

Lecture #18

Our first goal is to prove the result stated in the very end of Lecture #17.

Theorem 1: The elements  $\{F_{i_1} \dots F_{i_r} \prod_{i \in I} K_i^{n_i} \cdot E_{j_1} \dots E_{j_l}\}$  form a basis of  $\bar{U}_q(\mathfrak{g})$

We start with the following few lemmas, but first let's set notations. For any sequence of simple roots  $J = (j_1, \dots, j_r) \in I^r \leftrightarrow (\alpha_{j_1}, \dots, \alpha_{j_r}) \in \Pi^r$ , set:

$$E_J := E_{j_1} \dots E_{j_r}, \quad F_J := F_{j_1} \dots F_{j_r}, \quad \text{wt}(J) := \alpha_{j_1} + \dots + \alpha_{j_r} \Rightarrow K_{\text{wt}(J)} = K_{j_1} \dots K_{j_r}.$$

Lemma 1:  $\forall J$  as above  $\exists$  Laurent polynomials  $C_{A,B}^J(t) \in \mathbb{Z}[t, t^{-1}]$  whose coeff-s do not depend on  $q$ , parametrized by sequences  $A, B$  as above s.t.  $\text{wt}(A) + \text{wt}(B) = \text{wt}(J)$  so that

$$\Delta(E_J) = \sum_{A,B} C_{A,B}^J(q) \cdot E_A K_{\text{wt}(B)} \otimes E_B, \quad \Delta(F_J) = \sum_{A,B} C_{A,B}^J(q^{-1}) F_A \otimes K_{\text{wt}(A)} F_B$$

[Exercise (easy)]: Prove this lemma by induction on the length of  $J$ .

Lemma 2 (Verma<sup>+</sup>-modules for  $\bar{U}_q(\mathfrak{g})$ ): Choose a collection of constants  $c_i \in \mathbb{k}^* \forall i \in I$

Let  $M_c$  be the vector space with basis  $\{v_J\}$ , parametrized by all finite sequences  $J$  of simple roots (including  $J = \emptyset$ ). There is a natural action

$\bar{U}_q(\mathfrak{g}) \curvearrowright M_c$  given by the following explicit operators:

$$\begin{aligned} F_i(v_J) &= v_{(i,J)} \\ K_i(v_J) &= c_i \cdot q_i^{-(a_{j_1} + \dots + a_{j_r})} \cdot v_J \quad \text{where } J = (j_1, j_2, \dots, j_r) \\ E_i(v_J) &= \sum_{1 \leq k \leq r} \frac{c_i q_i^{-(a_{j_{k+1}} + \dots + a_{j_r})} - c_i^{-1} q_i^{(a_{j_{k-1}} + \dots + a_{j_1})}}{q_i - q_i^{-1}} v_{(j_1, \dots, j_{k-1}, j_{k+1}, \dots, j_r)} \end{aligned}$$

These formulas define endomorphisms of  $M_c$ , and clearly  $K_i$ -action is invertible. It thus remains to check the defining rel-s of  $\bar{U}_q(\mathfrak{g})$  for these operators:

- 1)  $K_i F_j = q_i^{-a_{ij}} F_j K_i$  is clearly preserved as  $K_i F_j v_J = K_i v_{(j,J)} = c_i \cdot q_i^{-a_{ij}} \cdot q_i^{-(a_{j_1} + \dots + a_{j_r})} v_{(j,J)} = q_i^{-a_{ij}} F_j K_i v_J$
- 2)  $K_i E_j = q_i^{a_{ij}} E_j K_i$  is also clearly preserved as each sequence  $A$  featuring  $i$  as  $v_A$  is  $E_i(v_J)$  has  $\text{wt}(A) = \text{wt}(J) - \alpha_i$
- 3)  $[E_i, F_j] = 0$  for  $i \neq j$  is also clear as  $E_i$  detects all el-s of  $J$  equal to  $i$ , which is not affected by adding index  $j$  first, and coeff-s don't change

(Continuation)

4) Finally, checking  $[E_i, F_i] = \frac{K_i - K_i^{-1}}{q_i - q_i^{-1}}$ , we see that  $E_i F_i v_J$  differs from  $F_i E_i v_J$  at a single term - the first one:

$$[E_i, F_i] v_J = \frac{c_i q_i^{(a_{ij_1} + \dots + a_{ij_r})} - c_i^{-1} q_i^{-(a_{ij_1} + \dots + a_{ij_r})}}{q_i - q_i^{-1}} v_J = \frac{K_i - K_i^{-1}}{q_i - q_i^{-1}} v_J$$

Twisting the above  $\bar{U}_q(\mathfrak{g})$ -module by a Cartan involution

$$\omega: E_i \mapsto F_i, F_i \mapsto E_i, K_i \mapsto K_i^{-1}$$

and relabelling  $c_i^{-1} \mapsto c_i$ , we immediately get:

Lemma 3 (Verma-modules for  $\bar{U}_q(\mathfrak{g})$ ): Choose a collection of constants  $c_i \in k^+ \forall i \in I$ . There is a natural  $\bar{U}_q(\mathfrak{g})$ -action on  $M_c := \overset{\text{v. space}}{=} M_c$  given by the following  $f$ -laws:

$$E_i(v_J) = v_{(i, J)}$$

$$K_i(v_J) = c_i \cdot q_i^{(a_{ij_1} + \dots + a_{ij_r})} \cdot v_J$$

$$F_i(v_J) = \sum_{\substack{j_1 \leq i \\ 1 \leq k \leq r}} \frac{c_i^{-1} q_i^{-(a_{ij_{k+1}} + \dots + a_{ij_r})} - c_i q_i^{(a_{ij_{k+1}} + \dots + a_{ij_r})}}{q_i - q_i^{-1}} v_{(j_1, \dots, j_{k-1}, j_{k+1}, \dots, j_r)}$$

Let  $\bar{U}_q^-$  be subalgebra of  $\bar{U}_q(\mathfrak{g})$  generated by  $\{F_i\}_{i \in I}$

$$\bar{U}_q^+ \quad - \text{---} -$$

$$\bar{U}_q^0 \quad - \text{---} -$$

$$\{E_i\}_{i \in I}$$

$$\{K_i^{\pm 1}\}_{i \in I}$$

As the first approximation to Theorem 1, we have:

Corollary 1:  $\bar{U}_q^-$  is isomorphic to a free algebra in  $F_i$ 's

$$\bar{U}_q^+ \quad - \text{---} -$$

$E_i$ 's

By definition  $\bar{U}_q^-$  is spanned by  $\{F_J\}$ . It remains to show  $\{F_J\}$ -lin. indep. If not, we would have a nontrivial relation  $\sum_J a_J F_J = 0$  with not all  $a_J$  zero.

Applying this to  $v_\emptyset \in M_c$ , we get  $\sum_J a_J v_J = 0$ . But  $\{v_J\}$ -basis of  $M_c$  for any  $c_i$ 's  $\Rightarrow$  all  $a_J = 0 \Rightarrow$  Contradiction! Thus,  $\bar{U}_q^- \simeq k\langle F_i \rangle_{i \in I}$

Likewise, using  $M_c$  instead of  $M_c$ , one shows that  $\bar{U}_q^+ \simeq k\langle E_i \rangle_{i \in I}$

We shall now prove Thm 1 following similar ideas, but using both  $M_c$  &  $M_c^-$ .

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Prog of Theorem 1

• Easy: Given elements indeed span  $\bar{U}_q(\mathfrak{g})$ . To this end, one can easily show that  $\text{span}\{F_{i_1} \dots F_{i_k} \prod c_i^{n_i} \cdot E_{j_1} \dots E_{j_l}\}$  is invariant w.r.t. left multiplication (just use the defining rel-s). As it contains 1, it must equal all  $\bar{U}_q(\mathfrak{g})$ .

• Harder: Lin. independence of  $\{F_{\mathcal{J}} K_{\mu} E_{\mathcal{J}^+}\}$  where  $\mathcal{J}^{\pm}$ -sequences of simple roots  $\mu \in \mathcal{Q}$ .

Assume not, i.e. there is a nontrivial vanishing linear combination

$$X = \sum_{\mathcal{J}, \mu, \mathcal{J}^+} a_{\mathcal{J}, \mu, \mathcal{J}^+} \cdot F_{\mathcal{J}} K_{\mu} E_{\mathcal{J}^+} = 0 \text{ in } \bar{U}_q(\mathfrak{g})$$

Choose  $\mathcal{J}_0^-$  s.t.  $\exists \mathcal{J}, \mu$  with  $a_{\mathcal{J}_0^-, \mu, \mathcal{J}^+} = 0$  and  $\text{wt}(\mathcal{J}_0^-)$ -maximal

Let  $c_i = 1 \forall i$  and choose any  $c_i \in \mathbb{K}^* \forall i$ . We consider the action

$$\boxed{\bar{U}_q(\mathfrak{g}) \curvearrowright M_c \otimes M_c^-} \leftarrow \text{tensor product of modules from lemmas 2 \& 3.}$$

Then:  $0 = X(v_{\emptyset} \otimes v'_{\emptyset}) = \sum_{\mathcal{J}, \mu, \mathcal{J}^+} a_{\mathcal{J}, \mu, \mathcal{J}^+} F_{\mathcal{J}} K_{\mu} E_{\mathcal{J}^+} (v_{\emptyset} \otimes v'_{\emptyset})$

multiplicative in  $\mu$

$\| \begin{matrix} E_i(v_{\emptyset}) = 0 \forall i \\ \text{Lemma 1} \end{matrix}$

$$\underbrace{\sum_{\mathcal{J}, \mu, \mathcal{J}^+} a_{\mathcal{J}, \mu, \mathcal{J}^+} \cdot c^{\mu} \cdot q^{(\mu, \text{wt}(\mathcal{J}))}}_{F_{\mathcal{J}}(v_{\emptyset} \otimes v'_{\mathcal{J}})} = \sum_{\mathcal{J}, \mu, \mathcal{J}^+} a_{\mathcal{J}, \mu, \mathcal{J}^+} F_{\mathcal{J}} K_{\mu} (v_{\emptyset} \otimes v'_{\mathcal{J}})$$

(Apply Lemma 1 to evaluate  $F_{\mathcal{J}}(v_{\emptyset} \otimes v'_{\mathcal{J}})$ .)

In particular, by the choice of  $\mathcal{J}_0^-$ , we note that comparing coeff-s of  $v_{\mathcal{J}_0^-} \otimes v'_{\mathcal{J}_0^+}$  (for any  $\mathcal{J}_0^+$ ) we get.

$$\boxed{0 = \sum_{\mu} a_{\mathcal{J}_0^-, \mu, \mathcal{J}_0^+} \cdot c^{\mu} \cdot q^{(\mu, \text{wt}(\mathcal{J}_0^+)) - (\text{wt}(\mathcal{J}_0^-), \text{wt}(\mathcal{J}_0^+))} \forall c_i}$$

If  $\mu = \sum n_i \alpha_i \Rightarrow c^{\mu} = \prod c_i^{n_i}$  by def-n. Hence, above pot-l vanishes

(if  $\|k\| < \infty$ , extend the field!) Therefore,  $a_{\mathcal{J}_0^-, \mu, \mathcal{J}_0^+} \cdot q^{\dots} = 0 \forall \mathcal{J}_0^-, \mu, \mathcal{J}_0^+$ .

Contradiction with the choice of  $\mathcal{J}_0^-$ . Thus,  $\{F_{\mathcal{J}} K_{\mu} E_{\mathcal{J}^+}\}$ -basis of  $\bar{U}_q(\mathfrak{g})$