

Lecture 15

03/15/2021

Last time:

$a = (c, h) \in \mathbb{C}^2 \xrightarrow{\text{C-weight, Lo-weight}} M_a$ - Verma over V_{12}

det of
Shapovalov/
centre variant
form on
degree n part

$$\det_n(c, h) = \underbrace{K_n}_{\text{non-zero constant}} \cdot \prod_{\substack{r, s \geq 1 \\ r+s \leq n}} (h - h_{r,s}(c))^{p(n-rs)} \quad (*)$$

where

$$h_{r,s}(c) := \frac{1}{48} \left((13-c)(r^2+s^2) + \sqrt{(c-1)(c-25)} (r^2-s^2) - 24rs - 2 + 2c \right)$$

Rmks: (1) the RHS of (*) is polynomial in h, c ($\sqrt{\dots}$ disappears!)

(2) zeroes of all \det_n are union of lines and quadrics
= locus where $M_{c,h}$ fails to be irreducible
explicitly written last time

Later: Will prove $\det_n(c, h_{r,s}(c)) = 0$ (last time used as a black box)

Cor (1) If $h > 0, c > 1 \Rightarrow M_{c,h} \cong L_{c,h}$. (i.e. $M_{c,h}$ is irreducible) ^{Verma}

(2) If $h > 0, c \geq 1 \Rightarrow L_{c,h}$ - unitary.

! This leaves open the question of when $L_{c,h}$ is unitary for $h > 0$ & $0 \leq c < 1$.

Claim For $0 \leq c < 1, h > 0$:

$L_{c,h}$ - unitary $\iff \exists m \in \mathbb{Z}_{\geq 0} \exists 1 \leq s \leq r \leq m+1$ s.t.

$$c = c(m) = 1 - \frac{6}{(m+2)(m+3)}$$

$$h = h_{r,s}(c) = \frac{[(m+3)r - (m+2)s]^2 - 1}{4(m+2)(m+3)}$$

We'll prove " \Leftarrow " latter on!

Prop 1: (a) If $c=0$, then $L_{c,h}$ is unitary ~~iff~~ $h=0$.

(b) $L_{0,h} = M_{0,h}$ ~~iff~~ $h \neq \frac{m^2-1}{24}$ for $m \in \mathbb{Z}$.

(c) $L_{1,h} = M_{1,h}$ ~~iff~~ $h \neq \frac{m^2}{4}$ for $m \in \mathbb{Z}$.

▶ (c) Looking at explicit eqs of lines & quadrics from last time we see that $M_{1,h}$ is irreducible ~~iff~~:

* $h \neq 0$ (coming from eq-s of lines) $\leftarrow h + \frac{(z^2-1)(c-1)}{24} = 0$
* $h \neq \frac{(z-s)^2}{4}$ for $z \neq s$ (coming from eq-s of quadrics)

$\Rightarrow h \neq \frac{m^2}{4} \quad \forall m \in \mathbb{Z}$

(b) $h_{z,s}(0) = \frac{(3z-2s)^2-1}{24} \quad \forall z,s \geq 1 \Rightarrow M_{0,h}$ -irred ~~iff~~ $h \neq \frac{m^2-1}{24} \quad \forall m \in \mathbb{Z}$.
($3z-2s$ can take any \mathbb{Z} -value)

Part (a) is a bit trickier, see next page \downarrow !

(a) $\det \begin{pmatrix} (L_{-N}^2 V_\lambda, L_{-N}^2 V_\lambda) & (L_{-N}^2 V_\lambda, L_{-2N} V_\lambda) \\ (L_{-2N} V_\lambda, L_{-N}^2 V_\lambda) & (L_{-2N} V_\lambda, L_{-2N} V_\lambda) \end{pmatrix} = ?$

Here: (\cdot, \cdot) - contrav. form on M_λ

$N \in \mathbb{N}$

lin. indep. vectors (both M_λ^+ & L_λ^+ , with only \pm possible exception of N)

$L_{-N}^2 V_\lambda, L_{-2N} V_\lambda \in M_\lambda^+[-2N]$.

\Downarrow

$\in L_\lambda^+[-2N]$.

$(L_{-2N} V_\lambda, L_{-2N} V_\lambda) = (V_\lambda, L_{2N} L_{-2N} V_\lambda) = (V_\lambda, (L_{-2N} L_{2N} + 4N \cdot L_0 + \frac{8N^3 - 2N}{12} C) V_\lambda)$

$= 4N \cdot h + \frac{8N^3 - 2N}{12} \cdot c \xrightarrow{c=0} = \underline{4Nh}$

$(L_{-2N} V_\lambda, L_{-N}^2 V_\lambda) = (V_\lambda, L_{2N} L_{-N} L_{-N} V_\lambda) = (V_\lambda, (L_{-N} L_{2N} L_{-N} + 3N \cdot L_{-N} L_{-N}) V_\lambda)$

$= 3N(2N \cdot h + \frac{N^3 - N}{12} c) + 0 = 6N^2 \cdot h + \frac{3N(N^3 - N)}{12} \cdot c \xrightarrow{c=0} = \underline{6N^2 h}$

$L_{-N} L_{2N} + 3N L_{-N} \leftarrow$ both annihilate V_λ !

$(L_{-N}^2 V_\lambda, L_{-N}^2 V_\lambda) \stackrel{\text{Exercise}}{=} 8N^2 h^2 + 4N^3 h$

SQ: $\det = \det \begin{vmatrix} 8N^2 h^2 + 4N^3 h & 6N^2 h \\ 6N^2 h & 4Nh \end{vmatrix} = 32N^3 h^3 + 16N^4 h^2 - 36N^4 h^2 = \underline{32N^3 h^3 - 20N^4 h^2}$

BUT: For $h=0$: $L_{0,0}$ = trivial \Rightarrow unitary!

< 0 for $N \gg 1$ unless $h=0!$ (4)

Today

From \mathfrak{sl}_∞ to affine Lie algebras.

Follows §9 of [Kac-Rains]

- Loop algebra $L\mathfrak{gl}_n = \mathfrak{gl}_n[t, t^{-1}] = \mathfrak{gl}_n(\mathbb{C}) \otimes_{\mathbb{C}} \mathbb{C}[t, t^{-1}]$.

Its elements: $a(t) = \sum_{k \in \mathbb{Z}} a_k t^k$, $a_k \in \mathfrak{gl}_n$ and only finitely many are $\neq 0$

Basis: $\{E_{ij}(k) = E_{ij} \cdot t^k \mid 1 \leq i, j \leq n, k \in \mathbb{Z}\}$

Lie bracket: $[E_{ij}(k), E_{i'j'}(k')] = \delta_{ij'} \cdot E_{ij}(k+k') - \delta_{i'j} \cdot E_{i'j'}(k+k')$

• $\mathfrak{gl}_n \hookrightarrow \mathbb{C}^n = \begin{pmatrix} \vdots \\ \vdots \\ \vdots \\ \vdots \end{pmatrix} \Big|_n \rightsquigarrow L\mathfrak{gl}_n \hookrightarrow \mathbb{C}^n[t, t^{-1}]$

basis: $\{e_i \cdot t^k \mid 1 \leq i \leq n, k \in \mathbb{Z}\}$

⚡ choose standard basis: e_1, e_2, \dots, e_n
so that $E_{ij}(e_j) = \delta_{ij} \cdot e_i$

So: $E_{ij}(k) (e_j \cdot t^{k'}) = \delta_{ij} \cdot e_i \cdot t^{k+k'}$

(**)

• Denote : $v_{i-kn} := e_i \cdot t^k$ $\{(1 \leq i \leq n, k \in \mathbb{Z})\} \xleftrightarrow{1 \rightarrow 1} \mathbb{Z}$
 $(i, k) \longleftrightarrow i - kn$

Note : $E_{ij}(k) (v_{j'+nk'}) = \delta_{jj'} \cdot v_{i+n(k-k')}$
 $\forall 1 \leq i, j' \leq n \quad \forall k, k' \in \mathbb{Z}$

Recall : Also had ∞ -dim space V with basis $\{v_i | i \in \mathbb{Z}\}$. } We shall identify $v_j \in V$ with the above v_j 's!
 when discussing $gl_\infty, \sigma_\infty, \sigma_\infty$

THUS:

$gl_n \xleftrightarrow{\tau} \sigma_\infty$
 Lie alg. embedding

explicitly given by $\sum_{k \in \mathbb{Z}} a_k t^k$

at the intersection of rows & columns #1, 2, ..., n.

	a_0	a_1	a_2
a_{-1}	a_0	a_1	a_2
a_{-2}	a_{-1}	a_0	a_1
a_{-3}	a_{-2}	a_{-1}	a_0

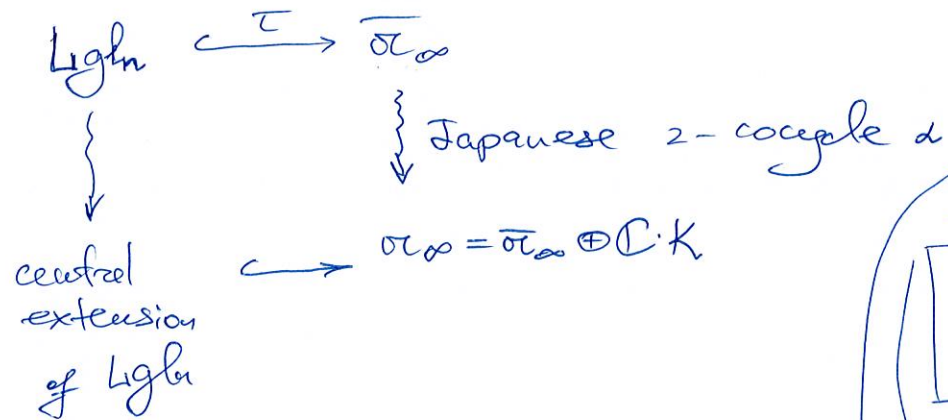
Explicitly : $\tau(E_{ij}(k)) = \sum_{m \in \mathbb{Z}} E_{n(m+i), n(m+k)+j}$

Rmks: (a) τ is compatible with multiplication.

(b) $Xt^k \xrightarrow{\omega} X^\dagger \cdot t^{-k}$ - anti-linear anti-involution, $X^\dagger =$ Hermitian adjoint of X

Easy Claim: $\tau(\omega(a(t))) = (\tau(a(t)))^\dagger$ ← Hermitian adjoint of $\mathbb{Z} \times \mathbb{Z}$ matrix.

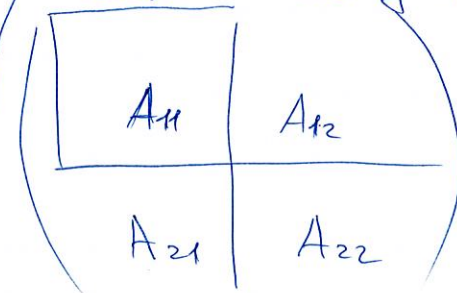
(c) $\tau \left(\underbrace{\begin{pmatrix} 0 & 1 & 0 \\ t & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}}_{E_{12} + E_{23} + \dots + E_{n-1,n} + t \cdot E_{n1}} \right) = \tau \left(\begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix} + t \cdot \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} \right) = \begin{matrix} \tau \\ \cap \\ \sigma_\infty \end{matrix}$ ← Recall: $\tau = \sum_{i \in \mathbb{Z}} E_{i, i+1}$



Recall:

$d: \overline{\sigma_\infty} \times \overline{\sigma_\infty} \rightarrow \mathbb{C}$

rows & columns of A_{ij} : $0, -1, -2, \dots$



$\alpha(A, B)$

\parallel

$\tau_2(A_{12} B_{21} - B_{12} A_{21})$

The next Lemma will show that the above central extension of $Lgln$ exactly coincides with the one from our Week 1 of classes!

Lemma 1

$$d_{\tau}(a(t), b(t)) = \operatorname{Res}_{t=0} (a'(t), b(t)) dt \stackrel{\text{Explicitly}}{=} \sum_{k \in \mathbb{Z}} k \cdot \operatorname{Tr}(a_k b_{-k})$$

$$d(\tau(a(t)), \tau(b(t)))$$

$$a(t) = \sum a_k t^k$$

$$b(t) = \sum b_k t^k$$

Easy Exercise

$$d_{\tau}(E_{ij}(k), E_{i'j'}(k')) = k \cdot \delta_{ij'} \cdot \delta_{ij} \cdot \delta_{k+k', 0}$$

$$\Downarrow$$

$$d_{\tau}(a t^k, b t^{k'}) = k \cdot \operatorname{Tr}(ab) \cdot \delta_{k+k', 0}$$

UPSHOT

$$\widehat{\mathfrak{gl}}_n = \mathfrak{Lgl}_n \oplus \mathbb{C} \cdot K \longleftrightarrow \begin{matrix} \sigma_{\infty} \\ \text{"} \\ \sigma_{\infty} \oplus \mathbb{C} \cdot K \end{matrix} \quad \underline{\underline{(***)}}$$

! Rmk: When $n=1 \Rightarrow \widehat{\mathfrak{gl}}_1 \simeq \mathcal{A}$ & $\mathcal{A} \longleftrightarrow \sigma_{\infty}$ - what we constructed before!

So: We can regard (***) as a "higher rank" generalization of previously established $\mathcal{A} \longleftrightarrow \sigma_{\infty}$.



$$\begin{array}{c} \widehat{\mathfrak{gl}}_n \\ \cup \\ \mathfrak{sl}_n \end{array} \xrightarrow{\sigma_\infty} \mathfrak{F}^{(m)} \simeq \mathbb{B}^{(m)} \quad \forall m \in \mathbb{Z}$$

Cor: $\mathfrak{F}^{(m)} \simeq \mathbb{B}^{(m)}$ because modules over $\begin{array}{c} \widehat{\mathfrak{gl}}_n \\ \cup \\ \mathfrak{sl}_n \end{array}$ of level 1!
i.e. K acts by Id .

• $(\cdot | \cdot)$ - form on \mathfrak{gl}_n given $(X|Y) = \text{Tr}(XY)$ - symmetric, non-deg, inv. form on \mathfrak{gl}_n

\Downarrow
 $(\cdot | \cdot)$ - on $L\mathfrak{gl}_n$ given by $(a(t) | b(t)) = \text{Res}_{t=0} \text{Tr}(a(t) \cdot b(t)) \frac{dt}{t}$ - symm. non-deg. inv. bil. form. on $L\mathfrak{gl}_n$

\Downarrow extend to $\widehat{\mathfrak{gl}}_n$

$(\cdot | \cdot)$ on $\widehat{\mathfrak{gl}}_n$ is degenerated by declaring $\boxed{(K | L\mathfrak{gl}_n) = 0, (K | K) = 0}$
needed for inv. of our pairing.

WARNING: degenerate pairing as K is in its kernel!

To fix this, we'll add one more generator!

Note: To apply our general machinery of \mathbb{Z} -graded non-deg. Lie algebras we wish to have a non-deg. pairing!

define $d: \hat{\mathfrak{g}} \rightarrow \hat{\mathfrak{g}}$ - derivation

$$\left. \begin{array}{l} K \mapsto 0 \\ a(t) \mapsto ta'(t) \end{array} \right\} \begin{array}{l} \text{gl}_n \text{ or } \mathfrak{sl}_n \\ X \cdot t^k \mapsto k \cdot X \cdot t^k \end{array}$$

Def: $\hat{\mathfrak{g}} := \mathbb{C}d \ltimes \hat{\mathfrak{g}}$

here we now choose $\mathfrak{g} = \mathfrak{sl}_n$ or \mathfrak{gl}_n but latter will choose any simple Lie alg. \mathfrak{g} .

$$[d, k] = 0$$

$$[d, X t^k] = k \cdot X t^k$$

Lemma 2: Extending the above pairing on \mathfrak{gl}_n to that on $\hat{\mathfrak{g}}$ via

$$(d|d) = 0, (d|a(t)) = (a(t)|d) = 0, (d|k) = (k|d) = 1$$

gives rise to a symm. nondeg. inv. pairing on $\hat{\mathfrak{g}}$

(1) $(\underbrace{[d, X t^k]}_{k \cdot X t^k} | Y t^l) \stackrel{?}{=} - (X t^k | \underbrace{[d, Y t^l]}_{l \cdot Y t^l})$

- both sides vanish if $k+l \neq 0$
- If $k+l=0 \Rightarrow k=-l \Rightarrow$ obvious equality.

(2) $(\underbrace{[X t^k, d]}_{-k \cdot X t^k} | Y t^l) \stackrel{?}{=} - (d | \underbrace{[X t^k, Y t^l]})$

$$-k(X|Y) \cdot \delta_{k+l,0} = -k \cdot \delta_{k+l,0} \cdot (X|Y) \cdot \underbrace{(d|k)}_1$$

All other verifications are immediate!

$$\begin{array}{ccccccc}
 \mathfrak{gl}_n & \xrightarrow{\sim} & \mathfrak{Lgl}_n & \xrightarrow{\sim} & \mathfrak{gl}_n & \xrightarrow{\sim} & \mathfrak{gl}_n \\
 \mathfrak{u} & & \mathfrak{u} & & \mathfrak{u} & & \mathfrak{u} \\
 \mathfrak{sl}_n & \xrightarrow{\sim} & \mathfrak{Lsl}_n & \xrightarrow{\sim} & \mathfrak{sl}_n & \xrightarrow{\sim} & \mathfrak{sl}_n
 \end{array}$$

affine Lie algebras.

- $\mathfrak{sl}_n, \mathfrak{gl}_n, \underline{\mathfrak{sl}_n}, \underline{\mathfrak{gl}_n}$ - \mathbb{Z} -graded Lie algebras via the "principal gradation".

e.g. $\mathfrak{sl}_n = \tilde{\mathfrak{n}}_+ \oplus \tilde{\mathfrak{h}} \oplus \tilde{\mathfrak{n}}_-$, where: $\tilde{\mathfrak{n}}_+ = \mathfrak{n}_+ + \sum_{k>0} t^k \cdot \mathfrak{sl}_n$, $\mathfrak{n}_+ \subset \mathfrak{sl}_n$ - strictly upper- Δ

$\tilde{\mathfrak{n}}_- = \mathfrak{n}_- + \sum_{k>0} t^{-k} \cdot \mathfrak{sl}_n$, $\mathfrak{n}_- \subset \mathfrak{sl}_n$ - strictly lower- Δ

$\tilde{\mathfrak{h}} = \mathfrak{h} \oplus \mathbb{C}k \oplus \mathbb{C}d$, $\mathfrak{h} \subset \mathfrak{sl}_n$ - diagonal

↑
triangular decomp. of \mathbb{Z} -gr. Lie alg

- $\tilde{\mathfrak{h}}$ has a basis $\{ \underline{h_i} = E_{ii} - E_{i+1, i+1} \ (1 \leq i \leq n-1), \underline{h_0} = k - (h_1 + \dots + h_{n-1}), d \}$

$h_i = [E_{i, i+1}, E_{i+1, i}]$ $h_0 = [E_{n1}, E_{1n}]$ $k = (E_{11} - E_{nn})$

e_i e_0 f_0

Def 1: The el-s $\{ \underline{\tilde{\omega}_i} \}_{i=0}^{n-1} \subset \tilde{\mathfrak{h}}^*$ are defined via:

$$\tilde{\omega}_i(h_j) = \delta_{ij}^{0 \leq j \leq n-1}, \quad \tilde{\omega}_i(d) = 0$$

$$\tilde{\omega}_i(k) = 1.$$

Def 2

The el-s $\{\tilde{\omega}_m\}_{m \in \mathbb{Z}} \subset (\tilde{\mathcal{H}}_{gl_n})^* = \text{span}(\langle E_{11}, \dots, E_{nn}, K, d \rangle)^*$
are defined via:

$$\tilde{\omega}_m(d) = 0, \quad \tilde{\omega}_m(K) = 1, \quad \tilde{\omega}_m(E_{ii}) = \begin{cases} 1, & \text{if } i \leq \bar{m} \\ 0, & \text{if } i > \bar{m} \end{cases} + \frac{m - \bar{m}}{n},$$
$$\bar{m} := m \bmod n \in \{0, 1, \dots, n-1\}$$

Rmk:

$\forall m \in \mathbb{Z}$, the restriction of $\tilde{\omega}_m$ to $\tilde{\mathcal{H}}_{gl_n} \cap \tilde{\mathcal{H}}_{gl_n}$ equals $\tilde{\omega}_{\bar{m}}$.

$$\begin{array}{c} \widehat{\mathfrak{gl}}_n \xrightarrow{(1)} \mathbb{F}^{(m)} \simeq \mathbb{B}^{(m)} \\ \Downarrow \\ \widehat{\mathfrak{gl}}_n = \mathfrak{gl}_n \rtimes \mathbb{C}d. \end{array}$$

Lemma 3 (Hwk Problem): $\exists!$ unique extension of (1) to

$$\widehat{\mathfrak{gl}}_n \curvearrowright \mathbb{F}^{(m)} \quad \text{s.t.} \quad d(\psi_m) = 0.$$

Prop 2: For any $m \in \mathbb{Z}$, the $\widehat{\mathfrak{gl}}_n$ -module $\mathbb{F}^{(m)}$ is irreducible with h. wt = $\tilde{\omega}_m$.

• Irreducibility: $\tau \left(\begin{smallmatrix} 0 & \dots & 0 \\ & \ddots & \\ t & 0 & \dots & 0 \end{smallmatrix} \right) = T \Rightarrow \tau \left(\left(\begin{smallmatrix} 0 & \dots & 0 \\ & \ddots & \\ t & 0 & \dots & 0 \end{smallmatrix} \right)^k \right) = T^k \leftarrow \begin{array}{l} \text{generate} \\ A \subseteq \sigma_\infty. \end{array}$

$$A \hookrightarrow \widehat{\mathfrak{gl}}_n \hookrightarrow \sigma_\infty.$$

Know: $A \curvearrowright \mathbb{F}^{(m)}$ is irred $\Rightarrow \widehat{\mathfrak{gl}}_n \curvearrowright \mathbb{F}^{(m)}$ - irred. $\Rightarrow \widehat{\mathfrak{gl}}_n \curvearrowright \mathbb{F}^{(m)}$ - irred.

NOTE: We actually see that $\mathbb{F}^{(m)}$ is irred. as $\widehat{\mathfrak{gl}}_n$ -module.

- $\tilde{\mathfrak{N}}_+ \xrightarrow{\tau} \left(\begin{array}{c} \text{upper-}\Delta \text{ matrices in } \sigma_{\infty} \\ \text{which annihilate } \psi_m \end{array} \right) *$

$$\Rightarrow \boxed{\tilde{\mathfrak{N}}_+(\psi_m) = 0.}$$

$$\boxed{h(\psi_m) \stackrel{?}{=} \tilde{\omega}_m(h) \cdot \psi_m.}$$

• Remarks: The $\tilde{\mathfrak{N}}_+$:

- $h = d$: $0 = 0$ ✓

- $h = K$: $\psi_m = \psi_m$ ✓

- $h = E_{ii} \Rightarrow \boxed{\tau(E_{ii}) \equiv \sum_{\substack{j \equiv i \\ j \in \mathbb{Z}}} E_{jj} \in \sigma_{\infty}}$ & $\boxed{\hat{\rho}(E_{jj}) \psi_m = (\delta_{j \leq m} - \delta_{j \leq 0}) \cdot \psi_m.}$

S:

- * $m > 0$: $\hat{\rho}(\tau(E_{ii})) \psi_m = \underbrace{\# \{0 < j \leq m \mid j \equiv i\}}_{\tilde{\omega}_m(E_{ii})} \cdot \psi_m = \tilde{\omega}_m(E_{ii}) \cdot \psi_m$ ✓

- * $m \leq 0$: analogous... Exercise!

Outcome: $\mathbb{F}^{(m)} \simeq \mathbb{B}^{(m)}$ is irred. $\widehat{\mathfrak{gl}}_n$ -module.
 \mathfrak{sl}_n -module.

Q What about $\widehat{\mathfrak{sl}}_n, \mathfrak{sl}_n \curvearrowright \mathbb{F}^{(m)}$?

! $\mathbb{F}^{(m)}$ is not irred. as $\widehat{\mathfrak{sl}}_n$ -module!

Consider $\underbrace{\{T^{ni}\}_{i \in \mathbb{Z}}}_{\substack{\text{in the image } \tau(L\mathfrak{gl}_n) \\ \text{but not in the image } \tau(L\mathfrak{sl}_n)}} \xleftrightarrow{\tau} t^i \cdot \underbrace{\text{Id}}_{\substack{\text{in the center of } L\mathfrak{gl}_n \\ \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \in \mathfrak{gl}_n}}$

\downarrow
 they commute with $L\mathfrak{sl}_n$.
 \downarrow
commute with $\widehat{\mathfrak{sl}}_n$

But T^{ni} don't act ~~trivially~~ ^{by scalar mult.} on $\mathbb{F}^{(m)}$

\Downarrow by Schur Lemma

$\mathbb{F}^{(m)}$ cannot be irred. $\widehat{\mathfrak{sl}}_n$ -mod.

$\mathcal{A} \supset \mathcal{A}^{(n)}$
 oscillator algebra
 families $\{a_i, a_i^\dagger\} \cup \{k\}$
 gen-d by families $\{a_i, a_i^\dagger\} \cup \{k\}$

Lemma 4: $\mathcal{A} \xrightarrow{\text{Lie alg. isom.}} \mathcal{A}^{(n)}$
 (Obvious) $a_i \mapsto a_i$
 $k \mapsto nk$

Lemma 5 (Hwk Problem): The Lie alg \mathfrak{gl}_n and
 $(\mathfrak{sl}_n \oplus \mathcal{A}^{(n)}) / (k_1 - k_2)$ are isom.
 $(k, 0)$ $(0, k)$
