

# Lecture 5

02/02/2021

Last time

→  $\mathbb{Z}$ -graded Lie algebras (non-degenerate).

$$\mathfrak{g} = \underbrace{\mathfrak{n}_+}_{\mathbb{Z}\text{-deg: } > 0} \oplus \underbrace{\mathfrak{h}}_{\mathfrak{g}_0} \oplus \underbrace{\mathfrak{n}_-}_{< 0}$$

↑  
abelian

→  $M_\lambda^\pm$  (Verma)

→  $\exists!$   $\mathfrak{g}$ -inv. pairing

$$M_\lambda^+ \times M_{-\lambda}^- \xrightarrow{(\cdot, \cdot)_\lambda} \mathbb{C}$$

$$(v_\lambda^+, v_{-\lambda}^-)_\lambda = 1$$

Thm 1 ( $\mathfrak{g}$ -nondeg.):  $\forall \lambda$ , the restriction  $(\cdot, \cdot)_\lambda|_{M_\lambda^+(\mathbb{C}) \times M_{-\lambda}^-(\mathbb{C})}$  -nondeg  
 for generic  $\lambda \in \mathfrak{h}^*$   
 ( $\lambda$  - outside of hypersurface in  $\mathfrak{h}^*$ )

\*  $J_\lambda^\pm \subseteq M_\lambda^\pm$  - kernels of  $(\cdot, \cdot)_\lambda \leftarrow$  graded submodules

$\Downarrow$

$$L_\lambda^\pm := M_\lambda^\pm / J_\lambda^\pm$$

Thm 2: (a)  $L_\lambda^\pm$  - irreducible

(b)  $J_\lambda^\pm$  - max proper graded submodule of  $M_\lambda^\pm$

(c)  $\nexists \exists L \in \mathfrak{h} = \mathfrak{g}_0$  s.t.  $\text{ad } L|_{\mathfrak{g}_n} = n \cdot \text{Id}_{\mathfrak{g}_n} \Rightarrow J_\lambda^\pm$  - max proper submodule.

$$\begin{array}{ccc} M_\lambda^+ \times M_{-\lambda}^- & \xrightarrow{(\cdot, \cdot)_\lambda} & \mathbb{C} \\ \cup & & \Downarrow \\ J_\lambda^+ & \cup & J_{-\lambda}^- \end{array}$$

So:

$$L_\lambda^+ \times L_{-\lambda}^- \xrightarrow{(\cdot, \cdot)_\lambda} \mathbb{C} \text{ - non-degenerate!}$$

Cor:  $M_\lambda^\pm$  - irred. for generic  $\lambda \in \mathfrak{h}^*$  (though telling explicitly the conditions is quite non-trivial)

# Proof of Thm 2

$$\mathfrak{g} = \underbrace{\mathfrak{n}_+}_{\oplus} \oplus \mathfrak{h} \oplus \mathfrak{n}_-$$

a) Assume  $L_{\lambda}^+$  is not irreducible  $\Rightarrow \exists 0 \neq V \neq L_{\lambda}^+$

$$\text{any } v \neq 0$$

$$\parallel \underbrace{v_0 + v_{-1} + v_{-2} + \dots + v_{-s}}_{\text{integer}}$$

Choose  $v \in V$  dot s.t.  $s$ -min. possible integer.

• If  $s=0 \Rightarrow v$  is proportional to  $\bar{v}_{\lambda}^+$   $\Rightarrow$  generates all  $L_{\lambda}^+ \Rightarrow V = L_{\lambda}^+$

• If  $s > 0 \Rightarrow \underbrace{\mathfrak{n}_+(v)}_V = 0$  (by above assumption on  $s$ )

Take any  $x \in \underbrace{\mathfrak{n}_+}_{V}$  and any  $w \in L_{\lambda}^+$  and compute

$$\underbrace{(\cdot, \cdot)}_{\text{nondeg.}}: L_{\lambda}^+ \times L_{-\lambda}^- \rightarrow \mathbb{C}$$

$$0 = \underbrace{(xv, w)}_0 = -\underbrace{(v, xw)} \Rightarrow v \text{ pairs trivially with all } xw$$

$\Rightarrow v \in \text{Kernel of } (\cdot, \cdot) \text{ in } L_{\lambda}^+ \times L_{-\lambda}^-$

$\Rightarrow v=0$ , since  $(\cdot, \cdot): L_{\lambda}^+ \times L_{-\lambda}^- \rightarrow \mathbb{C}$  is nondeg.

b)

$$M_2^+ \supset J_2^+$$

~~$\mathbb{U}$~~   $\mathbb{U}$ ?

$V$ -graded proper submodule

If  $V \neq J_2^+ \Rightarrow$  look at its image:  $\bar{V} \subseteq M_2^+ / J_2^+ = L_2^+$

$\bar{V}$  graded, i.e.  $\bar{V} = \bigoplus (\bar{V} \cap L_2^+ [n])$ .

$\neq 0$   
 $\bar{V}$ -submodule of  $L_2^+$

By part a),  $\bar{V}$  is not proper ( $\bar{V} = 0$  or  $\bar{V} = L_2^+$ )

$\Rightarrow \bar{V} = L_2^+$

$\Downarrow$

$$\bar{v}_2^+ \in \bar{V}$$

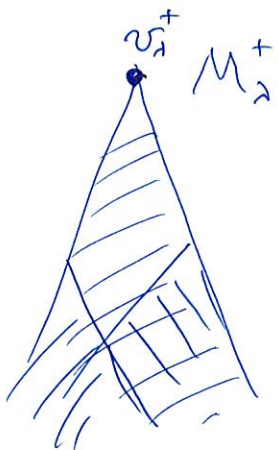
$\Downarrow$ ! Here use  $V$ -graded

$$v_2^+ \in V$$

$\Downarrow$

$$V = M_2^+$$

~~$V$ -graded~~



~~$\Downarrow$~~   $\Leftarrow$

Contradiction.



c)  $\exists L \in \mathfrak{g}_0 = \mathfrak{h}$  s.t.  $\text{ad}(L)|_{\mathfrak{g}_n} = n \cdot \text{Id}_{\mathfrak{g}_n} \Rightarrow$  can discard "graded" in (b).

Reason: This  $L$  defines a grading !!!



Claim:  $\exists v = v_0 + v_{-1} + v_{-2} + \dots + v_{-s}$

$\forall v_i \in V$

Idea:  $\text{ad}(L)^k v \in V \quad \forall 0 \leq k \leq s$

Vandermonde determinant

as all eigenvalues are different

~~No  $L$  as in c)~~

c) holds

~~not here~~

Remark:  $\mathfrak{A}$ ,  $V_{\text{int}}$   $\mathfrak{g}$ -simple  $\hat{\mathfrak{g}} = \mathfrak{g}[t, t^{-1}] \oplus \mathbb{C} \cdot K$ .

$$V_{\text{int}} = \begin{cases} \mathbb{C} \cdot L_n, & n \neq 0 \\ \mathbb{C} \cdot L_0 + \mathbb{C} \cdot K, & n = 0. \end{cases}$$

Viz:  $\text{ad}(L_0)(L_n) = [L_0, L_n] = -n \cdot L_n \Rightarrow$   $L = -L_0$  plays the role of  $L$ !

$(p, \alpha_i) = 1$

$\mathfrak{g}$ :  $\mathfrak{g}_{\pm} = \text{span} \{e_{\pm \alpha_i}\}$  simple root generator

$\mathfrak{g}_n = \text{span} \{e_{\alpha} \mid \alpha\text{-root of height } n\}$

$\mathfrak{g}_0 = \text{Cartan}$

Take  $L = \rho^{\vee}$

# Sketch of proof of Thm 1

Last time :  $M_\lambda^+ \cong \mathcal{U}(\mathfrak{m}_-)$        $M_{-\lambda}^- \cong \mathcal{U}(\mathfrak{m}_+)$

$x(v_\lambda^+) \leftrightarrow x$        $y(v_{-\lambda}^-) \leftrightarrow y$

$\mathbb{Z}$ -graded v. space isom.

$\forall x \in \mathfrak{g}$   
 $(xv, w) = (v, -xw)$

Thm 1:

$( , )_\lambda \Big| \underbrace{M_\lambda^+[-n]}_{\mathcal{U}(\mathfrak{m}_-)[-n]} \times \underbrace{M_{-\lambda}^- [n]}_{\mathcal{U}(\mathfrak{m}_+)[n]}$

$(v, S(xy)w) = (xv, yw) = (S(y)xv, w)$   
 $x, y \in \mathcal{U}(\mathfrak{g})$

Here:  $S: \mathcal{U}\mathfrak{g} \rightarrow \mathcal{U}\mathfrak{g}$  - antiautom.  $x \mapsto -x$

can view as

$( , ) : \mathcal{U}(\mathfrak{m}_-)[-n] \times \mathcal{U}(\mathfrak{m}_+)[n] \rightarrow \mathbb{C}$

$\mathbb{Z}$ -graded v. spaces

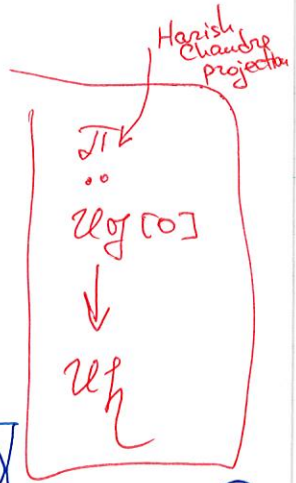
PBW  $\Rightarrow \mathcal{U}\mathfrak{g} \cong \mathcal{U}\mathfrak{m}_- \otimes \mathcal{U}\mathfrak{h} \otimes \mathcal{U}\mathfrak{m}_+$

$\mathbb{H}(S(y)x) v_\lambda^+$

$\Downarrow$   
 $(S(y)x v_\lambda^+, v_{-\lambda}^-)_\lambda$   
 of deg 0.

$n_+(v_\lambda^+) = 0$

$\lambda(\pi(S(y)x))$



Example 1 ( $\mathfrak{g} = \mathfrak{sl}_2$ ):

$\mathfrak{g}_1 = e$   
 $\mathfrak{g}_{-1} = f$   
 $\mathfrak{g}_0 = h$

$\rightsquigarrow \begin{cases} \mathcal{U}(\mathfrak{m}_+) \cap \mathfrak{m} = \mathbb{C} \cdot e^n \\ \mathcal{U}(\mathfrak{m}_-) \cap \mathfrak{m} = \mathbb{C} \cdot f^n \end{cases} \leftarrow 1\text{-dim!}$

$(\ , \ )_{\lambda} |_{\mathbb{C}f^n \times \mathbb{C}e^n} = \left( \underbrace{f^n v_{\lambda}^+}_{e^n f^n v_{\lambda}^+}, \underbrace{e^n v_{-\lambda}^-}_{e^n} \right) = \left( (-e)^n f^n v_{\lambda}^+, v_{-\lambda}^- \right)$   
 $\parallel \begin{matrix} a = a(h) \\ (-1)^n \cdot n! \cdot \lambda(\lambda-1) \dots (\lambda-n+1) \end{matrix}$

$e f^n v_{\lambda}^+ = (f e f^{n-1} + h f^{n-1}) v_{\lambda}^+ = (f^2 e f^{n-2} + f h f^{n-2} + h f^{n-1}) v_{\lambda}^+ = \dots$   
 $= (h f^{n-1} + f h f^{n-2} + \dots + f^{n-2} \underbrace{h f}_{f h - 2f} + f^{n-1} \underbrace{h}_{\lambda \cdot f^{n-1} v_{\lambda}^+}) v_{\lambda}^+$   
 $\dots \dots \dots \underbrace{\dots}_{(n-4) f^{n-4} v_{\lambda}^+} \underbrace{\dots}_{(n-2) f^{n-2} v_{\lambda}^+}$

for some  $\lambda$   
if  $\lambda \in \mathbb{Z}_{\geq 0}$

$= \underline{n \cdot (\lambda - (n-1)) f^{n-1} v_{\lambda}^+}$  | Upshot:  $e^n f^n v_{\lambda}^+ = n(\lambda - n + 1) \cdot e^{n-1} f^{n-1} v_{\lambda}^+ = \dots = n! \lambda(\lambda-1) \dots (\lambda-n+1) v_{\lambda}^+$

Upshot:  $M_{\lambda}^+ - \text{irr. iff } \lambda \notin \mathbb{Z}_{\geq 0}$ .

✓ Recover the basic result about  $\mathfrak{sl}_2$  (7)



Viz Example 2 ~~(n=1,2)~~ (general result - much later!)  
for any  $n \in \mathbb{Z}_{\neq 0}$

$Viz_n = \mathbb{C} \cdot L_n$  for  $n \neq 0$ .

•  $n=1$ :  $(\ , \ ) \mid \underbrace{\mathcal{U}(n-1)[-1]}_{\mathbb{C} \cdot L_{-1}} \times \underbrace{\mathcal{U}(n+1)[1]}_{\mathbb{C} \cdot L_1}$

$(L_{-1}v_\lambda^+, L_1v_{-\lambda}^-) = -(\underbrace{L_1 L_{-1}}_{L_{-1}L_1 + 2L_0} v_\lambda^+, v_{-\lambda}^-) = -(\underbrace{2L_0}_{\text{conformal weight } h} v_\lambda^+, v_{-\lambda}^-) = -2h.$

$\lambda \in \frac{1}{2}^* = (\mathbb{C} \cdot L_0 + \mathbb{C} \cdot C)^*$   $\rightarrow$  we'll incode  $\lambda$  by  $\uparrow$  central

$\lambda(L_0) =: h \leftarrow$  conformal weight  
 $\lambda(C) =: c \leftarrow$  central charge

$S_0$ : At "depth 1" get nondegeneracy  $\Leftrightarrow h \neq 0$ .



- $n=2$ :  $\mathcal{U}(n_-)[-2]$  has a basis  $\{L_{-1}^2, L_{-2}\}$   
 $\mathcal{U}(n_+)[2] \quad \text{---} \quad \{L_1^2, L_2\}$

$$\det \begin{pmatrix} (L_{-1}^2 v_\lambda^+, L_{-1}^2 v_{-\lambda}^-) & (L_{-1}^2 v_\lambda^+, L_2 v_{-\lambda}^-) \\ (L_{-2} v_\lambda^+, L_{-1}^2 v_{-\lambda}^-) & (L_{-2} v_\lambda^+, L_2 v_{-\lambda}^-) \end{pmatrix} \neq 0.$$

Need to know when this holds!

Exercise minimum

$$\det \begin{pmatrix} 8h^2 + 4h & 6h \\ -6h & -4h - \frac{1}{2}c \end{pmatrix} = \boxed{-4h \left( (2h+1) \left( 4h + \frac{c}{2} \right) - 9h \right)}$$

So: At "depth 2" get nondegeneracy  $\Leftrightarrow$   $\left. \begin{array}{l} h \neq 0 \\ (h, c) \text{ not on parabola} \end{array} \right\}$

! HWk Exercise\*: Finish Thm 1. (this is quite technical, so we'll skip now)

Def: For a  $\mathfrak{g}$ -module  $V$ , a vector  $v \in V$  is called singular of weight  $\lambda$  if  $\mathfrak{n}_+(v) = 0$ ,  $\mathfrak{x}(v) = \lambda(\mathfrak{x}) \cdot v$  for every  $\mathfrak{x} \in \mathfrak{h}$

Example:  $v_\lambda^+ \in M_\lambda^+$

Lemma:  $\text{Sing}_\lambda(V) \cong \text{Hom}_{\mathfrak{g}}(M_\lambda^+, V)$

$\phi(v_\lambda^+) \longleftarrow \psi$

$$\text{Hom}_{\mathfrak{g}}(\text{Ind}_{\mathfrak{h} \oplus \mathfrak{n}_+}^{\mathfrak{g}} \mathbb{C}_\lambda, V) \stackrel{\text{Frobenius reciprocity}}{=} \text{Hom}_{\mathfrak{h} \oplus \mathfrak{n}_+}(\mathbb{C}_\lambda, V) = \text{Sing}_\lambda(V).$$

Prop 1 ( $\mathfrak{g}$ -nondeg. / at least a), b) hold i.e.  $\mathfrak{g}_0$ -f.d.,  $\mathfrak{g}_0$ -abelian)

$M_\lambda^+$ -irred. iff  $\exists$  nonzero singular vectors in negative degrees.

$\Rightarrow$ :  $\exists v \in \mathfrak{S}ng_\mu(M_\lambda^+)$   $\xRightarrow{\text{Lemma}}$  nonzero homomorphism

$M_\lambda^+$ -irred  $\xRightarrow{\quad} \text{Im} = M_\lambda^+$

$\Rightarrow \Downarrow$  Contradiction



$$M_\mu^+ \longrightarrow M_\lambda^+$$

$$v_\mu^+ \longmapsto v \in M_\lambda^+[-k] \quad k > 0$$

$\text{Im}(M_\mu^+)$  - in negative degrees

$$\Downarrow v_\lambda^+ \notin \text{Im}(M_\mu^+)$$

Exercise: Any nonzero homom.  $M_\mu^+ \rightarrow M_\lambda^+$  is injective. (follows from PBW).

◀ No sym. vectors  $\Rightarrow M_\lambda^+$  - invd.

Assume not  $\Rightarrow 0 \notin V \notin M_\lambda^+$

$\Rightarrow \exists v \text{ s.t. } \mathcal{U}(\sigma)v \notin M_\lambda^+$

$$v = v_0 + v_1 + \dots + v_{s-1}$$

Exercise: Can assume  $v$ -homog.

$\Rightarrow v$  is of degree  $-s$

Assume that we picked  $v$  with minimal value of  $s \in \mathbb{Z}_{\geq 0}$   
(i.e.  $v$  has the largest possible  $\mathbb{Z}$ -degree)

First:  $\mathcal{N}_+(v) = 0$

if not take  $v' = x(v)$  for some  $x \in \mathbb{N}_+$   
 $\Rightarrow \mathcal{U}(\sigma)v' \notin M_\lambda^+$  but  $v'$  has smaller  $s$ .

Let's look at the action of  $\mathcal{H}$ .

Take all  $v$  as above with fixed  $s$ .

$\Rightarrow$  though  $v$  <sup>itself</sup> is not necessarily eigenvector for  $\mathcal{H}$

$\Rightarrow$  we can find  $v'$  having the same  $s$  and s.t.  $x(v') = \xi \cdot v'$   
Contradiction



Cor:  $M_\lambda^+$  - irreducible  $\Leftrightarrow \det(\cdot, \cdot) |_{M_\lambda^+[-n] \times M_{-\lambda}^-[n]} \neq 0 \quad \forall n > 0$

$\Leftrightarrow$  no homog. singular vectors of degrees  $< 0$ .

def: A  $\mathfrak{g}$ -module  $V$  is a highest-weight module of weight  $\lambda$  if it is generated by a critical vector of weight  $\lambda$ .



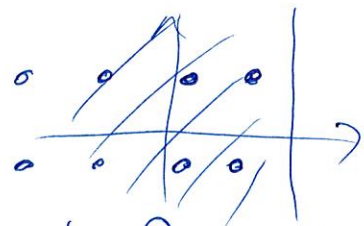
$$\boxed{\begin{array}{ccc} M_\lambda^+ & \twoheadrightarrow & V & \twoheadrightarrow & L_\lambda^+ \\ \psi_\lambda^+ & \longrightarrow & \vec{v} \in \text{Sig}_\lambda(V) & & \end{array}}$$

lowest-weight :

$$\boxed{M_{-\lambda}^- \twoheadrightarrow V \twoheadrightarrow L_{-\lambda}^-}$$

# Categories $\mathcal{O}^\pm$

$$V = \bigoplus_{k \in \mathbb{C}} V[k]$$

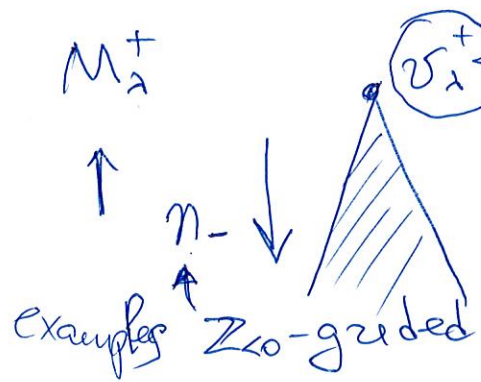


def: The objects of  $\mathcal{O}^\pm$  are  $\mathbb{C}$ -graded  $\mathfrak{g}$ -modules s.t.

(a) all degrees lie in half-plane  $\operatorname{Re}(k) < a$ . (for some  $a$ )  
and fall into finitely many arithmetic progressions with step  $-1$ .

(b) All  $V[k]$  are fin. dimensional.

$\mathcal{O}^-$  - same way, but  $\operatorname{Re}(k) > a$   
arithmetic progressions with step  $+1$ .



in any degree  $\underline{a}$

$$M_\lambda^+ = M_\lambda^+[a] + M_\lambda^+[a-1] \oplus M_\lambda^+[a-2] \oplus \dots$$

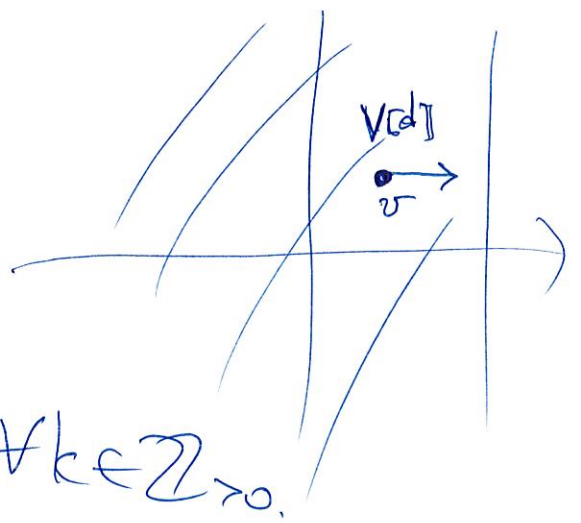
$M_\lambda^+$  satisfies conditions a) & b) with only one arithm. progression

Moreover: The definition is exactly designed to fit in any finite extension of highest weight modules.

Prop 2  $L_\lambda^+$  - the only irreducible objects in  $\mathcal{O}^+$  (pairwise nonisom).

Let  $V \in \mathcal{O}^+$  - irreducible

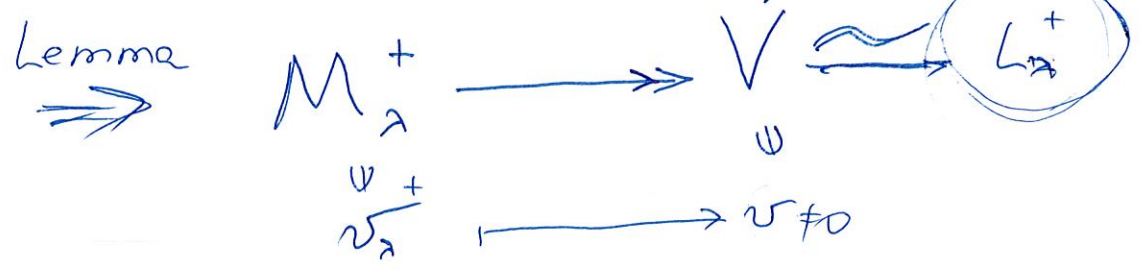
$$V = \bigoplus_{\text{Red } \lambda} V[\lambda]$$



Pick  $d$  s.t.  $V[d] \neq 0$  but  $V[d+k] = 0 \forall k \in \mathbb{Z}_{>0}$ .

First:  $n_+(V[d]) = 0$ .

Second:  $\mathfrak{h} \curvearrowright V[d] \rightarrow V[d] \Rightarrow$  find common  $V[d]$  eigenvector  $v$   
 $\mathfrak{g}_0$  abelian irreducible, hence  $\simeq L_\lambda^+$  singular.



$L_\lambda^+$ :  $v_\lambda^+$  in deg  $d_1$   
in deg  $d_2$

Ⓚ

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