \otimes \mathcal{U}_\lambda \\ W^{\otimes n} &= \bigoplus_{|\mu|=n} S^\mu W \otimes \mathcal{U}_\mu \end{aligned} \right\} \Rightarrow S^n(V \otimes W) \simeq \bigoplus_{\substack{|\lambda|=n \\ |\mu|=n}} S^\lambda V \otimes S^\mu W \otimes (\mathcal{U}_\lambda \otimes \mathcal{U}_\mu)^{S_n}$

But:  $\mathcal{U}_\lambda \simeq \mathcal{U}_\lambda^* \forall \lambda$ , since  $\chi_{\lambda^*} = \overline{\chi_\lambda} = \chi_\lambda$  as  $\chi_\lambda$  takes  $\mathbb{Z}$ -values by Thm 1  
 $\Rightarrow (\mathcal{U}_\lambda \otimes \mathcal{U}_\mu)^{S_n} \simeq (\mathcal{U}_\lambda^* \otimes \mathcal{U}_\mu)^{S_n} \simeq \text{Hom}_{S_n}(\mathcal{U}_\lambda, \mathcal{U}_\mu) \simeq \begin{cases} \mathbb{C} & \text{if } \lambda = \mu \\ 0 & \text{otherwise} \end{cases}$

[Rmk: The above definition of  $S^\lambda V$  is such that Schur-Weyl duality becomes:  
 $V^{\otimes n} = \bigoplus_{\lambda} S^\lambda V \otimes \mathcal{U}_\lambda$  as  $gl(V) \times S_n$ -module (i.e. we lifted "length( $\lambda$ )  $\leq$  dim  $V$ ")

As an immediate corollary of Theorem 2, we get:

Corollary 1 (Cauchy identity): Consider two tuples of variables:  $(x_1, \dots, x_r), (y_1, \dots, y_r)$

Then:  $\prod_{1 \leq j \leq r} \prod_{1 \leq i < k \leq r} \frac{1}{1 - z x_i y_j} = \sum_{\lambda} s_{\lambda}(x_1, \dots, x_r) s_{\lambda}(y_1, \dots, y_r) z^{|\lambda|}$

► Compute trace of the action of  $x \otimes y$  with  $x = \begin{pmatrix} x_1 & & 0 \\ & \ddots & \\ 0 & & x_r \end{pmatrix} \in GL(\mathbb{C}^r), y = \begin{pmatrix} y_1 & & 0 \\ & \ddots & \\ 0 & & y_r \end{pmatrix} \in GL(\mathbb{C}^r)$   
and use the Molien formula  $\sum_{m \geq 0} \text{tr}(S^m d) z^m = \frac{1}{\det(1 - z \cdot d)}$  for any linear  $d: U \rightarrow U$

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• Levi decomposition

The goal for the rest of today is to prove the Levi theorem, which plays the fundamental role in the proofs of Ado's thm and 3<sup>rd</sup> Fundamental thm of Lie theory. Let's recall the formulation - see Lecture #11.

Thm (Levi thm): Any finite-dimensional Lie algebra  $\mathfrak{g}$  (over a field  $k$  of  $\text{char}(k)=0$ ) can be written as a direct sum of  $\overset{\text{vector space}}{\text{rad}(\mathfrak{g})} \oplus \mathfrak{g}_{\text{ss}}$  with  $\mathfrak{g}_{\text{ss}}$ -semisimple ideal Lie subalgebra

Rmk: a) Recall that by [Lecture 11, Lemma 2], the quotient  $\mathfrak{g}/\text{rad}(\mathfrak{g})$  is semisimple and by above is isomorphic to  $\mathfrak{g}_{\text{ss}}$ , so that as Lie algebras  $\mathfrak{g}_{\text{ss}}$  are choice independent. However, there may be different embeddings  $\mathfrak{g}/\text{rad}(\mathfrak{g}) \hookrightarrow \mathfrak{g}$  as above

b) As noted in the Remark after Levi thm in Lecture 11, the above implies  $\mathfrak{g} \cong \mathfrak{g}_{\text{ss}} \ltimes \text{rad}(\mathfrak{g})$

Proof of thm

► To shorten our notations, we shall use  $\tau$  for  $\text{rad}(\mathfrak{g})$ , while  $\alpha$  for  $\mathfrak{g}_{\text{ss}}$ .

Case 1:  $\tau = \mathbb{Z}(\mathfrak{g})$ , i.e.  $\mathfrak{g}$ -reductive

This case was already treated in [Lecture 13-14, Corollary 6] Namely the adjoint action  $\mathfrak{g} \xrightarrow{\text{ad}} \mathfrak{g}$  factorizes through  $\mathfrak{g}/\tau \xrightarrow{\text{ad}} \mathfrak{g}$ , and  $\tau \subseteq \mathfrak{g}$  is a subrepresentation. However, as  $\mathfrak{g}/\tau$  is semisimple, we know that any fin. dim. module is completely reducible [Lecture 13-14, Thm 2], hence:  $\mathfrak{g} \cong \tau \oplus \alpha$  as  $\mathfrak{g}/\tau$ -modules. In particular,  $\alpha \cong \mathfrak{g}/\tau$ -semisimple and actually  $\alpha \subseteq \mathfrak{g}$  is not just a subalg. but an ideal. ✓

Case 2:  $\begin{cases} \tau \neq \mathbb{Z}(\mathfrak{g}) \iff [\mathfrak{g}, \tau] \neq 0 \\ \tau \text{ contains no nonzero proper ideals} \end{cases} \implies \begin{cases} [\mathfrak{g}, \tau] = \tau \text{ as otherwise } [\mathfrak{g}, \tau] \text{ would be an ideal in } \tau \\ [\tau, \tau] = 0 \text{ as } [\tau, \tau] \neq \tau \text{ and is an ideal in } \tau \end{cases}$

In this case, instead of considering adjoint action, we consider the following:

$\mathfrak{g} \xrightarrow{\alpha} \mathfrak{gl}(\mathfrak{g})$ , i.e.  $\alpha: \mathfrak{g} \rightarrow \text{End}(\mathfrak{gl}(\mathfrak{g}))$ , given by  $\alpha(x)\varphi = [\text{ad}(x), \varphi] \quad \forall x \in \mathfrak{g}, \varphi \in \text{End}(V)$

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We shall now define the following 3  $\mathfrak{g}$ -invariant subspaces of  $\mathfrak{gl}(\mathfrak{g})$  under  $\alpha$ :

$$\begin{aligned}
 \mathcal{A} &:= \{ \varphi \in \mathfrak{gl}(\mathfrak{g}) \mid \varphi(\mathfrak{g}) \subseteq \mathfrak{z}, \varphi|_{\mathfrak{z}} = c \cdot \text{Id}_{\mathfrak{z}} \text{ for some } c \in \mathbb{K} \} \\
 \mathcal{B} &:= \{ \varphi \in \mathcal{A} \mid \varphi|_{\mathfrak{z}} = 0 \} \\
 \mathcal{C} &:= \{ \text{ad}(x) \mid x \in \mathfrak{z} \}
 \end{aligned}$$

$$\mathcal{A} \supseteq \mathcal{B} \supseteq \mathcal{C}$$

Properties:

1)  $\mathcal{A}, \mathcal{B}, \mathcal{C}$  are indeed  $\alpha(\mathfrak{g})$ -invariant

• For  $\varphi \in \mathcal{A}, x \in \mathfrak{g}$ :

$$(\text{ad}(x)\varphi - \varphi \text{ad}(x))(y) = [x, \varphi(y)] - \varphi([x, y]) \in \mathfrak{z} \quad \forall y \in \mathfrak{g} \left\{ \begin{array}{l} \Rightarrow \alpha(x)\varphi \in \mathcal{B} \subseteq \mathcal{A} \\ \forall x \in \mathfrak{g} \\ \varphi \in \mathcal{A} \end{array} \right.$$

$$\text{if } y \in \mathfrak{z} \Rightarrow \varphi(y) = cy \Rightarrow (\text{ad}(x)\varphi - \varphi \text{ad}(x))(y) = 0$$

• For  $\varphi \in \mathcal{B}$  - same check

$$\text{For } \mathcal{C}: [\text{ad}(x), \text{ad}(y)] = \text{ad}([x, y])$$

2)  $\dim(\mathcal{A}/\mathcal{B}) = 1$

3)  $\alpha(\mathfrak{g})\mathcal{A} \subseteq \mathcal{B}$  ← checked above

4)  $\alpha(\mathfrak{z})\mathcal{A} \subseteq \mathcal{C}$

$$\forall x \in \mathfrak{z}, \varphi \in \mathcal{A}, y \in \mathfrak{g}: (\alpha(x)\varphi)(y) = [x, \varphi(y)] - \varphi([x, y]) = -\varphi([x, y]) = -c[x, y] = \text{ad}(-cx)y$$

Let's now consider the corresponding quotient representations  $\mathcal{A}/\mathcal{B}, \mathcal{A}/\mathcal{C}$  of  $\mathfrak{g}/\mathfrak{z}$ .

We have a natural projection

$$\pi: \mathcal{A}/\mathcal{B} \longrightarrow \underbrace{\mathcal{A}/\mathcal{C}}_{1\text{-dim } \mathfrak{g}/\mathfrak{z}\text{-repr.}}$$

$\rightsquigarrow \text{Ker}(\pi) \cong \mathcal{A}/\mathcal{B}$  is a codim 1  $\mathfrak{g}/\mathfrak{z}$ -submodule

But  $\mathfrak{g}/\mathfrak{z}$ -semisimple  $\Rightarrow$  any f.d.m. module is completely reducible, hence,

$$\mathcal{A}/\mathcal{B} \cong \text{Ker}(\pi) \oplus \underbrace{\mathbb{K}(\varphi_0 + \mathcal{B})}_{1\text{-dim complement to Ker}(\pi)} \text{ as } \mathfrak{g}/\mathfrak{z}\text{-modules.}$$

Rescaling  $\varphi_0$  we can assume  $\varphi_0 \in \mathcal{A}$  is s.t.  $\varphi_0|_{\mathfrak{z}} = \text{Id}_{\mathfrak{z}}$ .

Exercise (easy): Any 1-dim repr. of a semisimple Lie algebra is trivial!

Hence,  $\alpha(\mathfrak{g})\varphi_0 \subseteq \mathcal{C}$ . Here is our choice of  $\mathfrak{o}$  (clearly a subalgebra of  $\mathfrak{g}$ ):

$$\mathfrak{o} := \{ x \in \mathfrak{g} \mid \alpha(x)\varphi_0 = 0 \}$$

We shall now check  $\mathfrak{o}$  is as needed.

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(Continuation of Step 2)

Properties of  $\alpha$ :

1)  $\alpha \cap \tau = 0$

$\left. \begin{array}{l} \text{If } x \in \tau \Rightarrow \mathfrak{X}(x)\varphi_0 = \text{ad}(-x) \\ \text{If } x \in \alpha \Rightarrow \mathfrak{X}(x)\varphi_0 = 0 \end{array} \right\} \Rightarrow \text{ad}(x) = 0 \text{ for } x \in \alpha \cap \tau.$

Thus, if  $x \neq 0$ , then  $\mathbb{K}x \cap \tau$  is a nonzero proper ideal, contradicting our assumptions.

2)  $\mathfrak{g} = \alpha \oplus \tau$

Pick any  $x \in \mathfrak{g}$ . By above:  $\mathfrak{X}(x)\varphi_0 \in \mathfrak{L} \Rightarrow \exists y \in \tau \text{ s.t. } \mathfrak{X}(x)\varphi_0 = \text{ad}(y) \left\{ \Rightarrow \mathfrak{X}(x+y)\varphi_0 = 0. \right.$

But  $\mathfrak{X}(y)\varphi_0 = \text{ad}(-y)$

Thus:  $x = (x+y) + (-y)$  with  $x+y \in \alpha, -y \in \tau$

The uniqueness follows from 1) above

This establishes the theorem in Case 2. ✓

Case 3: general case

(not to get into cases 1-2, can assume  $\dim(\tau) > 1$  and there exists a proper nonzero ideal  $0 \neq \tau' \neq \tau \subseteq \mathfrak{g}$ )

We shall argue by induction on  $\dim(\mathfrak{g})$ .

Exercise (easy):  $\text{rad}(\mathfrak{g}/\tau') = \tau'/\tau'$

As  $\dim(\mathfrak{g}/\tau') < \dim(\mathfrak{g})$ , the induction hypothesis implies  $\mathfrak{g}/\tau' = \tau'/\tau' \oplus \underbrace{\alpha'}_{\text{subalg. in } \mathfrak{g}/\tau'}$

To get back to  $\mathfrak{g}$ , consider the natural quotient map  $\omega: \mathfrak{g} \rightarrow \mathfrak{g}/\tau'$  and let's look at  $\omega^{-1}(\alpha')$ . As  $\tau'/\tau' \cap \alpha' = 0$ , we see  $\omega^{-1}(\alpha') \cap \tau = \tau'$ . Also:

$\text{rad}(\omega^{-1}(\alpha')) = \tau'$ . Indeed,  $\tau' \subseteq \omega^{-1}(\alpha')$  is solvable but  $\omega^{-1}(\alpha')/\tau' \cong \alpha'$  - s.s.

Applying the induction hypothesis to  $\omega^{-1}(\alpha')$ , we have  $\omega^{-1}(\alpha') = \tau' \oplus \underbrace{\alpha'}_{\text{subalgebra}}$   
(note  $\alpha \cong \alpha'$  as Lie algebras)

Hence:  $\mathfrak{g} = \tau \oplus \underbrace{\alpha}_{\text{subalgebra}}$

This completes the induction step, hence also our proof of the theorem.