

## Hopf algebras

Given an algebra  $(A, \mu, \eta)$  and a coalgebra  $(C, \Delta, \varepsilon)$ , we define a bilinear map "convolution" on  $\text{Hom}_{\text{lin}}(C, A)$  as follows:

$$C \xrightarrow{\Delta} C \otimes C \xrightarrow{\cong} A \otimes A \xrightarrow{\mu} A \quad \text{equiv. } (f * g)(x) = \sum_{(x)} f(x') g(x'')$$

Prop 1: (a)  $(\text{Hom}(C, A), *, \eta \circ \varepsilon)$  is an algebra.

(b) The natural map  $A \otimes C^* \xrightarrow{\cong} \text{Hom}(C, A)$  is a morphism of algebras, where  $C^*$  is viewed as an algebra via Lemma 1(a).

(a) Due to associativity of  $\mu$  and coassociativity of  $\Delta$ , we get:

$$(f * g) * h)(x) = \sum_{(x)} f(x') g(x'') h(x''') = (f * (g * h))(x)$$

We also have:

$$((\eta \circ \varepsilon) * f)(x) = \sum_{(x)} \varepsilon(x') f(x'') = f\left(\sum_{(x)} \varepsilon(x') x''\right) = f(x)$$

$$(f * (\eta \circ \varepsilon))(x) = \sum_{(x)} f(x') \varepsilon(x'') = f\left(\sum_{(x)} x' \varepsilon(x'')\right) = f(x).$$

(b) To check that  $\bar{*}$  is compatible with products, we argue:

$$(\bar{*}(\alpha * \alpha) * \bar{*}(\beta * \beta))(x) = \sum_{(x)} \alpha(x') \beta(x'') ab = (\alpha \beta)(x) ab = (\bar{*}(ab \otimes ab))(x)$$

for any  $a, b \in A$ ,  $\alpha, \beta \in C^*$ ,  $x \in C$ . To see the compatibility of units:

$$(\bar{*}(1 \otimes \varepsilon))(x) = \varepsilon(x) 1 = \eta \circ \varepsilon(x)$$

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Def: Let  $(H, \mu, \eta, \Delta, \varepsilon)$  be a bialgebra and  $*$  be the convolution on  $\text{End}(H)$ .

An element  $S \in \text{End}(H)$  is called an antipode if  $S * \text{Id}_H = \text{Id}_H * S = \eta \circ \varepsilon$ .

Def: A Hopf algebra is a bialgebra with an antipode.

A morphism of Hopf algebras is a morphism of bialgebras commuting with the antipodes.

Note: If an antipode exists, then it is unique, due to:

$$S = S * (\eta \circ \varepsilon) = S * (\text{Id}_H * S') = (S * \text{Id}_H) * S' = (\eta \circ \varepsilon) * S' = S' \quad \text{for two antipodes } S, S'$$

Notation: We will denote Hopf algebras by tuples  $(H, \mu, \eta, \Delta, \varepsilon, S)$ .

Note that in Sweedler's notation, conditions on antipode  $S$  read as follows:

$$\sum_{(x)} S(x') x'' = \varepsilon(x) 1 = \sum_{(x)} x' S(x''). \quad (\diamond)$$

Lemma 1: Let  $H$  be a finite-dimensional algebra with antipode  $S$ . Then the bialgebra  $H^*$  is a Hopf algebra with antipode  $S^*$ .

For any  $x \in H, \alpha \in H^*$ , we have:

$$\begin{aligned} \left(\sum_{(\alpha)} \alpha' S^*(\alpha'')\right)(x) &= \sum_{(\alpha)(\alpha)} \alpha'(x') S^*(\alpha'')(\alpha''(x'')) = \sum_{(\alpha)(\alpha)} \alpha'(x') \alpha''(S(x'')) = \\ &= \alpha \left(\sum_{(\alpha)} x' S(x'')\right) = \alpha(\eta \varepsilon(x)) = \varepsilon^* \eta^*(\alpha)(x). \end{aligned}$$

Completely analogously:  $\left(\sum_{\alpha} S^*(\alpha') \alpha''\right)(x) = \varepsilon^* \eta^*(\alpha)(x)$

Ex 1: If  $X$  is a monoid, then  $k[X]$  is a bialgebra, due to Ex 5(a).

Then,  $k[X]$  has an antipode iff  $X$  is a group (as  $(\triangleright)$  reads  $xS(x)=S(x)x=\varepsilon(x)=1$ ).

The following result summarizes a few properties of antipode.

Prop 1: Let  $(H, \mu, \eta, \Delta, \varepsilon, S)$  be a Hopf algebra.

(a)  $S$  is a bialgebra morphism from  $H$  to  $H^{\text{op cop}}$ , i.e.

$$S(xy) = S(y)S(x), \quad S(1) = 1, \quad (S \otimes S) \Delta = \Delta^{\text{op}} S, \quad \varepsilon \circ S = \varepsilon.$$

(b) TFAE

$$(i) S^2 = \text{Id}_H$$

$$(ii) \sum_{(\alpha)} S(x'') x' = \varepsilon(x) 1 \quad \forall x \in H.$$

$$(iii) \sum_{(\alpha)} x'' S(x') = \varepsilon(x) 1 \quad \forall x \in H.$$

(c) If  $H$  is commutative or cocommutative, then  $S^2 = \text{Id}_H$ .

(b) The implication  $(i) \Rightarrow (ii)$  follows from

$$\sum_{(\alpha)} S(x'') x' \stackrel{(i)}{=} S^2 \left( \sum_{(\alpha)} S(x'') x' \right) \stackrel{(ii)}{=} S \left( \sum_{(\alpha)} S(x') S^2(x'') \right) \stackrel{(i)}{=} S \left( \sum_{(\alpha)} S(x) x'' \right) = S(\varepsilon(x) 1) = \varepsilon(x) 1.$$

To prove  $(ii) \Rightarrow (i)$  it suffices to prove  $S \circ S^2 = \mu \varepsilon$ , due to uniqueness of inverse,

$$(S \circ S^2)(x) = \sum_{(\alpha)} S(x') S^2(x'') \stackrel{(ii)}{=} S \left( \sum_{(\alpha)} S(x'') x' \right) \stackrel{(iii)}{=} S(\varepsilon(x) 1) = \varepsilon(x) S(1) = \varepsilon(x) 1.$$

The equivalence  $(i) \Leftrightarrow (iii)$  is proved analogously.

(c) If  $H$  is commutative, then  $\eta \varepsilon(x) = \sum_{(\alpha)} x' S(x'') \stackrel{\text{comm}}{=} \sum_{(\alpha)} S(x'') x' \stackrel{(b)}{\Rightarrow} S^2 = \text{Id}_H$

If  $H$  is cocommutative, then  $\eta \varepsilon(x) = \sum_{(\alpha)} S(x') x'' \stackrel{\text{cocomm}}{=} \sum_{(\alpha)} S(x'') x' \stackrel{(b)}{\Rightarrow} S^2 = \text{Id}_H$ .

It remains to prove part (a), which is a bit more technical.

► (Continuation of proof of Prop 1)

(a) First, plugging  $x=1$  into  $(\text{Id}_H \star S)(x) = \eta \varepsilon(x)$ , we immediately get  $S(1)=1$ .

Second,  $\varepsilon(S(x)) = \varepsilon(S(\sum_{(x)} \varepsilon(x') x'')) = \varepsilon(\sum_{(x)} \varepsilon(x') S(x'')) = \varepsilon(\eta \varepsilon(x)) = \varepsilon(x)$

Next, we prove  $S(xy) = S(y)S(x)$ . Define  $\nu, \rho \in \text{Hom}(H \otimes H, H)$  via  
 $\nu(x \otimes y) = S(y)S(x)$ ,  $\rho(x \otimes y) = S(xy)$ .

As  $\eta \varepsilon$  is the identity (see Prop 2(a)), it suffices to prove  $\rho \star \mu = \mu \star \nu = \eta \varepsilon$ .

$$\circ (\rho \star \mu)(x \otimes y) = \sum_{(x \otimes y)} \rho((x \otimes y)') \mu((x \otimes y)'') = \sum_{(x)(y)} \rho(x' \otimes y') \mu(x'' \otimes y'') = \sum_{(x)(y)} S(x'y') x''y''$$

$$\stackrel{\Delta \circ \rho = \Delta \circ \mu}{=} \sum_{(x)(y)} S((xy)') (xy)'' = \eta \varepsilon(xy)$$

$$\circ (\mu \star \nu)(x \otimes y) = \sum_{(x \otimes y)} \mu((x \otimes y)') \nu((x \otimes y)'') = \sum_{(x)(y)} \mu(x' \otimes y') \nu(x'' \otimes y'') =$$

$$= \sum_{(x)(y)} x'y' S(y'') S(x'') = \sum_{(x)} x' \eta \varepsilon(y) S(x'') = \eta \varepsilon(y) \cdot \eta \varepsilon(x) = \eta \varepsilon(xy)$$

This completes the proof of  $S(xy) = S(y)S(x)$ .

Finally, we need to prove  $(S \otimes S) \Delta = \Delta^{\text{op}} S$ , or equivalently:

$\Delta \circ S = (S \otimes S) \circ \Delta^{\text{op}}$ . Denote  $\varrho := \Delta \circ S$ ,  $\nu := (S \otimes S) \circ \Delta^{\text{op}} \in \text{Hom}(H, H \otimes H)$ .

As above, it suffices to prove  $\varrho \star \Delta = \Delta \star \nu = (\eta \otimes \eta) \varepsilon$

$$\circ (\varrho \star \Delta)(x) = \sum_{(x)} \Delta(S(x')) \Delta(x'') = \Delta\left(\sum_{(x)} S(x') x''\right) = \Delta(\eta \varepsilon(x)) = (\eta \otimes \eta) \varepsilon(x)$$

$$\circ (\Delta \star \nu)(x) = \sum_{(x)} \Delta(x') ((S \otimes S)(\Delta^{\text{op}}(x''))) = \sum_{(x)} (x' \otimes x'') (S(x''') \otimes S(x'''))$$

$$= \sum_{(x)} x' S(x''') \otimes x'' S(x''') = \sum_{(x)} x' S(x''') \otimes \varepsilon(x'')_1 =$$

$$= \sum_{(x)} x' \varepsilon(x'') S(x''') \otimes 1 = \sum_{(x)} x' S(x'') \otimes 1 = \varepsilon(x)_1 \otimes 1 = (\eta \otimes \eta) \varepsilon(x)$$

Corollary: Let  $H = (H, \mu, \eta, \Delta, \varepsilon, S)$  be a Hopf algebra.

(a) Then  $H^{\text{op cop}} = (H, \mu^{\text{op}}, \eta, \Delta^{\text{op}}, \varepsilon, S)$  is also a Hopf algebra and  $S: H \rightarrow H^{\text{op cop}}$  is a morphism of Hopf algebras.

(b) If  $S$  is an isomorphism, then  $H^{\text{op}} = (H, \mu^{\text{op}}, \eta, \Delta, \varepsilon, S^{-1})$ ,  $H^{\text{cop}} = (H, \mu, \eta, \Delta^{\text{op}}, \varepsilon, S^{-1})$  are isomorphic Hopf algebras, the isomorphism given by  $S$ .

As it is not always easy to check the conditions  $\sum_{(x)} S(x') x'' = \varepsilon(x) 1 = \sum_{(x)} x' S(x'')$  the following result will be helpful:

Lemma 2: Let  $H$  be a bialgebra and  $S: H \rightarrow H^{\text{op}}$  be an algebra morphism.

Assume  $H$  is generated as an algebra by a subset  $X$  and

$$(\diamond) \quad \sum_{(x)} x' S(x'') = \varepsilon(x) 1 = \sum_{(x)} S(x') x'' \quad \forall x \in X$$

Then,  $S$  is an antipode for  $H$ .

It suffices to prove that if  $(\diamond)$  holds for  $x, y \Rightarrow (\diamond)$  also holds for  $xy$ .

$$\begin{aligned} \sum_{(xy)} (xy)' S(xy)'' &= \sum_{(x)(y)} x'y' S(x''y'') = \sum_{(x)(y)} x'y' S(y'') S(x'') = \sum_{(x)} x' \varepsilon(y) S(x'') \\ &= \varepsilon(x) \varepsilon(y) 1 = \varepsilon(xy) 1 \end{aligned}$$

$$\begin{aligned} \sum_{(xy)} S((xy)') (xy)'' &= \sum_{(x)(y)} S(x'y') x''y'' = \sum_{(x)(y)} S(y') S(x') x''y'' = \sum_{(y)} S(y') \varepsilon(x) y'' \\ &= \varepsilon(x) \varepsilon(y) 1 = \varepsilon(xy) 1 \end{aligned}$$

Exercise 1: Recall the bialgebra structure on  $T(V)$  from [Lecture 1, Exercise 2]. Prove that  $S(1) = 1$ ,  $S(v_1 \dots v_k) = (-1)^k v_k \dots v_2 v_1$   $\forall v_1, \dots, v_k \in V$  determine an antipode of  $T(V)$ .

Let us now consider a symmetric algebra  $S(V) := T(V)/I(V)$ , where  $I(V)$  is the 2-sided ideal generated by all elements  $\{xy - yx \mid x, y \in V\}$ .

Ex 2: The above Hopf algebra structure on  $T(V)$  induces a Hopf algebra structure on its quotient  $S(V)$ .

It suffices to prove that  $I := \text{Ker}(T(V) \rightarrow S(V))$  is a coideal of  $T(V)$ .

Note that  $I$  is spanned by the elements of the form  $\{x \cdot [v_1, v_2] \cdot y \mid \substack{x, y \in T(V) \\ v_1, v_2 \in V}\}$ .

But  $\Delta(x [v_1, v_2] y) = \sum_{(x)(y)} (x' [v_1, v_2] y' \otimes x'' y'' + x'y' \otimes x'' [v_1, v_2] y'') \in I \otimes T(V) + T(V) \otimes I$ ,

$$\varepsilon(x [v_1, v_2] y) = \varepsilon(x) \varepsilon([v_1, v_2]) \varepsilon(y) = 0.$$

Def: An element  $x \neq 0$  of a coalgebra  $(H, \Delta, \varepsilon)$  is group-like if  $\Delta(x) = x \otimes x$ .

$G(H) :=$  set of group-like elements.

Lemma 3: Let  $H$  be a bialgebra. Then  $G(H)$  is a monoid w.r.t. multiplication of  $H$ . Furthermore, if  $H$  is a Hopf alg,  $x \in G(H) \Rightarrow S(x) \in G(H)$  and  $x S(x) = 1 = S(x)x$ .

As  $\Delta^{\text{op}} \circ S = (S \otimes S) \Delta(x) \Rightarrow \Delta(S(x)) = S(x) \otimes S(x)$ .

By def.-n of  $S$ :  $x S(x) = S(x)x = \varepsilon(x) \cdot 1$ . But  $x = \varepsilon(x)x = x \varepsilon(x) \Rightarrow \varepsilon(x) \in \{0, 1\} \overset{x \neq 0}{\Rightarrow} \varepsilon(x) = 1$  (4)

## Hopf algebra modules

Since a Hopf algebra is an algebra, we can speak about its modules.

- If  $U, V$  are modules over a bialgebra  $A$ , then  $U \otimes V$  is also an  $A$ -module. The latter is given via  $\Delta: A \rightarrow A \otimes A$  and  $A \otimes A \curvearrowright U \otimes V$ . In Sweedler's notation

$$\alpha(u \otimes v) = \sum_{(a)} a' u \otimes a'' v$$

- Any vector space  $V$  is equipped with a trivial  $A$ -module structure via counit

$$\alpha(v) = \varepsilon(a) \cdot v$$

Lemma 4: If  $A$  is a bialgebra,  $U, V, W - A$ -modules,  $k$ -trivial  $A$ -module, then  $(U \otimes V) \circ W \simeq U \otimes (V \otimes W)$ ,  $k \otimes V \simeq V \simeq V \otimes k$  as  $A$ -modules

Moreover, if  $A$  is cocommutative, then  $\tau_{V,W}: V \otimes W \xrightarrow{\sim} W \otimes V$

Follows immediately from the coassociativity, counitality, cocommutativity.

- If  $A$  is a Hopf algebra, then given  $A$ -modules  $V, V'$ , the space  $\text{Hom}_{\text{Lin}}(V, V')$  is naturally equipped with the  $A$ -module structure as follows:

- First, we endow  $\text{Hom}(V, V')$  with an  $A \otimes A^\otimes$ -module structure via

$$((a \otimes a')f)(v) = af(a'v)$$

Indeed:

$$(a \otimes a')(b \otimes b')f(v) = ((ab \otimes ba')f)(v) = abf(b'a'v) = a((b \otimes b')f)(a'v) = (a \otimes a'((b \otimes b')f))(v)$$

- Second, we have an algebra homomorphism  $(Id \otimes S) \circ \Delta: A \rightarrow A \otimes A^\otimes$ .

In Sweedler's notation, the resulting  $A$ -action on  $\text{Hom}(V, V')$  is given via

$$(af)(v) = \sum_{(a)} a' f(S(a'')v)$$

- In the particular case  $V' = k$  with a trivial  $A$ -module structure, we obtain an  $A$ -action on the dual vector space  $V^*$ :

$$(af)(v) = \sum_{(a)} \varepsilon(a') f(S(a'')v) = f\left(\sum_{(a)} \varepsilon(a') S(a'')v\right) = f\left(S\left(\sum_{(a)} \varepsilon(a') a''\right)v\right) = f(Sa)v$$

So:  $A \curvearrowright V^*$  via  $(af)(v) = f(Sa)v$