

Last time we started a new topic "Mathematics of Power".

We will still have elections (with only 2 candidates / 2 options / "Yes" vs "No"), but these elections will no longer be democratic.

• Def: We will call such elections / voting as "weighted voting" (i.e. different voters have different weights of their votes).

• The key elements of any "weighted voting" are:

- Players (we usually denote them by P_1, \dots, P_N , where $N = \#$ players)

- Weights (each player has a weight which is equal to the number of votes controlled by this player)

The weights of P_1, \dots, P_N will be denoted by w_1, \dots, w_N

We use V to denote the total number of votes, i.e. $V = w_1 + \dots + w_N$

- Quota q (this is the minimum number of votes required to pass a motion)

• Notation: The above data is usually summarized as:

$[q; w_1, w_2, \dots, w_N]$ (where $w_1 \geq w_2 \geq \dots \geq w_N$)

N.B. We reorder the players accordingly so that their weights are non-increasing

Basic Question: How can we measure a player's power in the weighted voting system?

Naive Suggestion you might have is that the power is proportional to the weights of players.

! However, that's not true. As we will see in a moment there are cases when players with small weight have a lot of power as well as the cases when players with large weights have almost no power

• Basic examples (with associated terminology)

① (Consider example 2 from p.2) We have a new business organized by 4 friends who invested 10K, 8K, 6K, 4K. Each of them got 1 share for each \$K spent \Rightarrow 1st got 10 shares, 2nd got 8 shares, 3rd - 6 shares, 4th - 4 shares. Assume that the quota is two-thirds of the total number of votes. Since $V = 10 + 8 + 6 + 4 = 28$ and $\frac{2}{3}V = \frac{2}{3} \cdot 28 = 18\frac{2}{3}$, the actual quota is $q = 19$.

Using the weighted voting system notation, this partnership can be described mathematically as

$$[19: 10, 8, 6, 4].$$

② Consider the above setting from ①, but set $q = 14$.

Then if P_1 and P_4 vote "Yes", while P_2 and P_3 vote "No", then both "Yes" and "No" win, since $w_1 + w_4 = w_2 + w_3 = 14 = q$.

This is a mathematical model of "anarchy".

In what follows, we will assume $q > \frac{1}{2} \cdot V$.

③ Consider the setting from ①, but set $q = 29$.

Since $V = w_1 + w_2 + w_3 + w_4 = 28 < q$, no motion would pass and nothing will be done.

This is a mathematical model of "gridlock".

In what follows, we shall assume $q \leq V$.

④ Let us consider the setting from ①, but set the quota $q = 25$.

The corresponding weighted voting system is $[25: 10, 8, 6, 4]$.

Note that the motion will be passed only if all players vote for it. In particular, if P_1, P_2, P_3 vote for it, but P_4 does not, then there will not be enough votes to get the quota.

So: Even though w_4 is much smaller than w_1 , but as we see P_4 has the same power as P_1 (and as P_2, P_3)

! Example ④ shows that a player with small number of votes can be very powerful.

⑤ Let us now consider a modification of the example ④, where $w_1 = w_2 = w_3 = 100$, $w_4 = 99$ (i.e. P_1, P_2, P_3 invested 100K, P_4 - 99K) and $q = 300$.

The corresponding weighted voting system can be described as

$$[300: 100, 100, 100, 99].$$

Note that for a motion to pass P_1, P_2 and P_3 have to vote "Yes", while the vote of P_4 does not matter at all.

! Example ⑤ shows that a player with large number of votes can have no power.

⑥ Let us now modify the numbers again, so that the weighted voting system is $[90: 100, 10, 10, 10]$.

Note that if P_1 votes for the motion, then it will pass, while if P_1 is against it, the motion will fail.

This is a mathematical model of "dictatorship".

! If $w_1 \geq q > w_2 + w_3 + \dots + w_N$, then P_1 is called a "dictator".

⑦ Consider the weighted voting system from ①: $[19: 10, 8, 6, 4]$.

Note that $8 + 6 + 4 = 18 < 19$, so the motion will not pass without the support of P_1 . Note also that $w_1 = 10 < 19 \Rightarrow P_1$ is not a dictator.

In this case, we call P_1 the "spoiler" and will say that P_1 has a veto power.

! A player P with weight w has a veto power iff $w < q, V - w < q$.

Upshot: We considered 7 completely different and instructive examples which show that the power is not actually proportional to the number of votes, i.e. weights can be really deceiving!

Before we switch to different ways of measuring power, let us consider couple of simple exercises which are similar to the first two from hwk.

Problem 1: Consider the weighted voting system $[q: 10, 8, 5]$.

- (a) Find the smallest value of q for which all three players have veto power.
 (b) Find the smallest value of q for which P_1 has a veto power, but P_3 does not have a veto power.

► (a) We need to have

- $10 < q \ \& \ 8+5=13 < q$ to guarantee that P_1 has a veto power.
- $8 < q \ \& \ 10+5=15 < q$ to guarantee that P_2 has a veto power
- $5 < q \ \& \ 10+8=18 < q$ to guarantee that P_3 has a veto power.

Hence, the answer to part (a) should be the smallest integer which is larger than $10, 13, 8, 15, 5, 18 \Rightarrow \underline{q=19}$ is the smallest quota.

(b) As in (a), P_1 has a veto power iff $q > 10 \ \& \ q > 13 \Leftrightarrow q \geq 14$.

Analogously P_3 has a veto power iff $q > 5 \ \& \ q > 18 \Leftrightarrow q \geq 19$.

Hence, the answer is $q=14$.

Problem 2: Consider the weighted voting system $[q: 10, 6, 5, 4, 2]$.

- (a) What is the smallest value that the quota q can take?
 (b) What is the largest value that the quota q can take?
 (c) What is the value of the quota if more than two-thirds of the votes are required to pass a motion?

► Recall $\frac{V}{2} < q \leq V$. In our case $V = 10+6+5+4+2 = 27$.

(a) $q > \frac{27}{2} = 13\frac{1}{2} \Rightarrow q \geq 14$. Answer: $q=14$

(b) $q \leq V = 27$. Answer: $q=27$.

(c) $q > \frac{2}{3}V = \frac{2}{3} \cdot 27 = 18 \Rightarrow q \geq 19$. Answer: $q=19$