

Today: From  $\overline{\sigma}_{\infty}$  to affine Lie algebras

(generalizing the construction of the Heisenberg algebra  $\mathcal{H} \hookrightarrow \overline{\sigma}_{\infty}$ )

• Consider the loop algebra  $L\mathfrak{gl}_n = \mathfrak{gl}_n[t, t^{-1}] = \mathfrak{gl}_n(\mathbb{C}) \otimes_{\mathbb{C}} \mathbb{C}[t, t^{-1}]$ . Any element of  $L\mathfrak{gl}_n$  may be written as

$$a(t) = \sum_{k \in \mathbb{Z}} a_k t^k, \quad a_k \in \mathfrak{gl}_n \text{ and only finitely many of these are nonzero}$$

Note that it has a natural basis  $\{E_{ij}(k) := t^k \cdot E_{ij} \mid 1 \leq i, j \leq n, k \in \mathbb{Z}\}$ , in which the Lie bracket is:

$$[E_{ij}(k), E_{i'j'}(k')] = \delta_{ij'} \cdot E_{ij'}(k+k') - \delta_{i'j} \cdot E_{i'j}(k+k')$$

Let  $V_0 = \mathbb{C}^n$  be the natural 1<sup>st</sup> fundamental repr-n of  $\mathfrak{gl}_n$  (realized via columns of height  $n$ ) with a natural basis  $\{e_1, e_2, \dots, e_n\}$ . Then, we get

$$L\mathfrak{gl}_n \sim \mathbb{C}^n[t, t^{-1}] \leftarrow \text{with a basis } \{e_i \cdot t^k \mid 1 \leq i \leq n, k \in \mathbb{Z}\}$$

• Denote  $e_i \cdot t^k \mapsto v_{i-kn}$ , so that  $\mathbb{C}^n[t, t^{-1}]$  gets identified with  $V = \mathbb{C}^{\infty}$  - tautological  $\mathfrak{gl}_n$ -repr-n.

Note:  $E_{ij}(k) v_{j'+nk'} = \delta_{jj'} \cdot v_{i+n(k+k')}$

Therefore, having identified  $\mathbb{C}^n[t, t^{-1}]$  with  $\mathbb{C}^{\infty}$ , we obtain a natural embedding

$$L\mathfrak{gl}_n \xrightarrow{\tau} \overline{\sigma}_{\infty} \text{ given explicitly by } \sum_k a_k t^k \xrightarrow{\tau} \text{Block Matrix } \begin{pmatrix} \dots & a_1 & a_2 & \dots \\ \dots & a_0 & a_1 & a_2 & \dots \\ \dots & a_{-1} & a_0 & a_1 & a_2 & \dots \\ \dots & a_{-2} & a_{-1} & a_0 & \dots & \dots \\ \dots & \dots & \dots & a_2 & a_1 & \dots \end{pmatrix}$$

(From the above formula, we see that  $\tau(E_{ij}(k)) = \sum_{m \in \mathbb{Z}} E_{nm+i, nm+k} t_j$ )

Remark 1: (a)  $\tau$  is compatible with multiplication (where we view both  $L\mathfrak{gl}_n, \overline{\sigma}_{\infty}$  as assoc. alg-s)

(b) Let  $\omega$  be an anti-linear anti-involution on  $L\mathfrak{gl}_n$  sending  $X \cdot t^k \mapsto X^+ \cdot t^k$ , where  $X^+$  denotes Hermitian adjoint of  $X \in \mathfrak{gl}_n$ , see Lecture 4. Then:

$$\tau(\omega(a(t))) = (\tau(a(t)))^{\dagger} \text{ matrix Hermitian adjoint in } \overline{\sigma}_{\infty}.$$

$$(c) \tau \begin{pmatrix} 0 & 1 & 0 \\ \dots & \dots & \dots \\ t & 0 & 1 \end{pmatrix} = T \xrightarrow{\omega} \tau \left( \begin{pmatrix} 1 & 0 \\ \dots & \dots \\ 0 & 1 \end{pmatrix}^k \right) = T^k \quad \forall k \in \mathbb{Z}$$

Recalling that  $\overline{\sigma}_{\infty}$  has a natural 1-dim central extension  $\overline{\sigma}_{\infty}$  via the 2-cocycle  $d: \overline{\sigma}_{\infty} \times \overline{\sigma}_{\infty} \rightarrow \mathbb{C}$  (see Lecture 7), we naturally obtain an embedding of 1-dim central extension of  $L\mathfrak{gl}_n$  (via the restriction of  $d_2$ ) into  $\overline{\sigma}_{\infty}$ .

Lemma 1: This is exactly the 1-dim central extension of  $L\mathfrak{gl}_n$  defining affine Lie algebras, i.e.

$$d_{\tau}(a(t), b(t)) = \text{Res}_{t=0}^{t^{-1} \text{-coeff}} (a'(t), b(t)) dt = \sum_k k \cdot \tau(a_k b_{-k})$$

$$d_{\tau}(E_{ij}(k), E_{i'j'}(k')) = d(\tau(E_{ij}(k)), \tau(E_{i'j'}(k'))) = k \cdot \delta_{ij'} \delta_{i'j} \delta_{k+k', 0}$$

$$\Rightarrow d_{\tau}(a \cdot t^k, b \cdot t^{k'}) = \delta_{k+k', 0} \cdot k \cdot \tau(ab). \text{ This completes the proof. } \blacksquare$$

So:  $\mathfrak{gl}_n := \text{Lgl}_n \oplus \mathbb{C} \cdot K \hookrightarrow \mathfrak{a}_{\infty}$

Likewise, starting from  $\text{Lsl}_n = \text{sl}_n[t, t^{-1}] \hookrightarrow \mathfrak{a}_{\infty}$ , we get  $\mathfrak{sl}_n := \text{Lsl}_n \oplus \mathbb{C} \cdot K \hookrightarrow \mathfrak{a}_{\infty}$

Recalling natural action  $\mathfrak{a}_{\infty} \curvearrowright \mathbb{F}^{(m)} \simeq \mathbb{B}^{(m)}$ , we obtain:

Corollary 1: The spaces  $\mathbb{F}^{(m)} \simeq \mathbb{B}^{(m)}$  become  $\mathfrak{gl}_n$ - and  $\mathfrak{sl}_n$ -modules of level 1  
i.e.  $K$  acts via  $1 \cdot \text{Id}$ .

Recall that  $(X|Y) = \text{Tr}(XY)$  is a symmetric nondeg. invariant bilinear form on  $\mathfrak{gl}_n$   
Likewise,  $(a(t)|b(t)) = \text{Res}_{t=0} \text{Tr}(a(t)b(t)) \frac{dt}{t}$  is a symm. nondeg. invariant bilinear form on  $\text{Lgl}_n$ .

We extend this pairing to  $(\cdot|\cdot)$  on  $\mathfrak{gl}_n$  by defining  $(K|L\mathfrak{gl}_n) = 0 = (K|K)$ .

Then it is symm. inv. bilinear form on  $\mathfrak{gl}_n$ , but degenerate!  $(L\mathfrak{gl}_n|K)$

Let us now slightly enlarge  $\mathfrak{gl}_n$ . Let us consider the derivation of  $\mathfrak{g}$  ( $\mathfrak{g} = \mathfrak{gl}_n$  or  $\mathfrak{sl}_n$  so far):

$d: \mathfrak{g} \rightarrow \mathfrak{g}$  given by  $K \mapsto 0, a(t) \mapsto ta'(t)$  i.e.  $X \cdot t^k \mapsto k \cdot X t^k$ .

Then we can form a semidirect product  $\tilde{\mathfrak{g}} := \mathbb{C}d \ltimes \mathfrak{g}$  ← also called affine Lie algebra.

Note: Abstractly, we just may view  $\tilde{\mathfrak{gl}}_n = \mathfrak{gl}_n \oplus \mathbb{C}d$  with  $[d, K] = 0, [d, X \cdot t^k] = k \cdot X t^k$  ( $X \in \mathfrak{gl}_n$ )

Lemma 2: Extending the above pairing on  $\mathfrak{gl}_n$  to that on  $\tilde{\mathfrak{gl}}_n$  via

$(d|d) = 0, (d|K) = (K|d) = 1, (d|a(t)) = (a(t)|d) = 0$

gives rise to a symm. invariant nondegenerate pairing on  $\tilde{\mathfrak{gl}}_n$ .

The nondegeneracy is clear as  $(d|K) = 1$ . To prove invariance, it suffices to verify

(1)  $([d, X \cdot t^k] | Y \cdot t^l) = -(X \cdot t^k | [d, Y \cdot t^l])$  ← follows from the fact that both sides are zero unless  $k+l=0$

(2)  $([X \cdot t^k, d] | Y \cdot t^l) = -(d | [X \cdot t^k, Y \cdot t^l])$  ← follows from the 2-cocycle f.l.a.

Let us now recall that  $\mathfrak{sl}_n, \mathfrak{gl}_n$ , hence,  $\mathfrak{sl}_n, \tilde{\mathfrak{gl}}_n$  are  $\mathbb{Z}$ -graded lie alg-s via the "principal gradation".  
In particular, we have the following triangular decomposition:

$\tilde{\mathfrak{sl}}_n = \tilde{\mathfrak{n}}_+ \oplus \tilde{\mathfrak{h}} \oplus \tilde{\mathfrak{n}}_-$ , where  $\tilde{\mathfrak{n}}_+ = \mathfrak{n}_+ + \sum_{k>0} t^k \cdot \mathfrak{sl}_n, \mathfrak{n}_+ \subset \mathfrak{sl}_n$  - strictly upper-triangular  
 $\tilde{\mathfrak{n}}_- = \mathfrak{n}_- + \sum_{k>0} t^{-k} \cdot \mathfrak{sl}_n, \mathfrak{n}_- \subset \mathfrak{sl}_n$  - strictly lower-triangular  
 $\tilde{\mathfrak{h}} = \mathfrak{h} \oplus \mathbb{C}K \oplus \mathbb{C}d, \mathfrak{h} \subset \mathfrak{sl}_n$  - diagonal

$\mathfrak{h}$  has a basis  $\{h_i := E_{ii} - E_{i+1, i+1} \mid 1 \leq i \leq n-1\} \Rightarrow \{h_i, h_0 := K - \frac{(h_1 + \dots + h_{n-1})}{E_{11} - E_{nn}}, d\}_{i=1}^{n-1}$  - basis of  $\tilde{\mathfrak{h}}$ .

Def 1: The elements  $\{\tilde{\omega}_i\}_{i=0}^{n-1} \subset \tilde{\mathfrak{h}}^*$  are defined via  $\tilde{\omega}_i(h_j) = \delta_{ij}$  ( $0 \leq j \leq n-1$ ),  $\tilde{\omega}_i(d) = 0$ .

Likewise, we also define weights  $\{\tilde{\omega}_m\}_{m \in \mathbb{Z}}$  of  $\tilde{\mathfrak{gl}}_n$ :

Def 2: The elements  $\{\tilde{\omega}_m\}_{m \in \mathbb{Z}} \subset (\tilde{\mathfrak{h}}_{\tilde{\mathfrak{gl}}_n})^* = (\text{span}\langle E_{ii}, \dots, E_{nn}, K, d \rangle)^*$  are defined via

$\tilde{\omega}_m(d) = 0, \tilde{\omega}_m(K) = 1, \tilde{\omega}_m(E_{ii}) = \begin{cases} 1, & \text{if } i \leq \bar{m} \\ 0, & \text{if } i > \bar{m} + \frac{m-\bar{m}}{n} \end{cases}$ , where  $\bar{m} = m \bmod n \in \{0, 1, \dots, n-1\}$

Remark 2: Note that  $\forall m \in \mathbb{Z}$ , the restriction of  $\tilde{\omega}_m \in (\tilde{\mathfrak{h}}_{\tilde{\mathfrak{gl}}_n})^*$  to  $\tilde{\mathfrak{h}}_{\mathfrak{sl}_n} \subseteq \tilde{\mathfrak{h}}_{\tilde{\mathfrak{gl}}_n}$  equals  $\tilde{\omega}_{\bar{m}}$ .

To proceed further, we need to extend  $\mathfrak{gl}_n$ -action on  $F^{(m)}$  to that of  $\mathfrak{gl}_n$ .

Lemma 3: There exists a unique extension of  $\mathfrak{gl}_n$ -representation  $F^{(m)}$  to  $\mathfrak{gl}_n$  such that  $d(\psi_m) = 0$ . It is explicitly given in the basis of semisimple elementary wedges by

$$d(v_{i_0} \wedge v_{i_1} \wedge v_{i_2} \wedge \dots) = \left( \sum_{k \geq 0} \left( \binom{m-k}{n} - \binom{i_k}{n} \right) \right) \cdot v_{i_0} \wedge v_{i_1} \wedge v_{i_2} \wedge \dots$$

Exercise 1: Prove this Lemma.

Proposition 1: For  $m \in \mathbb{Z}$ , the  $\mathfrak{gl}_n$ -module  $F^{(m)}$  (of Lemma 3) is irreducible with highest weight  $\tilde{\omega}_m$ .

• As we pointed out before the image of  $L\mathfrak{gl}_n$  in  $\sigma_{\infty}$  contains all  $\{T^i | i \in \mathbb{Z}\}$ , hence,  $\mathfrak{gl}_n \rightarrow \mathfrak{gl}_n$  contain the Heisenberg subalg.  $\mathcal{A}$ . But as we saw before  $F^{(m)} \simeq B^{(m)}$  is already an irreducible repr. w.r.t. this  $\mathcal{A}$ -action. Hence,  $F^{(m)}$  is an irreducible  $\mathfrak{gl}_n$ -representation.

• As  $\tilde{m}_+ = n \oplus \sum_{k \geq 0} t^k \mathfrak{gl}_n$  obviously embeds into  $\sigma_{\infty}$  as strictly upper- $\Delta$  matrices, we immediately get  $\tilde{\rho}(\tilde{m}_+) \psi_m = 0$ .

• It remains to show  $\tilde{\rho}(h) \psi_m = \tilde{\omega}_m(h) \psi_m \forall h \in \tilde{\mathfrak{h}}_{\mathfrak{gl}_n}$ . For  $h = K$  or  $d$ , this is clear. Hence, it

• suffices to verify  $\tilde{\rho}(E_{ii}) \psi_m = \left( \begin{cases} 1, & \text{if } i \leq \tilde{m} \\ 0, & \text{if } i > \tilde{m} \end{cases} + \frac{m - \tilde{m}}{n} \right) \cdot \psi_m$

But  $\rho(E_{ii}) = \sum_{j \equiv i \pmod{n}} E_{jj}$  and  $\tilde{\rho}(E_{jj}) \psi_m = (\delta_{j \leq \tilde{m}} - \delta_{j \leq 0}) \cdot \psi_m$ .

So: • if  $m > 0 \Rightarrow \tilde{\rho}(E_{ii}) \psi_m = \# \{0 < j \leq m | j \equiv i \pmod{n}\} \cdot \psi_m = \tilde{\omega}_m(E_{ii}) \cdot \psi_m$

• if  $m \leq 0 \Rightarrow \tilde{\rho}(E_{ii}) \psi_m = -\# \{m < j \leq 0 | j \equiv i \pmod{n}\} \cdot \psi_m = \tilde{\omega}_m(E_{ii}) \cdot \psi_m$

• Finally,  $\psi_m$  generates the entire  $F^{(m)}$  over  $\mathcal{A}$ -action  $\Rightarrow$  also over  $\mathfrak{gl}_n$ -action.

This completes the proof of Proposition.

Note that  $F^{(m)} \simeq B^{(m)}$  via boson-fermion correspondence  $\Rightarrow B^{(m)}$  is also an irred.  $\mathfrak{gl}_n$ -module.

• Let us now recall  $\mathfrak{sl}_n \subset \mathfrak{gl}_n$ ,  $L\mathfrak{sl}_n \subset L\mathfrak{gl}_n$ ,  $\tilde{\mathfrak{sl}}_n \subset \tilde{\mathfrak{gl}}_n$ ,  $\tilde{\mathcal{A}}_n \subset \tilde{\mathcal{A}}_n$ .

Q: What can we say about the  $\tilde{\mathfrak{sl}}_n$ -action on  $F^{(m)}$ ?

First note that  $\{T^{n_i} | i \in \mathbb{Z}\}$  commute with the action of  $\tilde{\mathfrak{sl}}_n$  (as  $T^{n_i}$  corresponds to  $I_{n_i} \cdot t^i$  which clearly commutes with  $\tilde{\mathfrak{sl}}_n$  inside  $\tilde{\mathfrak{gl}}_n$ ), but their action on  $F^{(m)}$  is not given by scalars  $\Rightarrow F^{(m)}$  is not an irreducible  $\tilde{\mathfrak{sl}}_n$ -module.

Consider a Lie subalgebra  $A^{(m)} \subset \mathcal{A}$  generated (=spanned) by  $\{a_{n_i} | i \in \mathbb{Z}\} \cup \{K\}$

Lemma 4: The assignment  $K_1 \mapsto nK$ ,  $a_i \mapsto a_{ni}$  gives rise to Lie alg. isomorphism  $A \simeq A^{(n)}$ .

The following simple result establishes an explicit relation b/w  $\mathfrak{gl}_n$  and  $\tilde{\mathfrak{sl}}_n$  together with  $A^{(n)}$ .

Lemma 5: The Lie algebras  $\mathfrak{gl}_n$  and  $(\tilde{\mathfrak{sl}}_n \oplus A^{(n)}) / (K_1 - K_2)$  are isomorphic

(here  $K_1 = (K, 0)$  and  $K_2 = (0, K)$ , while  $K_1 - K_2 = 0$  means that the central el's act in the same way)

Exercise 2: Prove this Lemma.

(the map  $\tilde{\mathfrak{sl}}_n \oplus A^{(n)} / (K_1 - K_2) \rightarrow \mathfrak{gl}_n$  maps  $(Xt^k, 0) \mapsto Xt^k (X \in \mathfrak{sl}_n, k \in \mathbb{Z})$ ,  $a_{ni} \mapsto I_{n_i} \cdot t^i$ ,  $K_1 = K_2 \mapsto K$ ) (3)

This result allows to view the  $\mathfrak{gl}_n$ -module  $F^{(m)} \simeq B^{(m)}$  as an  $\mathfrak{sl}_n \oplus A^{(n)}$ -module where  $K_1$  &  $K_2$  act in the same way. Recall that the generators of  $A^{(n)}$  act via  $\{T^{ni}\}_{i \in \mathbb{Z}}$  (which commute with  $\mathfrak{sl}_n$ ). Define

$$B_0^{(m)} := \{x \in B^{(m)} \mid T^{ni}(x) = 0 \ \forall i > 0\} \xrightarrow[\text{via } K_2]{\text{as } T^k \text{ acts}} \mathbb{C}[\{x_j\}_{j \in \mathbb{Z}_{\geq 0}, j \neq n}]$$

Proposition 2: For  $m \in \mathbb{Z}$ ,  $B_0^{(m)}$  is an irreducible  $\mathfrak{sl}_n$ -module (also  $\mathfrak{sl}_n$ -module) with the highest weight  $\tilde{\omega}_m$  (here  $m \in \{0, 1, \dots, n-1\}$  is the remainder of  $m \bmod n$ ). Moreover,  $B^{(m)} \simeq B_0^{(m)} \otimes \tilde{F}_m$  as  $\mathfrak{sl}_n \oplus A^{(n)}$ -modules, where  $\tilde{F}_m$ -appropriate Fock module/ $A^{(n)}$

Set  $\tilde{F}_m = \mathbb{C}[x_n, x_{2n}, \dots]$ , so that we have a tautological isom. of vector spaces  $B^{(m)} = \mathbb{C}[\{x_j\}_{j \geq 1}] \simeq \mathbb{C}[\{x_j\}_{j \geq 1, n \nmid j}] \otimes \mathbb{C}[\{x_j\}_{n \mid j}] = B_0^{(m)} \otimes \tilde{F}_m$ . Note that  $A^{(n)}$  acts on the second tensor factor, while  $\mathfrak{sl}_n$  acts on the first tensor factor. As the resulting  $\mathfrak{gl}_n \simeq \mathfrak{sl}_n \oplus A^{(n)} / (K_1 - K_2)$ -module  $B^{(m)}$  is irreducible  $\Rightarrow B_0^{(m)}$ -irred.  $\mathfrak{sl}_n$ -module (alternatively, we could appeal to the Heisenberg alg. gen-d by  $\{T^i\}_{n \nmid i} \cup \{K\}$ ).

Finally, we may derive a classification of unitary highest weight  $\mathfrak{sl}_n$ -representations.

Theorem 1: The highest weight  $\mathfrak{sl}_n$ -repr.  $L_\lambda$  is unitary iff  $\lambda = k_0 \tilde{\omega}_0 + \dots + k_{n-1} \tilde{\omega}_{n-1}$  with  $k_i \in \mathbb{Z}_{\geq 0}$ .

- First,  $\forall m \in \{0, 1, \dots, n-1\}$   $L_{\tilde{\omega}_m}$  is unitary, since the contravariant form on  $L_{\tilde{\omega}_m}$  arises from the contravariant form on  $B^{(m)}$  that is unitary.
- As  $\{L_{\tilde{\omega}_m}\}_{0 \leq m \leq n-1}$  -unitary  $\xrightarrow[k_i \in \mathbb{Z}_{\geq 0}]{\otimes k_0} L_{\tilde{\omega}_0} \otimes \dots \otimes L_{\tilde{\omega}_{n-1}} \xrightarrow[\otimes k_{n-1}]{}$  -unitary  $\Rightarrow L_{k_0 \tilde{\omega}_0 + \dots + k_{n-1} \tilde{\omega}_{n-1}}$  -unitary as a composition factor (actually, as a summand).
- Finally, we want to show that unitarity of  $L_\lambda$  implies that  $\lambda = k_0 \tilde{\omega}_0 + \dots + k_{n-1} \tilde{\omega}_{n-1}$  with  $k_i \in \mathbb{Z}_{\geq 0}$ .

Recall (see Lemma 1 of Lecture 7) that the irreducible  $\mathfrak{sl}_2$ -module  $L_\mu$  is unitary iff  $\mu \in \mathbb{Z}_{\geq 0}$  (w.r.t. antilinear anti-involution  $t: \mathfrak{sl}_2 \rightarrow \mathfrak{sl}_2$  s.t.  $e_i^t = f_i, f_i^t = e_i, h_i^t = h_i$ ).

But for every  $i \in \{0, 1, \dots, n-1\}$ , we have an  $\mathfrak{sl}_2$ -subalg.  $\mathfrak{sl}_2^{(i)} \subseteq \mathfrak{sl}_n$  s.t.  $t_{\mathfrak{sl}_n}|_{\mathfrak{sl}_2^{(i)}} = t_{\mathfrak{sl}_2}$ .

Indeed, for  $1 \leq i \leq n-1$ :  $\mathfrak{sl}_2^{(i)} = \langle e_i = E_{i, i+1}, f_i = E_{i+1, i}, h_i = E_{ii} - E_{i+1, i+1} \rangle$   
 for  $i=0$ :  $\mathfrak{sl}_2^{(0)} = \langle e_0 = E_{n, 1} t, f_0 = E_{1n} t^{-1}, h_0 = K + E_{nn} - E_{11} \rangle$

Therefore, unitarity of  $L_\lambda$  implies  $\lambda(h_i) \in \mathbb{Z}_{\geq 0} \ \forall i \in \{0, 1, \dots, n-1\}$ .  
 But:  $\lambda = \sum_{i=0}^{n-1} \lambda(h_i) \tilde{\omega}_i$  as  $\tilde{\omega}_i$ -dual to  $h_i \Rightarrow \lambda = \sum_{i=0}^{n-1} k_i \tilde{\omega}_i$  with  $k_i \in \mathbb{Z}_{\geq 0}$ .

Remark 3: If  $\lambda = k_0 \tilde{\omega}_0 + \dots + k_{n-1} \tilde{\omega}_{n-1}$  ( $k_i \in \mathbb{Z}_{\geq 0}$ ) so that  $L_\lambda$  is a unitary  $\mathfrak{sl}_n$ -module, then  $U(\mathfrak{sl}_n) \nu_\lambda =: L_\lambda$  (with  $\bar{\lambda} = k_1 \omega_1 + k_2 \omega_2 + \dots + k_{n-1} \omega_{n-1}$ ) as  $\mathfrak{sl}_n$ -modules.

Def 3: The value  $\lambda(K) = \lambda(h_0 + h_1 + \dots + h_{n-1}) = k_0 + k_1 + \dots + k_{n-1}$  is the level of  $L(\lambda)$ .