

# Lecture #24

04/22/2021

Last time Kac-Weyl char. f-la.

- $\alpha \in P_+$  ← dominant integral
- $V$  - h.wt. integrable  $\mathfrak{g}(A)$ -module of h.wt  $\alpha$ .

Then :

$$\underline{\text{ch}(V)} = \sum_{w \in W} \underbrace{\det(w)}_{=\pm 1} \cdot \underbrace{\text{ch } M_{w(\lambda+\rho)-\rho}}_{\text{character of Verma}} = \sum_{w \in W} \frac{\det(w) \cdot e^{w(\lambda+\rho)-\rho}}{\prod_{\alpha \in \Delta^+} (1 - e^{-\alpha})^{\dim \mathfrak{g}_\alpha}}$$

use Kac-Weyl denominator formula

$$\frac{\sum_{w \in W} \det(w) e^{w(\lambda+\rho)-\rho}}{\sum_{w \in W} \det(w) e^{w\rho-\rho}}$$

Here: numerator & denominator are of the same form

Today & Next time : Apply to  $\mathfrak{g}(A) = \widehat{\mathfrak{sl}}_2 \rightsquigarrow \widehat{\mathfrak{sl}}_2 = \mathfrak{sl}_2 \times \mathbb{C}d$ .

! You can find same discussions in [Kac-Rama, § 11-12].

•  $\mathfrak{g}$  - simple f.d. Lie alg.

$$\begin{aligned} \text{L}\mathfrak{g} = \mathfrak{g}[t, t^{-1}] &\rightsquigarrow \widehat{\mathfrak{g}} = \text{L}\mathfrak{g} \oplus \mathbb{C} \cdot K \quad - \text{also it's Kac-Moody} \\ &\quad \downarrow \text{derivation} \\ \widetilde{\mathfrak{g}} = \widehat{\mathfrak{g}} \rtimes \mathbb{C}d &= \text{L}\mathfrak{g} \oplus \underbrace{\mathbb{C} \cdot K}_{\text{central}} \oplus \underbrace{\mathbb{C}d}_{\text{derivation}} \end{aligned}$$

← extended affine Kac-Moody

Recall: 
$$\begin{aligned} [d, xt^n] &= n \cdot xt^n \\ [d, k] &= 0 \end{aligned}$$

Similar to  $\mathfrak{g} = \mathfrak{sl}_n$  (treated in Lecture 15), this extended algebra

$\widetilde{\mathfrak{g}}$  can be equipped with non-deg. symmetric inv. pairing via:

•  $(a(t), b(t)) = \text{Res}_{t=0} (a(t), b(t)) \cdot \frac{dt}{t}$

$$\left[ \begin{aligned} \text{i.e. } (x \cdot t^n, y \cdot t^m) &= (x, y) \cdot n \delta_{n, -m} \\ \forall n, m \in \mathbb{Z} \\ \forall x, y \in \mathfrak{g} \end{aligned} \right]$$

•  $(K, d) = 1, (K, K) = 0 = (d, d) = (K, \text{L}\mathfrak{g}) = (d, \text{L}\mathfrak{g})$ .

Lemma 1: (a) Prove the above  $\mathfrak{g}$ -pairing endow  $\widetilde{\mathfrak{g}}$  with a nondeg. inv. pairing!

Exercise

(b) It is the unique, up to scalar, once you impose  $(d, d) = 0$ .

- Recall: For simple f.d.  $\mathfrak{g}$ , the Weyl group can be equivalently described:

$$\underline{W = N(T)/T}$$

← alternative description  
of finite Weyl grps

$$\left[ \begin{array}{l} T \subseteq G \text{ - max torus, } \text{Lie } G = \mathfrak{g} \\ N(T) = \text{normalizer of } T \text{ in } G \end{array} \right]$$

- $\mathfrak{g}$  - simple f.d.  
 $G$  - simply-connected gp with  $\text{Lie}(G) = \mathfrak{g}$ .

$$G \xrightarrow{\text{Ad}} \mathfrak{g}$$

$$\underline{\underline{LG}} \curvearrowright LG = \mathfrak{g}[t, t^{-1}]$$

$G \subseteq \text{Mat}_{n \times n}(\mathbb{C})$   
 algebraic, i.e.  
 determined by  
 several pol. relations.

Define loop group  $LG \subseteq \text{Mat}_{n \times n}(\mathbb{C}[t, t^{-1}])$  by the same rels

Lemma 2: The action  $LG \curvearrowright Lg$  can be uniquely extended, to  
Exercise  $LG \curvearrowright Lg \oplus \mathbb{C}d$ .  
 i. e. compatible w.r.t. embedding  $Lg \hookrightarrow Lg \oplus \mathbb{C}d$ .

via

$$LG \ni g(t): d \mapsto d - t \cdot g'(t) \cdot g(t)^{-1}$$

There is an action of  $LG$  on  $\tilde{g} = Lg \oplus \mathbb{C}K \oplus \mathbb{C}d$  given by:

Prop 1  
Exercise

$$g(K) = K$$

$$g\left(\frac{a}{dt} \Big|_{Lg}\right) = g a g^{-1} + \text{Res}_{t=0} \text{tr}(g' a g^{-1}) dt \cdot K$$

$$g(d) = d - t g'(t) g(t) - \frac{1}{2} \text{Res}_{t=0} (t g' g^{-1}, t g' g^{-1}) \frac{dt}{t} K$$

$$\left[ \begin{array}{c} \tilde{g} = Lg \oplus \mathbb{C}d \oplus \mathbb{C}K \\ \downarrow K \mapsto 0 \\ Lg \oplus \mathbb{C}d \end{array} \right]$$

↑ it is a unique action compatible with that in Lemma 2!

Take away

$$G \xrightarrow{\text{Ad}} \mathfrak{g} \longrightarrow \boxed{LG \simeq \tilde{\mathfrak{g}} !}$$

• From now on, we shall focus on the simplest case of  $\mathfrak{g}$ :

$$\boxed{\mathfrak{g} = \mathfrak{sl}_2}$$

$$\mathfrak{sl}_2 = \langle e, h, f \rangle$$

Recall of Lecture 15  
Notations of

Let  $\tilde{\mathfrak{h}} \subseteq \tilde{\mathfrak{g}}$  - Cartan of the extended Kac-Moody

via pairing  $\alpha$

$$\text{basis: } h, k, d \rightsquigarrow \underline{h_0 = k - d, h_1 = d} \quad (\text{see Lecture 15})$$

$$\text{Pairing } \tilde{\mathfrak{h}} \times \tilde{\mathfrak{h}} \rightarrow \mathbb{C}$$

$$(d, d) = 2, (k, d) = 1 = (d, k), \text{ others} = 0.$$

Fundamental

Weights:

$$\begin{cases} \omega_0 = d \\ \omega_1 = \frac{1}{2}d + k \end{cases}$$

i.e.

$$(\omega_0, h_1) = 0, (\omega_0, h_0) = 1, (\omega_0, d) = 0$$

$$(\omega_1, h_1) = 1, (\omega_1, h_0) = 0, (\omega_1, d) = 0.$$

Any weight can be written as

[elements of  $\tilde{\mathfrak{h}}^*$ , identified  
with  $\tilde{\mathfrak{h}}$  via the above pairing]

$$\lambda = m \cdot d + \frac{n}{2} \cdot d + r \cdot k = (m-n)\omega_0 + n \cdot \omega_1 + r \cdot k$$

• Lecture 15 :  $L_\lambda$ -unitary  $\Leftrightarrow m \geq n \in \mathbb{N}$ ,  $\tau \in \mathbb{R}$ .

• Lectures 22-23 :  $L_\lambda$ -integrable  $\Leftrightarrow m \geq n \in \mathbb{N} = \mathbb{Z}_{\geq 0}$ .

• The level of  $L_\lambda$  equals  $m$

Def (Alternative description of the Weyl group)

Set  $\tilde{W} := \{g \in LG \mid g\tilde{\mathfrak{h}}g^{-1} = \tilde{\mathfrak{h}}\}$

(stabilizes the extended Cartan?)

$\tilde{W} \rightarrow \text{End}(\tilde{\mathfrak{h}})$   
Weyl group  $\tilde{W} := \text{Im}(\tilde{W} \rightarrow \text{End}(\tilde{\mathfrak{h}}))$  - subgroup of  $\text{End}(\tilde{\mathfrak{h}})$

! Fact: (non-trivial) This definition coincides with the previous, where the Weyl gp was defined as a subgroup of  $\text{End}(\tilde{\mathfrak{h}})$  generated by simple reflections

• Let's compute  $\tilde{W}$  explicitly in the case of  $\mathfrak{sl}_2$ .

Recall:  $g(t)(\alpha) = \underbrace{g \alpha g^{-1}}_{\substack{\uparrow \\ \downarrow \\ \{c\} \\ \in \mathfrak{sl}_2}} + \underbrace{\text{Res}_{t=0} \text{tr}(g(t)\alpha g(t)^{-1}) dt}_{\text{scalar}} \cdot K. \quad \alpha = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$

S<sub>0</sub>:  $g(t) \in \tilde{W} \Rightarrow g(t) \cdot \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} g(t)^{-1} = \begin{pmatrix} \nu & 0 \\ 0 & -\nu \end{pmatrix}$  for some  $\nu \in \mathbb{C}$ .

But:  $\det(\text{LHS}) = -1 \Rightarrow -\nu^2 = -1 \Rightarrow \nu = \pm 1$

Case 1:  $g(t) \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} g(t)$ , Find  $g(t)$ !  
( $\nu = 1$ )

$\Downarrow$   
 $g(t) = \begin{pmatrix} * & 0 \\ 0 & * \end{pmatrix}$ ,  $\det g(t) = 1 \Rightarrow g(t) = \begin{pmatrix} a(t) & 0 \\ 0 & a(t)^{-1} \end{pmatrix}$

$\uparrow$   
LG

But:  $a(t), \frac{1}{a(t)}$  - Laurent pol's.

Conclusion:  $g(t) = \begin{pmatrix} c t^k & 0 \\ 0 & c^{-1} t^{-k} \end{pmatrix}$

$\Downarrow$   
 $a(t) = c \cdot t^k$  for some  $k \in \mathbb{Z}, c \in \mathbb{C}^\times$

Case 2:  $g(t) \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} g(t)$

( $\nu = -1$ )

$\Downarrow$   
 $g(t) = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \cdot \begin{pmatrix} a(t) & 0 \\ 0 & a(t)^{-1} \end{pmatrix}$

But:  $a(t), \frac{1}{a(t)}$  - Laurent pol's

Conclusion:  $g(t) = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} c t^k & 0 \\ 0 & c^{-1} t^{-k} \end{pmatrix}$

$\Downarrow$   
 $a(t) = c \cdot t^k, k \in \mathbb{Z}, c \in \mathbb{C}^\times$

Easy: El-s  $g(t)$  from both cases  $\mathbb{R}^2$  map  $d$  to el-s  $\mathfrak{h}$ .

So:  $\tilde{W}$  is generated by  $\left\{ \begin{pmatrix} ct^k & 0 \\ 0 & c^{-1}t^{-k} \end{pmatrix}, \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} ct^k & 0 \\ 0 & c^{-1}t^{-k} \end{pmatrix} \right\}_{\substack{k \in \mathbb{Z} \\ c \in \mathbb{C}^\times}}$

$W = \text{image of } \tilde{W} \rightarrow \text{End}(\mathfrak{h})$

Define:

$W \ni t_k$  is the image of  $\begin{pmatrix} t^k & 0 \\ 0 & t^{-k} \end{pmatrix} \in \tilde{W}$

$W \ni \tau_a$  is " " —  $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \in \tilde{W}$

Exercise:

$\tau_a: d \mapsto -d, k \mapsto k, d \mapsto d$

$t_k: d \mapsto d + ak \cdot K, k \mapsto k, d \mapsto d - k \cdot d - k^2 \cdot K$

Outcome: The Weyl group of  $\mathfrak{sl}_2$  consists of  $\{t_k, \tau_a t_k\}$  whose action is explicitly given by the  $f$ -las above



Remark:

Note that  $W = \{t_k, \tau_k t_k\}_{k \in \mathbb{Z}} = \underbrace{\mathbb{Z}/2\mathbb{Z}}_{\text{gen. d by } \tau_k} \times \underbrace{\mathbb{Z}}_{\text{consisting of } t_k}$

This is a particular case of the general result:

$$\underbrace{W^{\text{aff}}}_{\text{affine Weyl gp}} \cong \underbrace{W^{\text{fin}}}_{\text{finite Weyl gp}} \times \underbrace{\mathbb{Q}^{\vee}}_{\text{coroot lattice}} \cong \mathbb{Z}^r \quad \text{with } r = \text{rk}(\mathfrak{g})$$

Our key objective is to write down the Weyl-Kac char. f. for  $\mathfrak{sl}_2$  using the above description of the Weyl gp of  $\mathfrak{sl}_2$ .

Weyl-Kac character formula :  $ch_{L_\lambda} = \frac{\sum_{w \in W} \det(w) e^{w(\lambda + \rho) - \rho}}{\sum_{w \in W} \det(w) e^{w\rho - \rho}}$

For  $h \in \mathfrak{h}$ , we can evaluate  $ch_{L_\lambda}(h)$  which essentially computes  $\text{Tr}_{L_\lambda}(e^h)$ .

Warning: If  $L_\lambda$  is  $\infty$ -dim, need to be careful with the trace above.

[We'll apply this to the general elt of  $\mathfrak{g}$  and view the trace as a converging function.]

So :

$$ch_{L_\lambda}(h) = \frac{\sum_{w \in W} \det(w) e^{(w(\lambda + \rho), h)}}{\sum_{w \in W} \det(w) e^{(w\rho, h)}}$$

← we use the pairing on  $\mathfrak{g}$

(\*)

Take a general elt of  $\mathcal{H}$ :

$$h = 2\pi i \left( \frac{1}{2} z d - \tau \cdot d + u k \right)$$

- $z, \tau, u \in \mathbb{C}$  - varying parameters
- $\alpha, d, k$  - basis of  $\mathcal{H}$

Def:

For  $n, m \in \mathbb{Z}$  ( $m \neq 0$ ), define the theta-function:

$$\Theta_{n,m}(\tau, z, u) := e^{2\pi i m u} \cdot \sum_{k \in \frac{n}{2m} + \mathbb{Z}} e^{2\pi i m (k^2 \tau + k z)}$$

Note:  
absolutely converges  
for  $\text{Im}(\tau) > 0$ .

In the Kac - Weyl ch. f-la both numerator & denominator are of the form:

$$\sum_{w \in W} \det(w) e^{(w(\mu), h)}$$

(either for  $\mu = \lambda + \rho$   
or  $\mu = \rho$ )

So, let's compute the sum above explicitly!

$$W = \{t_k, \tau_\alpha t_k\}$$

$$\text{Write } \mu = m \cdot d + \frac{n}{2} \cdot d + \tau \cdot K.$$

• Case of  $t_k$

$\det(t_k) = 1$ . Using the  $f$ -las of page 8, we get:

$$t_k(\mu) = m(d - k\alpha - k^2 K) + \frac{n}{2}(\alpha + 2k \cdot K) + \tau \cdot K = \underline{m \cdot d + \left(\frac{n}{2} - mk\right) \alpha + (\tau + kn - k^2 m) K}$$

$$\left( t_k(\mu), h \right) = 2\pi i \left( z \left( \frac{n}{2} - mk \right) + m \cdot u - \tau (\tau + kn - k^2 m) \right)$$

$\uparrow$   
 $2\pi i \left( \frac{1}{2} z \alpha - \tau \cdot d + u \cdot K \right)$

• Case of  $\tau_\alpha t_k$

$$\det(\tau_\alpha t_k) = -1.$$

$$\tau_\alpha t_k(\mu) = m d - \left( \frac{n}{2} - mk \right) \alpha + (\tau + kn - k^2 m) K.$$

$$\left( \tau_\alpha t_k(\mu), h \right) = 2\pi i \left( -z \left( \frac{n}{2} - mk \right) + m \cdot u - \tau (\tau + kn - k^2 m) \right)$$

Thus:

$$\sum_{w \in W} \det(w) e^{(w\mu, h)} = \sum_{k \in \mathbb{Z}} e^{2\pi i m u} \left( \begin{array}{l} e^{2\pi i (z(\frac{n}{2} - mk) - \tau(\tau + k u - k^2 m))} \\ - e^{2\pi i (-z(\frac{n}{2} - mk) - \tau(\tau + k u - k^2 m))} \end{array} \right) \quad (11)$$

Let's change  $k \rightarrow$  in the first sum ("+" sign) replace  $k \xrightarrow{\frac{n}{2}} \frac{n}{2m} - k$   
in the second sum ("- sign) replace  $k \xrightarrow{\frac{n}{2}} \frac{n}{2m} + k$

$$\begin{aligned} & \left( \begin{array}{l} e^{2\pi i \tau (-\tau + \frac{n^2}{4m})} \cdot \Theta_{n,m}(\tau, z, u) \\ - e^{2\pi i \tau (-\tau - \frac{n^2}{4m})} \cdot \Theta_{-n,m}(\tau, z, u) \end{array} \right) \stackrel{q = e^{2\pi i \tau}}{=} \left( \begin{array}{l} q^{-\tau - \frac{n^2}{4m}} \cdot \Theta_{n,m}(\tau, z, u) \\ - q^{-\tau - \frac{n^2}{4m}} \cdot \Theta_{-n,m}(\tau, z, u) \end{array} \right) \end{aligned}$$

f-la for  $\sum_{w \in W} \det(w) e^{(w\mu, h)}$   
for  $\mu = m\alpha + \frac{n}{2}\alpha + \tau k$

Conclusion: The Weyl-Kac character formula for  $sl_2$  becomes:  
 see eqn (\*) on p. 10

$$ch_{h_2}(h) = q^{-s_2} \cdot \frac{\Theta_{n+1, m+2}(\tau, z, u) - \Theta_{-n-1, m+2}(\tau, z, u)}{\Theta_{1, 2}(\tau, z, u) - \Theta_{-1, 2}(\tau, z, u)} \quad \text{with } q = e^{2\pi i \tau}$$

$$s_2 = \tau + \frac{(n+1)^2}{4(m+2)} - \frac{1}{8}$$

Here:  $h = 2\pi i \left( \frac{1}{2} z d - \tau \cdot d + u \cdot k \right)$

$$\lambda = m d + \frac{n}{2} \alpha + \epsilon k = (m-n) \omega_0 + n \omega_1 + \tau \cdot k.$$

Note:  $\rho = \omega_0 + \omega_1 = 2d + \frac{1}{2} \alpha \iff$  corresponds to  $m=2, n=1$ .