

Lecture #23

04/20/2021

Last time

- (1) Casimir Operator $\Delta: M \rightarrow M \quad \forall$ module $M \in \mathcal{O}$ -category
- commutes with $\mathfrak{g}(A)$ -action
 - acts on h.w.t modules with h.w.t λ via $(\lambda, \lambda + 2\rho) \cdot \mathbb{1}$.

(2) Integrable Modules

$M - \mathfrak{g}(A)$ -mod is integrable if it's loc. finite w.r.t. each $\mathfrak{sl}_2^{(i)} \subseteq \mathfrak{g}(A)$

(The subalg. gen-d by $\{e_i, f_i, h_i\} \cong \mathfrak{sl}_2$)

$$L_\lambda = \text{integrable} \iff \lambda \in \underline{P}_+ = \{ \lambda \in \mathfrak{h}_{\text{ext}}^* \mid \lambda(h_i) \in \mathbb{Z}_{\geq 0} \quad \forall i \}$$

(3) Weyl Group

$$P = \mathfrak{h}^* + \underline{F}$$

complexified root lattice
i.e. $F = \mathbb{Q} \otimes \mathbb{Z}$

Simple reflections $\tau_i: P \ni \alpha \mapsto \alpha - \alpha(h_i)d_i$, in particular:

$$s_j \mapsto s_j - a_{jj}d_j$$

Weyl Group $W \subseteq GL(P)$ is gen-d by $\{\tau_i\}$

Note: W preserves $F \subseteq P$ and W acts trivially on P/F
 \Rightarrow can also view W as subgroup of $GL(F)$.

Today: Weyl-Kac character formula.

Thm: Let $\lambda \in P_+$ be a dominant integral weight of Kac-Moody $\mathfrak{g}(A)$.
Let V be an integrable h.w.t. module (of $\mathfrak{g}_{\text{ext}}(A)$ or $\mathfrak{g}(A)$)
with h.w.t. λ . Then:

(1) $V \cong L_{\lambda}$.

(2)
$$\text{ch } V = \sum_{w \in W} \underbrace{\det(w)}_{=\pm 1} \cdot \text{ch } M_{w(\lambda+\rho)-\rho} = \sum_{w \in W} \frac{\det(w) e^{w(\lambda+\rho)-\rho}}{\prod_{\alpha > 0} (1 - e^{-\alpha})^{\dim \mathfrak{g}_{\alpha}}}$$

Here: $w \in \bar{W}$, $w = \tau_{i_1} \tau_{i_2} \dots \tau_{i_k} \Rightarrow \det(w) = (-1)^{\#k}$.

$\rho \in \mathfrak{h}^* \subseteq P$ - as last time.

↑ Weyl-Kac character formula!

Prms: ① (2) \Rightarrow (1)

Note: h.wt. module V admits epimorphism $V \twoheadrightarrow L_\lambda$
 $\lambda \in P_+ \Rightarrow L_\lambda$ -integrable
know: V -integrable } $\stackrel{(2)}{\implies} \text{ch}(V) = \text{ch}(L_\lambda)$ } $\Rightarrow \underline{V \cong L_\lambda}$.

So: It suffices to prove part (2) of the theorem

② Consider $(\lambda \in P_+)$

$$L'_\lambda = M_\lambda / (f_i^{x(h_i)+1} v_\lambda)$$



Following proofs from last time; it's easy to see:

L'_λ -integrable. (just b/c L'_λ is generated by the image of v_λ which can be obviously included in f -div. $\mathfrak{sl}_2^{(i)}$ -submod.)

① $\Rightarrow \underline{L'_\lambda \cong L_\lambda}$

! Conclusion: L_λ can be explicitly characterized as a quotient of Verma M_λ (for $\lambda \in P_+$)

③ Applying Weyl-Kac char. f-la to $\alpha=0$, we obtain

$$1 = \sum_{w \in W} \frac{\det(w) \cdot e^{w\rho - \rho}}{\prod_{\alpha > 0} (1 - e^{-\alpha})^{\dim \mathfrak{g}_\alpha}} \Rightarrow \prod_{\alpha > 0} (1 - e^{-\alpha})^{\dim \mathfrak{g}_\alpha} = \sum_{w \in W} \det(w) e^{w\rho - \rho}$$

"Weyl-Kac denominator f-la"

$$\text{ch}(L_\alpha) = \frac{\sum_{w \in W} \det(w) \cdot e^{w(\alpha + \rho) - \rho}}{\sum_{w \in W} \det(w) \cdot e^{w\rho - \rho}}$$

← another, more symmetric, form of the Weyl-Kac character f-la.

④ For $\mathfrak{g}(A)$ - simple f.d., we recover the classical Weyl character f-la.

For the rest of today, we shall prove part (2) of the theorem!

We'll start from \sim to Lemmas (the proof will easily follow)

Lemma 1

(a) (\cdot, \cdot) is W -inv.

$\leftarrow \tau_i$ -inv. from last time

(b) V -int-egrable, $\mu \in P, w \in \bar{W} \Rightarrow V[\mu] \cong V[w\mu] \Rightarrow$ same dim.

\leftarrow follows from $w = \tau_i$ done last time.

(c) Applying (b) to $g(A) \xrightarrow{\text{ad}} g(A)$, get W permutes the set of roots

and $\dim(g_\alpha) = \dim(g_{w\alpha}) \quad \forall w \in W \quad \forall \text{root } \alpha$

! (d) $\tau_i(d_i) \mapsto -d_i$, τ_i permutes the remaining positive roots $\Delta^+ \setminus \{d_i\}$.

(a, b, c) - easy as mentioned above.

(d) $\tau_i(d_i) = d_i - \underbrace{a_{ii}}_{=2} \cdot d_i = -d_i$.

Pick $\alpha \in \Delta^+ \setminus \{d_i\}$, $\alpha = \sum k_j d_j$ with all $k_j \geq 0$ & at least one of $k_{j \neq i} > 0$.

$\tau_i(\alpha) = \alpha - \text{coeff} \cdot d_i = \sum_{j \neq i} k_j d_j + (k_i - \dots) \cdot d_i$ — still a root of $g(A)$.

$\Rightarrow \tau_i(\alpha)$ - positive root.

Lemma 2

Consider

$$K := e^\rho \cdot \prod_{\alpha > 0} (1 - e^{-\alpha})^{\dim \mathfrak{g}_\alpha}$$

$\leftarrow e^\rho$ "denominator in the Weyl-Kac formula"

Then: K is W -anti-invariant, i.e.

$$w(K) = \underbrace{\det(w)}_{\epsilon(\pm 1)^l} \cdot K$$

► Pick i .

$$\bullet K = e^\rho \cdot (1 - e^{-\alpha_i})^{\pm 1} \cdot \prod_{\alpha \in \Delta^+ \setminus \{\alpha_i\}} (1 - e^{-\alpha})^{\dim \mathfrak{g}_\alpha}$$

} τ_i -invariant due to Lemma 1(c,d)

$$\bullet \tau_i(1 - e^{-\alpha_i}) = 1 - e^{\alpha_i}$$

$$\bullet \tau_i(e^\rho) = e^{\tau_i(\rho)} = e^{\rho - \underbrace{\rho(\alpha_i)}_{\pm 1} \cdot \alpha_i} = e^\rho \cdot e^{-\alpha_i}$$

$$\Rightarrow \tau_i(K) = -K \Rightarrow$$

\Rightarrow result follows as

W is generated by τ_i 's. ■

Lemma 3: Let $\alpha \in P_+$ (i.e. integral dominant). Then:

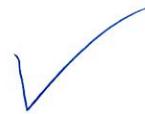
a) $W(\alpha) \subseteq D(\alpha)$, where $D(\alpha) = \{ \alpha - \sum_i n_i \alpha_i \mid n_i \in \mathbb{Z}_{\geq 0} \}$ - as before

b) If $D \subseteq D(\alpha)$ is W -inv. set, then $D \cap P_+ \neq \emptyset$.

▶ a) $\alpha \in P_+ \rightarrow$ irred. L_α -integrable $\xrightarrow{\text{Lemma 1(b)}} P(L_\alpha) = \underbrace{\{ \text{weights of } L_\alpha \}}_{\cap D(\alpha)}$ is a W -inv. set. \Rightarrow

$$w(\alpha) \in P(L_\alpha)$$

$$\Rightarrow w(\alpha) \in D(\alpha).$$



b) Pick any $\psi \in D \Rightarrow$ choose $w \in W$ s.t. $\alpha - w(\psi)$ is of smallest height.

Claim: $w(\psi) \in P_+!$

defined as $\sum_i n_i \alpha_i$
in the expression $\alpha - \sum n_i \alpha_i$

▶ Assume not $\Rightarrow \exists i$ s.t. $(w\psi, \alpha_i) < 0 \Rightarrow r_i(w\psi) = w\psi + (\text{positive integer}) \cdot \alpha_i$
 $\Rightarrow \alpha - r_i(w\psi)$ is of smaller height $\Rightarrow \Downarrow$

□

■

⑦

Cor 1 $\forall w \in \overline{W} \setminus \{1\} \exists i$ s.t. $w(d_i) < 0$.

► Choose $\alpha \in P_+$ s.t. $w\alpha \neq \alpha$. $\stackrel{w^{-1}}{\Rightarrow} w\alpha \neq \alpha \Rightarrow \underline{w\alpha = \alpha - \sum k_i d_i, k_i \in \mathbb{Z}_{\geq 0}}$

Apply w : $\underline{\alpha} = w\alpha - \sum k_i w(d_i) = \underline{\alpha} - \sum_{i \in \mathbb{Z}_{\geq 0}} \underbrace{k_i d_i}_{\text{simple positive roots}} - \sum k_i w(d_i)$

$\Rightarrow \underline{\sum k_i d_i + \sum k_i w(d_i) = 0}$ $\Rightarrow w(d_i)$ is negative for some i .

Lemma 4 Let $\varphi, \psi \in P$ s.t. $\varphi(h_i) > 0, \psi(h_i) \geq 0 \forall i$. Then

$w\varphi = \psi \Leftrightarrow w = \text{Id}, \varphi = \psi$

► $\varphi(h_i) > 0 \Leftrightarrow (\varphi, d_i) > 0 \forall i$.

If $w \neq 1$, then by Cor 1 $\exists i$ s.t. $w(d_i) < 0$. Then:

$0 < (\varphi, d_i) = (w\psi, d_i) \stackrel{\text{Lemma 1}}{=} (\psi, \underbrace{w(d_i)}_{\in \Delta^-}) \leq 0 \Rightarrow \text{contradiction} \Rightarrow w = 1 \Rightarrow \varphi = \psi$

Lemma 5 Let $\mu, \nu \in \mathcal{P}_+$ & s.t. $\mu \in \mathcal{D}(\nu)$, $\mu \neq \nu$.

Then: $(\nu + \rho, \nu + \rho) - (\mu + \rho, \mu + \rho) > 0$.

► $\mu \in \mathcal{D}(\nu) \Rightarrow \mu = \nu - \sum k_i d_i$, $k_i \in \mathbb{Z}_{\geq 0}$ (& not all zero!)

$$(\nu + \rho, \nu + \rho) - (\mu + \rho, \mu + \rho) = (\nu, \nu) - (\mu, \mu) + (\nu, 2\rho) - (\mu, 2\rho) = \underbrace{(\nu - \mu, \nu + \mu + 2\rho)}_{\substack{\in \mathcal{P}_{++} \\ \text{strictly} \\ \text{convex}}} = \sum k_i d_i$$

$$= \sum k_i \underbrace{(d_i, \nu + \mu + 2\rho)}_{\in \mathbb{Z}_{>0}} > 0.$$

■

Lemma 6

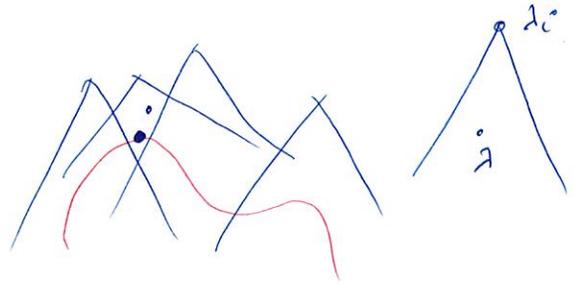
For any $V \in \mathcal{O}$, we have

$$\text{ch}(V) = \sum_{\alpha} c_{\alpha} \cdot \text{ch}(M_{\alpha}), \text{ with } c_{\alpha} \in \mathbb{Z} \text{ and } \alpha \in \text{UD}(\mathfrak{A})$$

$\lambda \in P(V)$ = set of weights of V .
 ↑ support of the module V

► $V \in \mathcal{O} \Rightarrow \exists \lambda_1, \dots, \lambda_m \in P$ s.t. $P(V) \subseteq \bigcup_{i=1}^m D(\lambda_i)$

• $\forall \lambda \in D(\lambda_i)$, let $h_i(\lambda) = \sum k_j$ where
 $\lambda = \lambda_i - \sum_j k_j \alpha_j$
 "height of λ w.r.t. λ_i "



• $\forall \lambda \in \bigcup_{i=1}^m D(\lambda_i)$, set $h(\lambda) = \sum_{i: \lambda \in D(\lambda_i)} h_i(\lambda)$
 "combined height"

• Finally, set $h(V) := \min_{\lambda \in P(V)} h(\lambda)$ & let μ_1, \dots, μ_r - els of $P(V)$ with this "minimal" height.

and let $\{v_{i1}, \dots, v_{i, s_i}\}_{i=1}^r$ be the bases of V_{μ_i} ($1 \leq i \leq r$).

Then:

$$0 \rightarrow K \xrightarrow{\text{Ker}(\varphi)} \bigoplus_{\mu_i} M_{\mu_i} \xrightarrow{\varphi} V \xrightarrow{\text{Coker}(\varphi)} C \rightarrow 0$$

$\oplus_{\mu_i} = \dim V_{\mu_i}$

exact sequence of $\mathfrak{g}(A)$ -modules

Then: • $ch(V) = \sum \dim V_{\alpha_i} \cdot ch(M_{\alpha_i}) - ch(K) + ch(C)$.

- $P(K), P(C) \subseteq \bigcup_{i=1}^m D(\alpha_i)$
 - $h(K), h(C) > h(V)$
- } \rightarrow can apply same argument to the modules K, C instead of V

\rightarrow $ch(V) = \sum_{\text{possibly infinite sum}} c_{\alpha} \cdot ch M_{\alpha}$

Note: It will be important how this f.l.a. was derived, not just the final result. In particular, all Verma modules we encounter are equipped with non-trivial $\mathfrak{g}(A)$ -homom \rightarrow s to various subquotients of V !

Proof of Theorem.

• Step 1: by previous lemma:

$$ch(V) = \sum_{\psi \in D(\lambda)} c_{\psi} \cdot ch(M_{\psi}) \quad , \quad c_{\lambda} = 1.$$

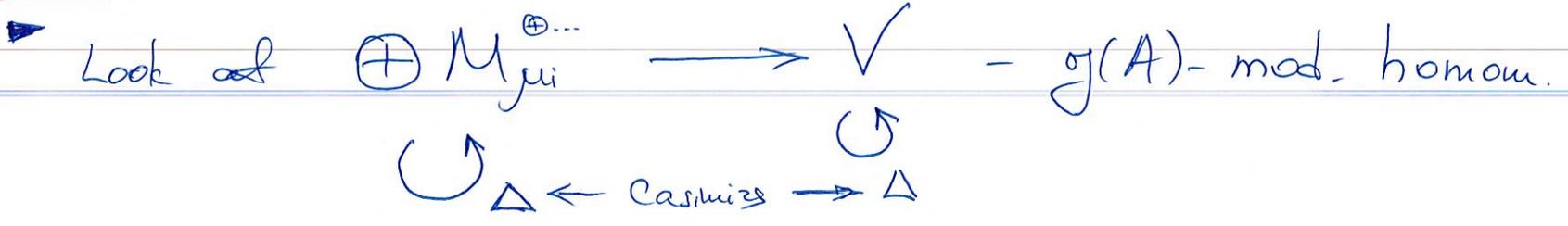
\swarrow \mathbb{Z} -coeff. \swarrow Verma
 \nearrow h.wt. integr. repr of weight λ

Want: $ch(V) \stackrel{?}{=} \sum_{\nu} \text{def}(\nu) \cdot ch(M_{\nu})$

• Step 2: Estimate for non-vanishing of c_{ψ} .

Lemma 7

If $c_{\psi} \neq 0 \Rightarrow (\psi + \rho, \psi + \rho) = (\lambda + \rho, \lambda + \rho)$.



Casimir commutes with $g(A) \Rightarrow (\lambda, \lambda + 2\rho) = (\mu_i, \mu_i + 2\rho)$

$\Rightarrow \underbrace{(\psi, \psi + 2\rho)}_{(\psi + \rho, \psi + \rho) - (\rho, \rho)} = \underbrace{(\lambda, \lambda + 2\rho)}_{(\lambda + \rho, \lambda + \rho) - (\rho, \rho)} \quad \forall \psi \text{ s.t. } c_{\psi} \neq 0.$



steps: detecting terms from Weyl-Kac f-la

Lemma 8

If $\psi = w(\chi + \rho) - \rho$ (so that $\psi + \rho = w(\chi + \rho)$), then

$$c_\psi = \det(w) \cdot \underbrace{c_\chi}_{=1} = \det(w).$$

$$\underbrace{\text{ch}(V) \cdot K}_{\sum c_\psi \text{ch}(M_\psi)} \underbrace{e^\rho \prod_{\alpha > 0} (1 - e^{-\alpha})^{\dim \mathfrak{g}_\alpha}}_{=} = \sum_{\psi} c_\psi \cdot e^{\psi + \rho}$$

Note: \bullet V -integrable $\xrightarrow{\text{Lemma 1}}$ $\text{ch}(V)$ - W -inv. \bullet Lemma 2: K is W -anti-invariant \Rightarrow $\text{ch}(V) \cdot K$ is W -anti-invariant

$$\Rightarrow \sum_{\psi} c_\psi e^{\psi + \rho} \text{ is } W\text{-inv.}$$

$$\left. \begin{array}{l} w(\sum_{\psi} c_\psi e^{\psi + \rho}) = \sum_{\psi} c_\psi e^{w(\psi + \rho)} \\ \parallel W\text{-anti-inv.} \\ \det(w) \cdot \sum_{\psi} c_\psi e^{\psi + \rho} \end{array} \right\} \Rightarrow \boxed{c_{w(\psi + \rho) - \rho} = \det(w) \cdot c_\psi \quad \begin{array}{l} \forall \psi \\ \forall w \end{array}}$$

Step 4: End of proof

Lemma 9

Let $D := \{ \psi \mid C_{\psi, p} \neq 0 \}$
Then: $D = W(\alpha + p)$

! This completes the proof of Thm!

By previous Lemma: $W(\alpha + p) \subseteq D$. Assume $D \setminus W(\alpha + p) \neq \emptyset$. Then:

$(D \setminus W(\alpha + p)) \cap P_+ \neq \emptyset$
by Lemma 3(b).

Pick such β .

By def-n: $\beta - p \in D(\alpha)$

Actually we need a slight upgrade as we don't know that $\beta - p \in P_+$. Nevertheless, following the proof of Lemma 5, get:
 $(\alpha + p, \alpha + p) - (\beta, \beta) = \sum_{i \in \mathbb{Z}_{\geq 0}} k_i (d_i, \alpha + \beta + p) > 0$
 $\in \mathbb{Z}_{\geq 0}$ (not all zero) $\in P_+$ $> 0 \forall i$

$(\alpha + p, \alpha + p) - (\beta, \beta) > 0$ (Lemma 5)
 $(\alpha + p, \alpha + p) = (\beta, \beta)$ (Lemma 7) $\Rightarrow \Downarrow \Rightarrow D = W(\alpha + p)$

[Note: $W \rightarrow P$
 $\psi \mapsto w(\alpha + p) - p$ is 1-to-1 by Lemma 4]

