

## Lecture #36

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### Today: Tensor categories

We start from the following data:

- $\mathcal{C}$ -category
- $\otimes$ -functor  $\mathcal{C} \times \mathcal{C} \rightarrow \mathcal{C}$ , called a tensor product

As recalled last time, the above  $\otimes$  thus assigns

$$\forall X, Y \in \text{Ob}(\mathcal{C}) \rightsquigarrow X \otimes Y \in \text{Ob}(\mathcal{C})$$

$$\forall f: X \rightarrow X', g: Y \rightarrow Y' \rightsquigarrow f \otimes g: X \otimes Y \rightarrow X' \otimes Y'.$$

$$\text{so that } \text{id}_X \otimes \text{id}_Y = \text{id}_{X \otimes Y} \text{ and } (f \otimes g') \circ (f' \otimes g) = (f' \circ f) \otimes (g' \circ g) \quad \forall f': X' \rightarrow X'', g'': Y' \rightarrow Y''.$$

Basic example:  $\mathcal{C} = \text{Vect}_{\mathbb{K}}$ ,  $\otimes: \mathcal{C} \times \mathcal{C} \rightarrow \mathcal{C}$  is the usual tensor product over  $\mathbb{K}$

Motivated by this example and the obvious isomorphisms

$$(U \otimes V) \otimes W \simeq U \otimes (V \otimes W), \quad \mathbb{K} \otimes V \simeq V, \quad V \otimes \mathbb{K} \simeq V$$

we shall now introduce some additional structures that mimick these isomorphisms

Def: An associativity constraint for  $\otimes$  is a natural isomorphism  
 $a: \otimes(\otimes \times \text{id}) \rightarrow \otimes(\text{id} \times \otimes)$  also written as  $\mathcal{C} \times \mathcal{C} \times \mathcal{C} \xrightarrow{\alpha} \mathcal{C}$

This structure amounts for a family of isomorphisms  $\{a_{X,Y,Z} | X, Y, Z \in \text{Ob}(\mathcal{C})\}$

$$a_{X,Y,Z}: (X \otimes Y) \otimes Z \xrightarrow{\sim} X \otimes (Y \otimes Z) \text{ - isomorphism of objects}$$

natural / functorial in the following sense:

$$\forall f: X \rightarrow X', g: Y \rightarrow Y', h: Z \rightarrow Z' \xrightarrow[\text{morphisms in } \mathcal{C}]{} \begin{array}{c} \text{have} \\ \text{commutative} \\ \text{diagram} \end{array} \quad \begin{array}{ccc} (X \otimes Y) \otimes Z & \xrightarrow{a_{X,Y,Z}} & X \otimes (Y \otimes Z) \\ \text{f} \otimes \text{g} \otimes \text{h} \downarrow & & \downarrow \text{id}_X \otimes a_{Y,Z} \\ (X' \otimes Y') \otimes Z' & \xrightarrow{a_{X',Y',Z'}} & X' \otimes (Y' \otimes Z') \end{array}$$

Furthermore, one imposes the following pentagon axiom on associativity constraint

$$\begin{array}{ccccc} ((X \otimes Y) \otimes Z) \otimes W & \xrightarrow{a_{X,Y,Z} \otimes \text{id}_W} & (X \otimes (Y \otimes Z)) \otimes W & \xrightarrow{a_{X,Y \otimes Z,W}} & X \otimes ((Y \otimes Z) \otimes W) \\ \downarrow a_{X \otimes Y, Z, W} & & & \downarrow \text{id}_X \otimes a_{Y,Z,W} & \text{commutes} \\ (X \otimes Y) \otimes (Z \otimes W) & \xrightarrow{a_{X,Y,Z \otimes W}} & & & X \otimes (Y \otimes (Z \otimes W)) \end{array} \quad \boxed{\text{A } X, Y, Z, W \in \text{Ob}(\mathcal{C})}$$

To define an analogue of  $\mathbb{K} \otimes V \simeq V \simeq V \otimes \mathbb{K}$  in  $\text{Vect}_{\mathbb{K}}$ , we have to add the following data: an object  $I \in \text{Ob}(\mathcal{C})$ , called the unit, satisfying left & right unit constraints.

Def: A left unit constraint w.r.t.  $I$  is a natural isomorphism  $\mathcal{C} \xrightarrow{\otimes I \text{ id}_{\mathcal{C}}} \mathcal{C}$

A right unit constraint w.r.t.  $I$  is a natural isom.  $\mathcal{C} \xrightarrow{\text{id}_{\mathcal{C}} \otimes I} \mathcal{C}$

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The left & right unit constraints w.r.t.  $I$  amount to the facilities of isomorphisms  
 $l_x: I \otimes X \rightarrow X$  and  $r_x: X \otimes I \rightarrow X \quad \forall X \in \text{Ob}(\mathcal{C})$

natural in the sense that for any morphism  $f: X \rightarrow Y$  we have commut. diag:

$$\begin{array}{ccc} I \otimes X & \xrightarrow{l_X} & X \\ id_I \otimes f \downarrow & & \downarrow f \\ I \otimes Y & \xrightarrow{l_Y} & Y \end{array} \quad \text{and} \quad \begin{array}{ccc} X \otimes I & \xrightarrow{r_X} & X \\ f \otimes id_Y \downarrow & & \downarrow f \\ Y \otimes I & \xrightarrow{r_Y} & Y \end{array}$$

Moreover, one imposes the following "natural" axiom on  $a, b, r$ :

Triangle axiom:  $(X \otimes I) \otimes Y \xrightarrow{a_{X,I,Y}} X \otimes (I \otimes Y)$

$$\begin{array}{ccc} r_{X \otimes I} \downarrow & & \downarrow id_X \otimes b_Y \\ X \otimes Y & & \end{array} \quad \forall X, Y \in \text{Ob}(\mathcal{C})$$

Key Definition: A tensor category  $(\mathcal{C}, \otimes, I, a, l, r)$  is a category  $\mathcal{C}$ , equipped with a tensor product functor  $\otimes: \mathcal{C} \times \mathcal{C} \rightarrow \mathcal{C}$ , an object  $I \in \text{Ob}(\mathcal{C})$  called the unit, with associativity constraint  $a$ , left unit constraint  $l$ , and right unit constraint  $r$ , such that Pentagon & Triangle axioms hold.

- Back to the basic example of  $\mathcal{C} = \text{Vect}_{\mathbb{K}}$ ,  $\otimes$  being the usual tensor product,  $I = \mathbb{K}$ :
  - $a: (U \otimes V) \otimes W \rightarrow U \otimes (V \otimes W)$  maps  $(u \otimes v) \otimes w \mapsto u \otimes (v \otimes w)$
  - $l: \mathbb{K} \otimes V \rightarrow V$  maps  $1 \otimes v \mapsto v$
  - $r: V \otimes \mathbb{K} \rightarrow V$  maps  $v \otimes 1 \mapsto v$
- As a slight upgrade of  $\text{Vect}_{\mathbb{K}}$ , let's consider the category  $A\text{-Mod}$  of left modules over an algebra  $A$ . Assume we also have algebra homomorphisms
 
$$\Delta: A \rightarrow A \otimes A \quad \text{and} \quad \varepsilon: A \rightarrow \mathbb{K} \quad (\text{ground field})$$

This allows to define  $\otimes: A\text{-Mod} \times A\text{-Mod} \rightarrow A\text{-Mod}$  as well as equip  $\mathbb{K}$  with an  $A$ -module structure, hence the unit  $1 \in \mathbb{K} \in A\text{-Mod}$ .

Lemma 1: The above data  $(A, \Delta, \varepsilon)$  is a bialgebra iff  $A\text{-Mod}$  is a tensor category

[Exercise (easy): prove lemma]

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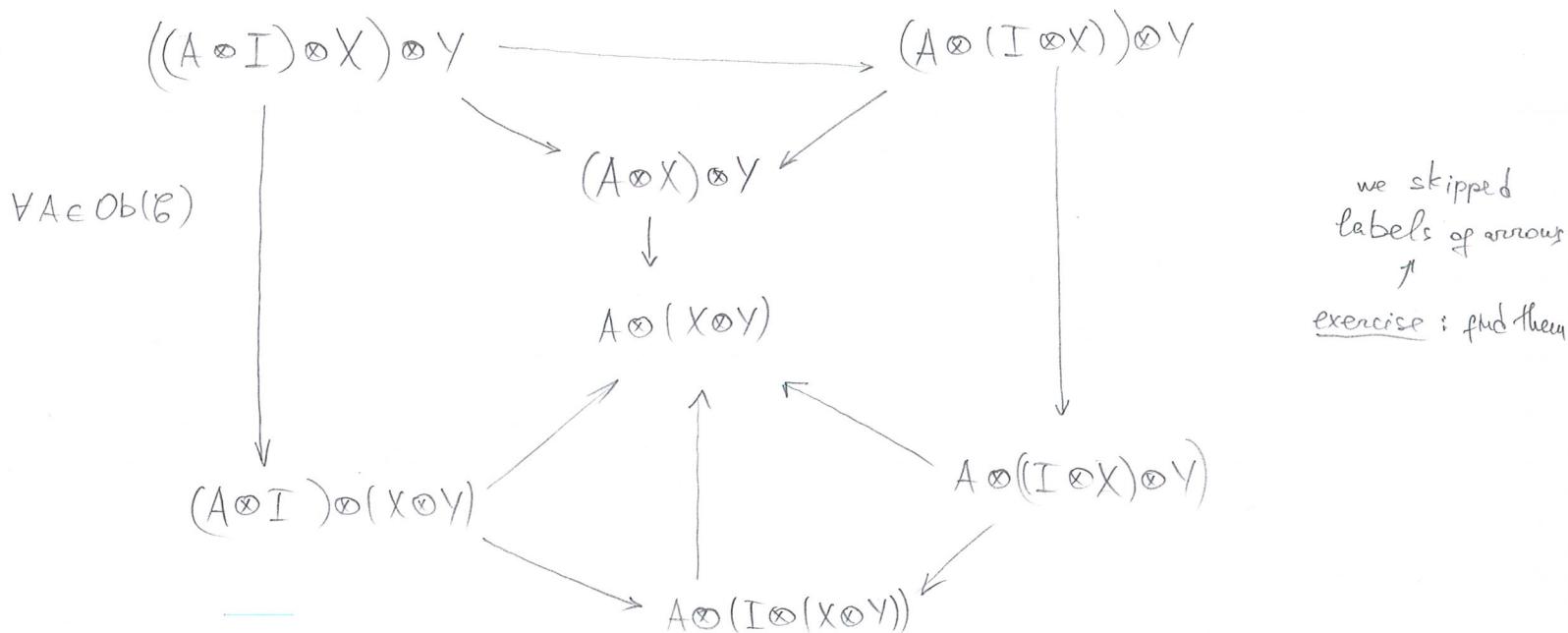
Let us now prove some basic properties of the unit object  $I$ .

Lemma 2: For any  $X, Y \in \text{Ob}(\mathcal{B})$  the following triangles commute:

$$(I \otimes X) \otimes Y \xrightarrow{\alpha_{I,X,Y}} I \otimes (X \otimes Y) \quad \text{and} \quad (X \otimes Y) \otimes I \xrightarrow{\alpha_{X,Y,I}} X \otimes (Y \otimes I)$$

$\ell_{X \otimes Y} \downarrow \quad \ell_{X \otimes Y} \downarrow \quad \tau_{X \otimes Y} \downarrow \quad id_X \otimes \tau_Y \downarrow$

This result is proved by constructing a big diagram (see p.283 in Kassel's book):



and observe the following commutative sub-diagrams:

- 1) the outside pentagon - due to the Pentagon axiom
- 2) the left rectangle - due to naturality of  $\begin{cases} r_A: A \otimes I \rightarrow A \\ id_X: X \rightarrow X, id_Y: Y \rightarrow Y \end{cases}$
- 3) the right rectangle - due to naturality of  $\begin{cases} l_X: I \otimes X \rightarrow X \\ id_A, id_Y \end{cases}$
- 4) the top triangle - due to the Triangle axiom (tensored with  $id_Y$ )
- 5) the bottom-left triangle - due to the Triangle axiom.

We claim that 1)-5) together with all arrows being isomorphism imply that the bottom-right triangle commutes. To see it - compose both  $\begin{cases} id_A \otimes l_X \otimes id_Y \\ id_A \otimes id_X \otimes id_Y \end{cases}$  and  $\begin{cases} id_A \otimes id_X \otimes id_Y \\ id_A \otimes id_X \otimes id_Y \end{cases}$  with top  $\begin{cases} \alpha_{A,I,X \otimes Y} \\ id_A \otimes id_Y \end{cases}$  and vertical right  $\begin{cases} \alpha_{A,I \otimes X,Y} \\ id_A \otimes id_Y \end{cases}$ .

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(Continuation)

Thus, we get:

$$\text{id}_A \otimes (\ell_x \otimes \text{id}_Y) = (\text{id}_A \otimes \ell_{X \otimes Y}) \circ (\text{id}_A \otimes a_{I, X, Y}) : A \otimes ((I \otimes X) \otimes Y) \rightarrow A \otimes (X \otimes Y)$$

which holds for any  $A \in \text{Ob}(\mathcal{C})$ . In particular, we can take  $A = I$  - unit object which immediately implies the commutativity of the first triangle in Lemma

Exercise: prove the other commutativity

Lemma 3: If  $I$  - unit object, then  $\ell_{I \otimes X} = \text{id}_I \otimes \ell_X$ ,  $\tau_{X \otimes I} = \tau_X \otimes \text{id}_I \quad \forall X \in \text{Ob}(\mathcal{C})$ .

By naturality of  $\ell$ , we have the following commutative square:

$$\begin{array}{ccc} I \otimes (I \otimes X) & \xrightarrow{\ell_{I \otimes X}} & I \otimes X \\ \downarrow \text{id}_I \otimes \ell_X & & \downarrow \ell_X \text{-isom.} \\ I \otimes X & \xrightarrow{\ell_X} & X \end{array} \implies \ell_{I \otimes X} = \text{id}_I \otimes \ell_X \text{ proving the 1st equality}$$

The 2nd equality is deduced likewise from

$$\begin{array}{ccc} (X \otimes I) \otimes I & \xrightarrow{\tau_{X \otimes I}} & X \otimes I \\ \downarrow \tau_{X \otimes \text{id}_I} & & \downarrow \tau_X \\ X \otimes I & \xrightarrow{\tau_X} & X \end{array}$$

Lemma 4: If  $I$  - unit object, then  $\tau_I = \ell_I$

Let  $a_I = a_{I, I, I} : (I \otimes I) \otimes I \rightarrow I \otimes (I \otimes I)$ . Then:

$$\ell_I \otimes \text{id}_I \xrightleftharpoons{\text{Lemma 2}} \ell_{I \otimes I} \circ a_I \xrightleftharpoons{\text{Lemma 3}} (\text{id}_I \otimes \ell_I) \circ a_I \xrightleftharpoons{\text{Triangle axiom}} \tau_I \otimes \text{id}_I$$

which implies the claimed  $\ell_I = \tau_I$  (can ignore  $\otimes \text{id}_I$ , since  $\tau$  - isom.)

Lemma 5: The endomorphisms  $\text{End}(I)$  is a commutative monoid for the composition  
Moreover, the tensor product of morphisms coincides with composition  
once we identify  $I \otimes I \xrightarrow{\ell_I = \tau_I} I$ , i.e.  $f \otimes g = \tau_I^{-1} \circ (f \circ g) \circ \tau_I$

By commutativity of  $\begin{array}{ccc} I \otimes I & \xrightarrow{\tau_I} & I \\ \downarrow f \otimes \text{id}_I & \xrightarrow{\tau_I} & \downarrow f \\ I \otimes I & \xrightarrow{\tau_I} & I \end{array}$  and  $\begin{array}{ccc} I \otimes I & \xrightarrow{\ell_I} & I \\ \downarrow \text{id}_I \otimes g & \xrightarrow{\ell_I} & \downarrow g \\ I \otimes I & \xrightarrow{\ell_I} & I \end{array}$ , have  $f \otimes \text{id}_I = \tau_I^{-1} \circ f \circ \tau_I$   
 $\text{id}_{I \otimes g} = \ell_I^{-1} \circ g \circ \ell_I$

As  $\ell_I = \tau_I$  by Lemma 4, and  $f \otimes g = (f \otimes \text{id}_I) \circ (\text{id}_I \otimes g) = (\text{id}_I \otimes g) \circ (f \otimes \text{id}_I)$ , we derive  
the above f-la as well as  $f \otimes g = g \circ f$

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We conclude by introducing general notions of tensor functors, natural tensor trans.

Def: Given two tensor categories  $(\mathcal{C}, \otimes, I, a, l, r)$  and  $(\mathcal{C}', \otimes', I', a', l', r')$ , a tensor functor from  $\mathcal{C}$  to  $\mathcal{C}'$  is a triple  $(F, \varphi_0, \varphi_2)$ , where

- $F: \mathcal{C} \rightarrow \mathcal{C}'$  - functor
- $\varphi_0: I' \xrightarrow{\sim} F(I)$  - isomorphism in  $\mathcal{C}'$
- $\varphi_2 = \{ \varphi_2(X, Y) \mid X, Y \in \text{Ob}(\mathcal{C}) \}$  is a family of isomorphisms in  $\mathcal{C}'$   
 $\varphi_2(X, Y): F(X) \otimes' F(Y) \rightarrow F(X \otimes Y)$

natural in the sense that all the following diagrams commute:

$$\begin{array}{ccc}
 I' \otimes' F(X) & \xrightarrow{l'_{F(X)}} & F(X) \\
 \downarrow \varphi_0 \otimes' \text{id}_{F(X)} & & \uparrow F(l_X) \\
 F(I) \otimes' F(X) & \xrightarrow{\varphi_2(I, X)} & F(I \otimes X)
 \end{array}
 \quad
 \begin{array}{ccc}
 F(X) \otimes' I' & \xrightarrow{r'_{F(X)}} & F(X) \\
 \downarrow \text{id}_{F(X)} \otimes' \varphi_0 & & \uparrow F(r_X) \\
 F(X) \otimes' F(I) & \xrightarrow{\varphi_2(X, I)} & F(X \otimes I)
 \end{array}$$
  

$$\begin{array}{ccc}
 (F(X) \otimes' F(Y)) \otimes' F(Z) & \xrightarrow{a'_{F(X), F(Y), F(Z)}} & F(X) \otimes' (F(Y) \otimes' F(Z)) \\
 \downarrow \varphi_2(X, Y) \otimes' \text{id}_{F(Z)} & & \downarrow \text{id}_{F(X)} \otimes' (\varphi_2(Y, Z)) \\
 F(X \otimes Y) \otimes' F(Z) & & F(X) \otimes' F(Y \otimes Z) \\
 \downarrow \varphi_2(X \otimes Y, Z) & & \downarrow \varphi_2(X, Y \otimes Z) \\
 F((X \otimes Y) \otimes Z) & \xrightarrow{F(a_{X, Y, Z})} & F(X \otimes (Y \otimes Z))
 \end{array}$$

Def: A natural tensor transformation  $\eta: (F, \varphi_0, \varphi_2) \rightarrow (\tilde{F}, \tilde{\varphi}_0, \tilde{\varphi}_2)$

between two tensor functors from  $\mathcal{C}$  to  $\mathcal{C}'$  is a natural transform.

$\eta: F \rightarrow \tilde{F}$  s.t.  $\forall X, Y \in \text{Ob}(\mathcal{C})$  we have commutative diagrams

$$\begin{array}{ccc}
 I & \xrightarrow{\varphi_0} & F(I) \\
 & \downarrow \eta_I & \\
 & \nearrow \tilde{\varphi}_0 & \tilde{F}(I)
 \end{array}
 \quad \text{and} \quad
 \begin{array}{ccc}
 F(X) \otimes' F(Y) & \xrightarrow{\varphi_2(X, Y)} & F(X \otimes Y) \\
 \downarrow \eta_{X \otimes' Y} & & \downarrow \eta_{X \otimes Y} \\
 \tilde{F}(X) \otimes' \tilde{F}(Y) & \xrightarrow{\tilde{\varphi}_2(X, Y)} & \tilde{F}(X \otimes Y)
 \end{array}$$

Furthermore, if  $\eta_x$  is an isomorphism  $\forall X \in \text{Ob}(\mathcal{C})$ , then  $\eta$ -natural  $\otimes$  isomorphism

Finally, two tensor functors  $F: \mathcal{C} \rightarrow \mathcal{C}'$  and  $G: \mathcal{C}' \rightarrow \mathcal{C}$  together with natural tensor isomorphisms  $\text{id}_{\mathcal{C}'} \xrightarrow{\sim} FG$  and  $GF \xrightarrow{\sim} \text{id}_{\mathcal{C}}$  allow to define a tensor equivalence of categories