

\* Last time

Last time we discussed how to parametrize a sphere and also introduced the formula for the surface area and a surface integral of a function over a surface.

Recall: If  $S$  is parametrized by  $\vec{r}(u,v)$ ,  $(u,v) \in D$  <sup>domain</sup>, then:

$$A(S) = \iint_D |\vec{r}_u \times \vec{r}_v| dA \quad \text{- surface integral}$$

while a surface integral of  $f(x,y,z)$  over  $S$  is

$$\iint_S f dS = \iint_D f(\vec{r}(u,v)) |\vec{r}_u \times \vec{r}_v| dA$$

Ex1: (a) Find the surface area of a sphere  $S: x^2 + y^2 + z^2 = R^2$ .

(b) Find the surface integral  $\iint_S x^2 dS$ , where  $S$  - as in (a).

Step 0: Parametrize  $S$  as we did last time:

$$\vec{r}(\phi, \theta) = \langle R \sin \phi \cos \theta, R \sin \phi \sin \theta, R \cos \phi \rangle ; D = \{(\phi, \theta) \mid \begin{array}{l} 0 \leq \phi \leq \pi \\ 0 \leq \theta \leq 2\pi \end{array} \}$$

Step 1: Evaluate  $|\vec{r}_\phi \times \vec{r}_\theta|$ .

$$\left. \begin{array}{l} \vec{r}_\phi = \langle R \cos \phi \cos \theta, R \cos \phi \sin \theta, -R \sin \phi \rangle \\ \vec{r}_\theta = \langle -R \sin \phi \sin \theta, R \sin \phi \cos \theta, 0 \rangle \end{array} \right\} \Rightarrow \vec{r}_\phi \times \vec{r}_\theta = \begin{array}{l} R^2 \sin^2 \phi \cos \theta \cdot \vec{i} + \\ R^2 \sin^2 \phi \sin \theta \cdot \vec{j} + \\ R^2 \sin \phi \cos \phi \cdot \vec{k} \end{array}$$

Step 2: Evaluate  $|\vec{r}_\phi \times \vec{r}_\theta|$

$$\begin{aligned} |\vec{r}_\phi \times \vec{r}_\theta| &= \sqrt{R^4 \sin^4 \phi \cos^2 \theta + R^4 \sin^4 \phi \sin^2 \theta + R^4 \sin^2 \phi \cos^2 \phi} \stackrel{\text{as } \sin^2 \theta + \cos^2 \theta = 1}{=} \sqrt{R^4 \sin^4 \phi + R^4 \sin^2 \phi \cos^2 \phi} \\ &= \sqrt{R^4 \sin^2 \phi (\sin^2 \phi + \cos^2 \phi)} = \sqrt{R^4 \sin^2 \phi} = |R^2 \sin \phi| = R^2 \sin \phi \end{aligned}$$

as  $0 \leq \phi \leq \pi$

$$\underline{\underline{So}}: |\vec{r}_\phi \times \vec{r}_\theta| = R^2 \sin \phi$$

(Continuation of Ex 1)

$$(a) A(S) = \iint_D R^2 \sin \phi \, dA = \int_0^\pi \underbrace{\int_0^{2\pi} R^2 \sin \phi \, d\theta}_{2\pi R^2 \sin \phi} d\phi = -2\pi R^2 \cos \phi \Big|_{\phi=0}^{\phi=\pi} = \boxed{4\pi R^2}$$

(b) In part (b), we reduce almost to same integral, but the function we integrate is  $\underbrace{(R^2 \sin^2 \phi \cos^2 \theta)}_{\text{this is } x^2!} \cdot \underbrace{(R^2 \sin \phi)}_{\text{this is our } |\vec{c}_\phi \times \vec{c}_\theta|}$

$$\iint_S x^2 \, dS = \iint_D R^2 \sin^2 \phi \cos^2 \theta \cdot R^2 \sin \phi \, dA = R^4 \int_0^\pi \int_0^{2\pi} \sin^3 \phi \cos^2 \theta \, d\theta \, d\phi =$$

$$= R^4 \cdot \int_0^\pi \sin^3 \phi \, d\phi \cdot \int_0^{2\pi} \cos^2 \theta \, d\theta$$

$$\text{But: } \int_0^{2\pi} \cos^2 \theta \, d\theta = \int_0^{2\pi} \frac{1 + \cos(2\theta)}{2} \, d\theta = \pi$$

$$\int_0^\pi \sin^3 \phi \, d\phi = \int_0^\pi \sin^2 \phi \cdot \sin \phi \, d\phi = \int_0^\pi (1 - \cos^2 \phi) \, d(-\cos \phi) \stackrel{u = -\cos \phi}{=} \int_{-1}^1 (1 - u^2) \, du = \left( u - \frac{u^3}{3} \right) \Big|_{u=-1}^{u=1} = \frac{4}{3}$$

$$\text{Thus: } \boxed{\iint_S x^2 \, dS = \frac{4}{3} \pi R^4}$$

Remark: One way to view the surface integral is as follows.

Consider the sheet of aluminum foil that has shape of a surface  $S$  and the density at point  $(x, y, z)$  is  $\rho(x, y, z)$ , then the total mass of the sheet is  $m = \iint_S \rho(x, y, z) \, dS$ , while the coordinates of the center of mass are:

$$\left( \frac{1}{m} \iint_S x \rho(x, y, z) \, dS, \frac{1}{m} \iint_S y \rho(x, y, z) \, dS, \frac{1}{m} \iint_S z \rho(x, y, z) \, dS \right)$$

Lecture #20\* Special case of interest: graphs of functions

A very frequent example of a surface is a graph of a function in 2 variables.

If  $S$  is a graph of  $f(x,y)$ ,  $(x,y) \in D$ , then one natural parametrization is

$$\vec{r}(u,v) = u \cdot \vec{i} + v \cdot \vec{j} + f(u,v) \vec{k}, \quad (u,v) \in D$$

Then:  $\left. \begin{array}{l} \vec{r}_u = \langle 1, 0, f_u \rangle \\ \vec{r}_v = \langle 0, 1, f_v \rangle \end{array} \right\} \Rightarrow \vec{r}_u \times \vec{r}_v = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ 1 & 0 & f_u \\ 0 & 1 & f_v \end{vmatrix} = -f_u \vec{i} - f_v \vec{j} + \vec{k}$

So:  $\vec{r}_u \times \vec{r}_v = -f_u \vec{i} - f_v \vec{j} + \vec{k} \Rightarrow |\vec{r}_u \times \vec{r}_v| = \sqrt{1 + f_u^2 + f_v^2}$

Thus:  $A(S) = \iint_D \sqrt{1 + f_u^2 + f_v^2} dA$

$$\iint_S g(x,y,z) dS = \iint_D g(u,v, f(u,v)) \cdot \sqrt{1 + f_u^2 + f_v^2} dA$$

Rmk: Similar formulas apply when  $S$  is realized as a graph of a function in  $x,z$  or  $y,z$ , i.e.  $y = f(x,z)$  or  $x = f(y,z)$ , respectively.

Ex2: Find the area of the part  $S$  of the paraboloid  $y = x^2 + z^2$  that lies within the cylinder  $x^2 + z^2 = 16$ .

Viewing  $S$  as a graph of a function  $y = x^2 + z^2$  with  $(x,z) \in D = \{(u,v) \mid u^2 + v^2 \leq 16\}$  the above formula implies

$$A(S) = \iint_{D: u^2+v^2 \leq 16} \sqrt{1 + (2u)^2 + (2v)^2} dA = \iint_{D: u^2+v^2 \leq 16} \sqrt{1 + 4u^2 + 4v^2} dA \quad \text{Polar coordinates}$$

$$= \int_0^{2\pi} \int_0^4 \sqrt{1 + 4r^2} \cdot r dr d\theta = \frac{2\pi}{12} (65^{3/2} - 1) = \frac{\pi}{6} (65^{3/2} - 1)$$

$$u = 1 + 4r^2 \Rightarrow du = 8r dr \Rightarrow \int_0^4 \sqrt{1 + 4r^2} \cdot r dr = \int_1^{65} \sqrt{u} \frac{du}{8} = \left( \frac{1}{2} u^{3/2} \right) \Big|_1^{65} = \frac{1}{12} (65^{3/2} - 1)$$

Remark: Note that while switching from  $dA$  to  $dr d\theta$  we always get extra  $r$ . However, if we use polar coordinates in the very beginning of parametrization the surface, we do not need this  $r$ .

Ex3: Recalculate  $A(S)$  in Ex2 using another parametrization

$$\vec{r}(u, v) = \langle u \cos v, u^2, u \sin v \rangle, \quad 0 \leq u \leq 4, \quad 0 \leq v \leq 2\pi.$$

$$\left. \begin{aligned} \vec{r}_u &= \langle \cos v, 2u, \sin v \rangle \\ \vec{r}_v &= \langle -u \sin v, 0, u \cos v \rangle \end{aligned} \right\} \rightarrow \vec{r}_u \times \vec{r}_v = \langle 2u^2 \cos v, -u, 2u^2 \sin v \rangle$$

$$\Downarrow$$

$$|\vec{r}_u \times \vec{r}_v| = \sqrt{4u^4 \cos^2 v + u^2 + 4u^4 \sin^2 v} = \sqrt{u^2 + 4u^4} = u \cdot \sqrt{1 + 4u^2}.$$

$$\text{So: } A(S) = \iint_{\substack{0 \leq u \leq 4 \\ 0 \leq v \leq 2\pi}} u \cdot \sqrt{1 + 4u^2} \, dA$$

This is exactly the same integral we got in Ex2 after using polar coord.

$$\Rightarrow \text{we get the same answer } \boxed{\frac{\pi}{6} (65^{3/2} - 1)}$$

But: What I tried to emphasize in the previous Remark, the factor  $u$  before  $\sqrt{1+4u^2}$  is already there!

\* Today: Flux (or surface integrals) of vector fields.

While  $\iint_S f \, dS$  was reminiscent of the integrals  $\int_C f \, ds$ , we want to discuss an analogue of line integrals  $\int_C \vec{F} \cdot d\vec{r}$ .

However, even to give the definition, we need to restrict our attention only to a certain class of surfaces, called oriented. Note that at any point  $(x, y, z) \in S$  there are two unit normal vectors (i.e. orthogonal to tangent plane)

Def: If it is possible to choose a unit normal vector  $\vec{n}$  at every point  $(x, y, z) \in S$  so that  $\vec{n}$  varies continuously over  $S$ , then  $S$  is called an oriented surface and the given choice of  $\vec{n}$  provides  $S$  with an orientation.

Remark: Not every surface is oriented, but every oriented surface has exactly two orientations.

Def: If  $\vec{F}$  is a continuous vector field defined on an oriented surface  $S$  with an orientation defined by the unit vector  $\vec{n}$  (at each point), then the surface integral of  $\vec{F}$  over  $S$  (a.k.a. the flux of  $\vec{F}$  across  $S$ ) is

$$\iint_S \vec{F} dS = \iint_S \vec{F} \cdot \vec{n} dS$$

vector field

dot-product, hence, a function on  $S$ , which we know how to integrate from last lecture.

Main ingredient: find  $\vec{n}$ !

There are two basic examples:

Example 1:  $S$  is given as a graph of  $f(x,y)$ ,  $(x,y) \in D$ .

As discussed above, the natural way to parametrize  $S$  is by

$$\vec{r}(u,v) = u\vec{i} + v\vec{j} + f(u,v)\vec{k}, \quad (u,v) \in D.$$

As we found  $\vec{r}_u \times \vec{r}_v = \langle -f_u, -f_v, 1 \rangle$  - this is a normal, but not a unit vector.

Hence, the unit normal vectors are  $\pm \frac{-f_u\vec{i} - f_v\vec{j} + \vec{k}}{\sqrt{1+f_u^2+f_v^2}}$ .

Upward Orientation refers to the choice

$$\vec{n} = \frac{-f_x\vec{i} - f_y\vec{j} + \vec{k}}{\sqrt{1+f_x^2+f_y^2}}$$

Example 2: Smooth parametric surface given by  $\vec{r}(u,v)$ ,  $(u,v) \in D$ .

Then  $\vec{r}_u \times \vec{r}_v$  is a nonzero normal vector, and one of the two choices of the unit normal vector is

$$\vec{n} = \frac{\vec{r}_u \times \vec{r}_v}{|\vec{r}_u \times \vec{r}_v|}$$

Def: For a closed surface (i.e. boundary of a solid  $E$ ), the positive orientation is the one where the normal vector points outside of  $E$ , while the inward-pointing normals give the negative orientation.

Back to the Example 2, if we use  $\vec{n} = \frac{\vec{r}_u \times \vec{r}_v}{|\vec{r}_u \times \vec{r}_v|}$ , then we see

$$\iint_S \vec{F} dS = \iint_S \vec{F} \cdot \frac{\vec{r}_u \times \vec{r}_v}{|\vec{r}_u \times \vec{r}_v|} dS = \iint_D \vec{F}(\vec{r}(u,v)) \cdot \frac{\vec{r}_u \times \vec{r}_v}{|\vec{r}_u \times \vec{r}_v|} \cdot |\vec{r}_u \times \vec{r}_v| dA$$

$$\Rightarrow \boxed{\iint_S \vec{F} dS = \iint_D \vec{F}(\vec{r}(u,v)) \cdot (\vec{r}_u \times \vec{r}_v) dA}$$

Ex 4: Find the flux of  $\vec{F}(x,y,z) = \langle 3z, 3y, 3x \rangle$  across the sphere  $S$  given by  $x^2 + y^2 + z^2 = R^2$ .

Choose the same parametrization as in Ex 1:

$$\vec{r}(\phi, \theta) = \langle R \sin \phi \cos \theta, R \sin \phi \sin \theta, R \cos \phi \rangle$$

As we computed in Ex 1:  $\boxed{\vec{r}_\phi \times \vec{r}_\theta = \langle R^2 \sin^2 \phi \cos \theta, R^2 \sin^2 \phi \sin \theta, R^2 \sin \phi \cos \phi \rangle}$

while  $\boxed{\vec{F}(\vec{r}(\phi, \theta)) = \langle 3R \cos \phi, 3R \sin \phi \sin \theta, 3R \sin \phi \cos \theta \rangle}$

$$\begin{aligned} \Rightarrow \vec{F}(\vec{r}(\phi, \theta)) \cdot (\vec{r}_\phi \times \vec{r}_\theta) &= 3R^3 (\sin^2 \phi \cos \phi \cos \theta + \sin^3 \phi \sin^2 \theta + \sin^2 \phi \cos \phi \cos \theta) \\ &= 3R^3 (2\sin^2 \phi \cos \phi \cos \theta + \sin^3 \phi \sin^2 \theta) \end{aligned}$$

$$\underline{\underline{So}}: \iint_S \vec{F} dS = \int_0^\pi \int_0^{2\pi} 3R^3 (2\sin^2 \phi \cos \phi \cos \theta + \sin^3 \phi \sin^2 \theta) d\theta d\phi \quad \left. \vphantom{\int_0^\pi \int_0^{2\pi}} \right\} \Rightarrow$$

Note:  $\int_0^{2\pi} \cos \theta d\theta = 0$ ,  $\int_0^{2\pi} \sin^2 \theta d\theta = \int_0^{2\pi} \frac{1 - \cos(2\theta)}{2} d\theta = \pi$

$$\begin{aligned} \Rightarrow \iint_S \vec{F} dS &= 3\pi R^3 \cdot \underbrace{\int_0^\pi \sin^3 \phi d\phi}_{= \frac{4}{3} \text{ - see Ex 1(b)}} = \boxed{4\pi R^3} \end{aligned}$$