

\* First 50min  $\rightarrow$  presentation of the Baker-Campbell-Hausdorff-Dynkin theorem by Ian Martin (see separate 2 page notes).

\* Last time: Proof of the Levi theorem

• This result is fundamental both for the proof of Ado's theorem as well as the third fundamental theorem of Lie theory, see Lecture #6.

Theorem: Any finite-dimensional Lie algebra over  $\mathbb{K} = \mathbb{R}$  or  $\mathbb{C}$  is the Lie algebra (3<sup>rd</sup> fund. thm of Lie theory) of a Lie group over  $\mathbb{K}$ .

• Case 1:  $\mathfrak{g}$ -semisimple Lie algebra over  $\mathbb{K}$ .

In this case, we know that  $\text{Aut}(\mathfrak{g}) \subseteq \text{End}_{\mathbb{K}}(\mathfrak{g})$  is a Lie group with  $\text{Lie}(\text{Aut}(\mathfrak{g})) = \text{Der}(\mathfrak{g})$   
see [Homework 3, Problem 5(a)]

But for semisimple  $\mathfrak{g}$ :  $\text{Der}(\mathfrak{g}) = \mathfrak{g}$ , see [Lecture #13, Proposition 2] based on the Cartan's criteria of semisimplicity

Thus:  $\text{Aut}(\mathfrak{g})$  is a Lie gp with Lie algebra  $\mathfrak{g}$   
 $\Rightarrow$  its universal cover is a simply connected Lie gp with Lie algebra  $\mathfrak{g}$ .  $\checkmark$

• Case 2:  $\mathfrak{g}$ -solvable Lie algebra over  $\mathbb{K}$

In this case, we have the following result, which implies the desired:

Proposition: If  $\mathfrak{g}$  is a finite dimensional Lie algebra over  $\mathbb{K}$  of dimension  $n$ , then there is a simply connected Lie gp  $G$  over  $\mathbb{K}$ , with  $\text{Lie}(G) = \mathfrak{g}$ , and  $G$  is diffeomorphic to  $\mathbb{K}^n$ .

• Proof is by induction on  $\dim(\mathfrak{g})$ . To run an induction step, we fix any nonzero homomorphism  $\chi: \mathfrak{g} \rightarrow \mathbb{K}$  (e.g. apply Lie thm to  $\text{ad}: \mathfrak{g} \rightarrow \mathfrak{g}$ ), and set  $\bar{\mathfrak{g}} := \text{Ker}(\chi: \mathfrak{g} \rightarrow \mathbb{K})$ . Then,  $\bar{\mathfrak{g}} \subseteq \mathfrak{g}$ -ideal of codim 1, and  $\bar{\mathfrak{g}}$ -solvable Lie alg. Furthermore, picking any  $d \in \mathfrak{g} \setminus \bar{\mathfrak{g}}$ , we can identify

$$\mathfrak{g} \simeq \mathbb{K}d \rtimes \bar{\mathfrak{g}}$$

Let  $\bar{G}$  be the simply connected Lie gp with  $\text{Lie}(\bar{G}) = \bar{\mathfrak{g}}$ , which exists by induction assumption (moreover,  $\bar{G}$  is diffeomorphic to  $\mathbb{K}^{n-1}$ )

## Lecture #30

(Continuation)

Then: we have a one-parametric family of derivations

$$t d: \mathfrak{g} \rightarrow \mathfrak{g}, t \in \mathbb{K}$$

which exponentiates to a one-parametric group of automorphisms

$$e^{td}: \mathfrak{g} \rightarrow \mathfrak{g}, t \in \mathbb{K}$$

Applying the 2<sup>nd</sup> Fundamental Theorem of Lie theory, we thus get a one-parameter group of automorphisms

$$e^{td}: \bar{G} \rightarrow \bar{G}, t \in \mathbb{K}$$

This allows to define the following group structure on  $G := \bar{G} \times \mathbb{K}$ :

$$(x, t) \cdot (y, s) := (x \cdot e^{td}(y), t+s) \quad \forall x, y \in \bar{G} \quad \forall s, t \in \mathbb{K} \quad \leftarrow \text{i.e. } G = \mathbb{K} \ltimes \bar{G}$$

Clearly: 1)  $\text{Lie}(G) = \mathbb{K} d \ltimes \text{Lie}(\bar{G}) = \mathbb{K} d \ltimes \mathfrak{g} = \mathfrak{g}$

2)  $G$  is diffeomorphic to  $\mathbb{K}^{n-1} \times \mathbb{K} = \mathbb{K}^n$

Exercise (important for Ado's thm): If  $\mathfrak{g}$  is a nilpotent Lie algebra over  $\mathbb{K}$ , then  $\exp: \mathfrak{g} \rightarrow G$  is a diffeomorphism, and the multiplication map written in logarithmic coordinates  $\mu: \mathfrak{g} \times \mathfrak{g} \rightarrow \mathfrak{g}$  is polynomial.

### • Case 3: General Case

By Levi decomposition, we have  $\mathfrak{g} \simeq \mathfrak{g}_{s.s.} \ltimes \text{rad}(\mathfrak{g})$

By Case 1,  $\mathfrak{g}_{s.s.}$  admits a simply connected Lie gp which we denote  $G^{ss}$

By Case 2,  $\text{rad}(\mathfrak{g}) = \mathfrak{r}$  admits a simply connected Lie gp which we denote  $T$

Once again applying the 2<sup>nd</sup> Fundamental Theorem of Lie theory, we see that the action of  $\mathfrak{g}_{s.s.} \curvearrowright \text{rad}(\mathfrak{g})$  gives rise to the corresponding action of Lie gps:  $G^{s.s.} \curvearrowright T$

Then: the semidirect product  $G := G^{s.s.} \ltimes T$  is the desired Lie gp, as  $\text{Lie}(G) = \mathfrak{g}_{s.s.} \ltimes \mathfrak{r} = \mathfrak{g}$  and  $G$  is simply connected.